Stochastic star formation in early galaxies: \textit{Implications for the James Webb Space Telescope}

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ABSTRACT

Context. The star formation rate (SFR) in high-redshift galaxies is expected to be time-variable due to competing physical processes. This stochastic variability might boost the luminosity of galaxies and might explain the over-abundance seen at $z \sim 10$ by the James Webb Space Telescope.

Aims. We quantify the amplitude and timescales of this variability and identify the key physical processes.

Methods. We selected 245 $z = 7.7$ galaxies with stellar mass $5 \times 10^6 \lesssim M_\star/M_\odot < 5 \times 10^{10}$ from SERRA, which is a suite of high-resolution radiation-hydrodynamic cosmological simulations. After fitting the average SFR trend, (SFR), we quantified the time-dependent variation, $\delta(t) \equiv \log[(SFR)/(SFR)]$, for each system and performed a periodogram analysis to search for periodicity modulations.

Results. We find that $\delta(t)$ is distributed as a zero-mean Gaussian, with standard deviation $\sigma_{\delta} \sim 0.24$ (corresponding to a UV magnitude s.d. $\sigma_{\delta,UV} \approx 0.61$) that is independent of $M_\star$. However, the modulation timescale increases with stellar mass: $\tau_{\delta} \sim (9, 50, 100)$ Myr for $M_\star \sim (0.1, 1, 5) \times 10^9 M_\odot$, respectively. These timescales are imprinted on the SFR by different processes: (i) photoevaporation, (ii) supernova explosions, and (iii) cosmological accretion/merging dominating in low-, intermediate-, and high-mass systems, respectively.

Conclusions. The predicted SFR variations cannot account for the required $z \sim 10$ UV luminosity function boost. Other processes, such as radiation-driven outflows clearing the dust, must then be invoked to explain the enhanced luminosity of super-early systems.

Key words. Galaxies: star formation – evolution – high-redshift

1. Introduction

Understanding the physics regulating the evolution of star formation in galaxies is one of the most fundamental problems in present-day cosmology and astrophysics. The star formation rate (SFR) seems to depend on a deceptively simple and universal function of the gas mass (Schmidt 1959; Kennicutt 1998; Krumholz et al. 2012) despite the variety of non-linear processes, the huge dynamical range ($10^3 - 10^{11} M_\odot$), and the diverse environment (from single molecular clouds to very massive galaxies) that are involved and probed. In addition, in the relatively low-redshift Universe ($z \lesssim 4$), remarkably accurate predictions for the galaxy mass build-up can be obtained from minimal quasi-equilibrium models, where the star formation history (SFH) is a consequence of a so-called bathtub balance between cosmic accretion and feedback from stars and quasi-stellar objects (QSO) (Bouché et al. 2010; Dekel & Mandelker 2014). These models can explain fundamental observables such as the mass-metallicity relation (Maiolino & Mannucci 2019) or the dust content (Dayal et al. 2014), and are able to predict the ultraviolet luminosity functions (UV LF) up to $z \sim 6$ (Tacchella et al. 2018).

However, as we consider progressively higher-redshift galaxies, the timescale of the feedback processes (e.g. energy input from massive stars and supernovae) becomes comparable to or longer than the dynamical time of the system (Faucher-Giguère 2018), and their regulatory effect on the SFR is thus decreased and decreased. As a consequence, SFR variations develop a stochastic character (Orr et al. 2019). Stated differently, the quasi-equilibrium assumption should eventually break down at sufficiently high $z$. Early galaxies are then expected to be bursty and burstiness strongly affects the determination of their properties (Furlanetto & Mirocha 2022). Already at $z \sim 5 - 8$, the burstiness/suppression of SFR of galaxies, that is, whether they are above/below the Schmidt (1959)-Kennicutt (1998) relation, is key to explaining (Ferrara et al. 2019; Pallottini et al. 2019) the deviation from the [CII]-SFR relation observed in local ($z = 0$) galaxies (De Looze et al. 2014; Herrera-Camus et al. 2018). The natural expectation is that this stochastic variability has an even stronger impact on the super-early galaxies seen by the James Webb Space Telescope (JWST).

