

Spatially resolved $H\alpha$ and ionizing photon production efficiency in the lensed galaxy MACS1149-JD1 at a redshift of 9.11

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ABSTRACT

We present MIRI/JWST medium-resolution spectroscopy (MRS) and imaging (MIRIM) of the lensed galaxy MACS1149-JD1 at a redshift of $z = 9.1092 \pm 0.0002$, when the Universe was about 530 Myr old. We detect, for the first time, spatially resolved $H\alpha$ emission in a galaxy at a redshift above nine. The structure of the $H\alpha$ emitting gas consists of two clumps, S and N, carrying about 60% and 40% of the total flux, respectively. The total $H\alpha$ luminosity implies an instantaneous star-formation rate in the range of 3.2 ± 0.3 and $5.3 \pm 0.4 M_{\odot} \text{ yr}^{-1}$ for sub-solar and solar metallicities. The ionizing photon production efficiency, $\log(\zeta_{\text{ion}})$, shows a spatially resolved structure with values of 25.55 ± 0.03 ; 25.47 ± 0.03 ; and $25.91 \pm 0.09 \text{ Hz erg}^{-1}$ for the integrated galaxy and clumps S and N, respectively. The $H\alpha$ rest-frame equivalent width, $EW_0(H\alpha)$, is $726^{+660}_{-182} \text{ \AA}$ for the integrated galaxy, but it presents extreme values of $531^{+300}_{-96} \text{ \AA}$ and $\geq 1951 \text{ \AA}$ for clumps S and N, respectively. The spatially resolved ionizing photon production efficiency is within the range of values measured in galaxies at a redshift above six and well above the canonical value ($25.2 \pm 0.1 \text{ Hz erg}^{-1}$). The $EW_0(H\alpha)$ is a factor of two lower than the predicted value at $z = 9.11$ based on the extrapolation of the evolution of the $EW_0(H\alpha)$ with redshifts, $\propto (1+z)^{2.1}$, including galaxies detected with JWST. The extreme difference of the $EW_0(H\alpha)$ for clumps S and N indicates the presence of a recent ($< 5 \text{ Myr}$) stellar burst in clump N and a star formation over a larger period of time (e.g., $\sim 50 \text{ Myr}$) in clump S. The different ages of the stellar population place MACS1149-JD1 and clumps N and S at different locations in the $\log(\zeta_{\text{ion}})$ to $EW_0(H\alpha)$ plane and above the main relation defined from intermediate- and high-redshift ($z = 3-7$) galaxies detected with JWST. Finally, clump S and N show very different $H\alpha$ kinematics, with velocity dispersions of $56 \pm 4 \text{ km s}^{-1