A stochastic SFR might affect the observable fraction of high-$z$ galaxies as they flicker in and out of the current magnitude-limited surveys (Sun et al. 2023). Moreover, it seems that more abrupt variations than the relatively gentle ones induced by supernova explosions are needed (Gelli et al. 2023; Dome et al. 2023) to explain the detection of the rapid quenching that is observed in some early systems (Looser et al. 2023).

Perhaps more importantly, stochastic variability is one possible mechanism that has been invoked to explain the over-abundance of $z \sim 10$ galaxies that has been probed via the UV luminosity function inferred from the public JWST early-release science programs (Roberts-Borsani et al. 2022; Finkelstein & Bagley 2022; Naidu et al. 2022; Adams et al. 2023; Atek et al. 2023; Donnan et al. 2023; Harikane et al. 2023), and the re-
results from the CEERS (The Cosmic Evolution Early Release Science Survey Finkelstein et al. 2022) and GLASS (Grisam Lens-Amplified Survey from Space Castellano et al. 2022; Treu et al. 2022; Santini et al. 2023) surveys.

Pre-JWST models cannot predict the observed flatness and limited evolution of the bright end of the LF. Thus, multiple cosmological and astrophysical scenarios have been proposed to explain this conundrum.

On the one hand, there are rather extreme frameworks that for instance (a) require ΛCDM modifications invoking a different primordial power spectrum (Liu & Bromm 2022; Padmanabhan & Loeb 2023), or (b) suggest a feedback-free boosted star formation (Dekel et al. 2023; Qin et al. 2023). On the other hand, more mundane options boost the UV luminosity function via (c) the temporary removal of dust (Ferrara et al. 2023) as a consequence of radiation-driven outflows (Ziparo et al. 2023) promoted by a high specific SFR and small galactic sizes (Fiore et al. 2023) or (d) a stochastic variability of the SFR (Mason et al. 2023; Shen et al. 2023; Mirocha & Furlanetto 2023; Muñoz et al. 2023).

The latter scenario can be formulated as follows. Time-variance in the dark matter (DM) halo assembly history induces a stochastic variation in the gas mass that can accrete on a galaxy, thus causing a flickering of the SFR (Mason et al. 2023). This flickering shifts low-mass galaxies to brighter-than-expected UV-stochastic variation in the dark matter (DM) halo assembly history induces a variance in the dark matter (DM) halo assembly history induces a consequence of radiation-driven outflows (Ziparo et al. 2023) via (c) the temporary removal of dust (Ferrara et al. 2023) as a result of mechanical energy, photons, and reprocessed elements, depending on the metallicity Z_0 and the age τ_0 of the stellar population (Bertelli et al. 1994). Feedback included supernovae, winds from massive stars, and an approximate treatment of the radiation pressure. Note that we did not adopt the standard radiation pressure prescription of ramses-rt (Rosdahl & Teyssier 2015) because the feedback modelling was ported from simulations that did not include radiative transfer (see Pallottini et al. 2019, for details).

Depending on the type, the energy input could be both thermal and kinetic, and we accounted for the dissipation of energy in molecular clouds for supernova (SN) blast waves (Pallottini et al. (2017b)).

Simulations were initialised at z = 100 from cosmological initial conditions generated with music (Hahn & Abel 2011) by adopting a Planck ΛCDM model with vacuum, matter, and baryon densities in units of the critical density Ω_m = 0.692, Ω_b = 0.308, and Ω_0 = 0.0481, the Hubble constant H_0 = 67.8 km s^{-1} Mpc^{-1}, the spectral index n = 0.967, and σ_8 = 0.826 (Planck Collaboration et al. 2014). Each simulation zoomed in on a target DM halo (M_h \sim 10^{12} M_\odot at z = 6) and its environment (~ (2 Mpc/h)^3), that is, about 23 times the Lagrangian volume of the target halo), reaching a gas mass and spatial resolution of Δm_g \sim 1.2 \times 10^4 M_\odot and Δl \sim 21 pc at z = 7.7 in the densest regions. This is the typical mass and size of Galactic molecular clouds.

3. Analysis

We used the z = 7.7 sample of serra galaxies with M_⋆ > 10^6 M_\odot, that is, those containing \sim 100 star particles, for a total of 245 objects. The maximum stellar mass of the sample was about 5 \times 10^10 M_\odot. In the original paper of Pallottini et al. (2022), 202 galaxies were presented. We added 43 new simulated objects that shared the same physical modelling, but were obtained for different seeds for the perturbations of the initial cosmological conditions. Within two virial radii, all galaxies in the sample have a contamination of \sim 0.1% of low-resolution particles outside the zoomed-in region.

3.1. Star formation: Stochastic variability

High-z galaxies are observed to have an increasing SFH (e.g. Topping et al. 2022) that is predicted to be exponential in our simulations (i.e. Pallottini et al. 2017a, in particular, see Fig. 2). Thus, for each galaxy, we reconstructed the SFH with a 2 Myr time resolution and defined its average trend by adopting a polynomial fit in log space,

\[ \log(\text{SFR/M}_\odot \text{ yr}^{-1}) \equiv \sum_{i=0}^{N-1} p_i \left( \frac{t}{\text{Myr}} \right)^i, \]

where the order was limited to the second order to avoid removing oscillatory terms that might be present in the SFR (see Sec. 3.2.
for the a posteriori motivation). Alternative methods that can be used to determine the variability also implied informed choices, for example the maximum order selected for a principal component analysis (Chaves-Montero & Hearin 2021) and the number and width of time windows for an averaged SFR for non-parametric fits (Leja et al. 2019). We considered the SFH of a galaxy starting from the time $t_0$ when the stellar mass was higher than $10^8 M_\odot$. Using (SFR) from eq. 1, we defined the stochastic time variability (or flickering) of the star formation as the residual of the fit,

$$\delta \equiv \log_{10} \frac{\text{SFR}}{\langle \text{SFR} \rangle}.$$  

Fig. 1 shows an example of the procedure for two representative galaxies with high (log $M_\star / M_\odot \approx 10.2$) and low (log $M_\star / M_\odot \approx 8.5$) mass. As expected for high-redshift systems (see e.g. Fig. 3 in Pallottini et al. 2022), the SFR of the serra galaxies increases with time. The most massive galaxy has a higher SFR, with peaks up to $200 M_\odot$ yr$^{-1}$, and a longer SFH, typically starting at $z \sim 20$ and lasting for about 500 Myr. The lower-mass galaxy barely reaches $10 M_\odot$ yr$^{-1}$, and it forms stars only for a short time-span ($\sim 150$ Myr). In both cases, the average trend is well captured by the fitting procedure, as can be appreciated by eye and as is highlighted by the low $p$-value of the two Kolmogorov-Smirnov (KS) tests performed on SFR and (SFR).

For both galaxies, the probability distribution function (PDF) of $\delta$ is a zero-mean Gaussian. This is partly expected considering the goodness of the fit ($p$-value $\ll 1$) and because the flickering is defined as a residual (eq. 2). However, the amplitude of the s.d. is similar for the two galaxies, $\sigma_\delta \approx 0.29$ (0.19) for the low-mass (high-mass) galaxy. In both cases, the maximum amplitude of the flickering is $|\delta|_{\max} \approx 0.7 - 0.8$. However, extreme fluctuations like this are rare and short-lived. The high-mass galaxy, for instance, exhibits a $\approx 4.7 \sigma_\delta$ peak (probability $\approx 2 \times 10^{-4}\%$) at $t \approx 600$ Myr that only lasts for $\sim 10$ Myr. Furthermore, due to the Gaussian nature of the fluctuations, the duty cycle associated with episodes of mini-quenching (Dome et al. 2023) with an amplitude $\nu_\delta$ can be written as $f_{\text{duty}}(\nu_\delta) = \text{erf}(\nu)$, where erf is the error function.

The same fitting procedure can be applied to the full sample of 245 galaxies. After confirming that the fit gives a satisfactorily low $p$-value ($\leq 0.05$) for all objects, we collected $\delta(t) - \langle M_\star \rangle$ pairs in each 2 Myr time bin. Treating each pair independently from the SFH of the original galaxy, we investigated the dependence of the flickering on the stellar mass. Note that adopting a first order for the fit (eq. 1) yields a poorer KS performance, but qualitatively the same results as presented in Sec. 3.2.

The result of the analysis is reported in Fig. 2, where we show the PDF in the $\delta\langle M_\star \rangle$ plane. The most striking feature is the flat trend of the standard deviation of the flickering, that is, $\sigma_\delta \approx 0.24$, almost independently of $M_\star$. Interestingly, the scatter of the $z < 6$ galaxy main sequence (Popesso et al. 2023) is also constant across the mass range $10^{8.5} - 11 M_\odot$, but with a smaller s.d. of 0.09. Higher deviations ($|\delta|_{\max} = 0.3 \sigma_\delta$) can occur in $7.5 \lesssim \log(M_\star / M_\odot) \lesssim 8.5$ objects. However, these extreme values are not statistically relevant (probability $\approx 0.2\%$), and they do not affect the value of $\sigma_\delta$.

Similarly to Furlanetto & Mirocha (2022), we find that flickering is common in all galaxies, up to the massive galaxies hosted by DM halos $M_h \approx 1.2 \times 10^{12} M_\odot$. However, while these authors find that $\delta(M_\star)$ slightly decreases with mass because in their case, modulation is solely induced by the delay in the feedback regulation of the SFR, the SFR variability in serra can additionally be caused by cosmic accretion and/or merging events (for an analysis, see Kohandel et al. 2020) which play an important role in massive galaxies. We return to this point in Sec. 3.2.

Finally, the $\delta$-PDF is symmetric, which might suggest that intense phases of SFR activity (high $\delta$) are followed by quiescent phases (low $\delta$): As a galaxy enters a starburst regime, the enhanced mechanical, radiative, and turbulent feedback energy injection can temporarily quench or reduce the SFR (Looser et al. 2023; Gelli et al. 2023). Gas cooling is likely to play a major...
role in re-enabling a stable SFR after burst. This study is left to future work (Gelli & al., in prep. (2023)).

3.2. SFR variability: Periodicity analysis

To investigate the presence of periodicity in $\delta(t)$, we adopted the Lomb (1976)-Scargle (1998) periodogram (see VanderPlas 2018 for a practical guide). Instead of a Fourier power spectrum analysis, we used a periodogram estimate because it yields a cleaner peak recognition and a more convenient way to define the peak significance via the false-alarm probability, that is, $w = 1 - \text{false alarm}$.

For illustration, we show in Fig. 3 the periodogram analysis for the same two galaxies as in Fig. 1. Note that we extracted all the peaks above a selected noise threshold; the results were mostly unchanged when the noise threshold was varied within reasonable limits.

For the log $M_\star/M_\odot \approx 10.2$ galaxy, the periodogram identifies a prominent (significance $w \approx 100\%$) peak with a characteristic timescale $t_\delta \approx 91.2$ Myr. This periodicity is visible by eye in the SFH of the galaxy and corresponds to a modulation consistent with cosmological accretion/merging timescales of massive ($M_h \sim 10^{11} M_\odot$) DM halos at $z \approx 6 - 10$ (Furlanetto et al. 2017, Fig. 1). Furthermore, a less significant ($w \approx 3.4\%$) peak is found at $t_\delta \approx 45.6$ Myr, corresponding to the time at which all massive stars ($8 \lesssim m_\star/M_\odot \lesssim 40$) have exploded as SNs. Finally, a $t_\delta \approx 30.4$ Myr feature is also present. Although it might also be associated with SN feedback, the peak has a very low significance ($w \approx 0.0\%$), and we reject it as spurious.

For the log $M_\star/M_\odot \approx 8.5$ galaxy, the periodogram shows a solid ($w \approx 85.3\%$) peak with an SN-compatible timescale ($t_\delta \approx 50.0$ Myr). A second peak is present in the periodogram of this galaxy at $t_\delta \approx 7.9$ Myr. This timescale is consistent with radiative feedback from massive stars. UV radiation might efficiently and quickly affect a low-mass dust-poor galaxy by photo-dissociating H$_2$, thus temporarily halting the star formation (for an extreme example, see the Alyssum effect in Pallottini et al. 2022). In practice, however, the significance of the peak is almost null, and therefore, we cannot firmly confirm our hypothesis in this case. Higher-order fits (eq. 1) tend to suppress longer timescale modulations present in the SFH, for instance the significant $t_\delta \approx 50.0$ Myr ($t_\delta \approx 91.2$ Myr) for the log $M_\star/M_\odot \approx 8.5$ (log $M_\star/M_\odot \approx 10.2$) galaxy.

To complete our study, we performed the periodogram analysis on all our galaxies. Based on the value of their stellar mass at $z = 7.7$, we divided the galaxies into four sub-samples with increasing $M_\star$, that is, $6.5 < \text{log}(M_\star/M_\odot) < 8$, $8 < \text{log}(M_\star/M_\odot) < 8.5$, $8.5 < \text{log}(M_\star/M_\odot) < 9.5$, and $\text{log}(M_\star/M_\odot) > 9.5$, which contain 35, 89, 100, and 11 galaxies, respectively. For each galaxy, we collected all the $t_\delta$ peaks found above the noise threshold (usually, about four peaks per galaxy were found), and computed the PDF of the timescales of the sub-sample by weighing each $t_\delta$ with its significance $w$. The results are shown in Fig. 4.
The low-mass sub-sample ($6.5 < \log(M_\ast/M_\odot) < 8$) shows a clear maximum at $t_\delta \sim 9$ Myr and has a long tail that extends to $\gtrsim 100$ Myr. For intermediate-mass systems, the maximum of the PDF shifts at 48 and 55 Myr for the $8 < \log(M_\ast/M_\odot) < 8.5$ and $8.5 < \log(M_\ast/M_\odot) < 9.5$ sub-samples, respectively; both distributions retain their high-$t_\delta$ tails, which become more pronounced. For the most massive galaxies ($\log(M_\ast/M_\odot) > 9.5$), the maximum remains at about the same timescales, but the tail has grown so much stronger that the PDF is almost flat between the maximum at $t_\delta \sim 53$ Myr and up to $t_\delta \sim 100$ Myr.

Physically, this can be interpreted as follows. The SFR of galaxies in the early stages of growth ($M_\ast/M_\odot \leq 10^6M_\odot$) is modulated by radiative feedback effects (i.e. H$_2$ photo-dissociation). As they grow more massive ($M_\ast \sim 10^9M_\odot$), the timescale for the SFR stochasticity is dominated by SNe; for galaxies at the high-mass end ($M_\ast/M_\odot \gtrsim 5 \times 10^9$), SNe co-regulate the flickering along with cosmological accretion/merging, which is equally important, but acts on longer timescales.

3.3. Flickering: Implications for the UV luminosity

Shen et al. (2023) noted that $\sigma_{UV}$ can be decomposed into contributions from variations produced by accretion history, delay in the feedback regulation of the star formation, and dust attenuation. However, in our analysis, we showed that the first two contributions are both encapsulated in $\delta$, that is, the flickering in serra galaxies is mostly induced by feedback regulation, and DM assembly history plays a role at the high-mass end.

To discuss the effect of the flickering on the bright end of the luminosity function, it is sufficient to assume that the UV luminosity is sensitive to the instantaneous SFR,

$$M_{UV} = -2.5 \log(SFR \times \text{constant}) + M_0,$$

where the constant accounts for the efficiency of photo-production depending on the stellar population (e.g. Madau & Dickinson 2014, for a review), and $M_0$ is a normalisation constant. As noted in Furlanetto & Mirocha (2022), the UV luminosity is sensitive to the SFR in the last $\sim 20$ Myr, which means that if the timescale for the stochastic variation is shorter, the induced UV variation should be reduced. As shown in Sec. 3.2, $M_\ast \gtrsim 10^6M_\odot$ galaxies indeed have $t_\delta \gtrsim 50$ Myr (Fig. 4). We note that assuming an instantaneous photon production (eq. 3) maximises the UV variation. While in principle the photo-production depends on $Z_\ast$, serra galaxies quickly reach almost solar values (Gelli et al. 2020). For $M_{UV}$ the induced variation is therefore negligible.

Thus, considering the instantaneous UV production (eq. 3) and the fact that all SFR variations are encapsulated in $\delta$ (eq. 2), a standard deviation in the SFR of $\sigma_{SFR} \approx 0.24$ would induce an analogous s.d. in the UV magnitude of $\sigma_{UV} \approx 0.61$. This variation is too small to explain the over-abundance of luminous galaxies seen by the JWST at $z \geq 10$. As shown by Mason et al. (2023) (see also Shen et al. 2023; Muñoz et al. 2023), a $\sigma_{UV} \approx 1.5$ ($\approx 2$), that is, $\sim 3\times$ higher than what we find here, is required to reconcile the models with data. Alternatively, this requirement could be directly cast in terms of $\sigma_{\delta}$ and compared with the results in Mirocha & Furlanetto (2023), who implied that $\pm 1$ dex scatter in the SFR is needed, that is, approximately four times the value found here.

4. Discussion

Our results show that the over-abundance of super-early luminous JWST galaxies cannot be easily explained by SFR stochasticity. It is therefore necessary to explore different scenarios.

Among the various possibilities, it has been suggested (Ferrara et al. 2023) that radiation-driven outflows, which are expected to be very common in these luminous compact objects (Fiore et al. 2023; Ziparo et al. 2023), effectively clear the dust and make the galaxies more luminous. Unfortunately, this effect is currently only coarsely modelled in serra and other similar simulations.

Alternatively, modifications to the $\Lambda$CDM cosmology have also been considered (Boylan-Kolchin 2023; Gong et al. 2023; Haslbauer et al. 2022; Parashari & Laha 2023). Although interesting, a more thorough exploration of the implications of a different matter power spectrum on the properties and abundance of low-mass galaxies ($M_\ast \lesssim 10^6M_\odot$), which do not differ from JWST data at the moment (McCaffrey et al. 2023), is necessary to draw firm conclusions. Particular care should be taken in solving the JWST over-abundance problem by modifying the $\Lambda$CDM power spectrum because this change can induce strong tensions at lower $z$ (Gouttenoire et al. 2023; Sabti et al. 2023).

Finally, the feedback-free starburst scenario (e.g. Dekel et al. 2023) remains an intriguing if extreme alternative. However, the implications of this scenario (globular cluster formation, merging of intermediate-mass black holes, and consequences for the reionization history) are yet to be explored.

5. Summary

We have analysed stochastic time variations $\delta(t)$ of the SFR in high-$z$ galaxies by using the growth histories of $245 z = 7.7$ galaxies with stellar mass $5 \times 10^8 \lesssim M_\ast/M_\odot \lesssim 5 \times 10^{10}$ from the serra simulation suite (Pallottini et al. 2022). After fitting the average star formation history, (SFH), for each galaxy, the variation was quantified as $\delta(t) \equiv \log[SFR/(SFR)]$. The main results are listed below.

The variation $\delta(t)$ is independent of $M_\ast$ and is distributed as a zero-mean Gaussian with a standard deviation $\sigma_{\delta} \simeq 0.24$.

![Figure 4](image_url)
\( \delta(t) \) is periodic on timescales that increase with \( M_\ast \); \( \delta_\text{SFR} \sim (9, 50, 100) \text{ Myr} \) for \( M_\ast \sim (0.1, 1, 5) \times 10^6 M_\odot \), respectively. These modulations for low, intermediate, and high stellar mass are induced by (i) photoevaporation of molecular hydrogen, (ii) SN explosions, and (iii) cosmic accretion. Feedback (either radiative or mechanical) regulation is important in the whole mass range, and cosmic accretion becomes the dominant variability source for \( \sim 5 \times 10^7 M_\odot \) galaxies.

SFR variations induce analogous UV magnitude variations with a standard deviation \( \sigma_{\text{UV}} \sim 0.61 \). This amplitude falls short by about three times (Shen et al. 2023) or about four times (Mirocha & Furlanetto 2023) to explain the over-abundance of luminous \( \sim 10 \) galaxies seen by the JWST. This over-abundance is instead more readily explained by models in which radiation-driven outflows efficiently clear the dust from these super-early systems (e.g. Ferrara et al. 2023).

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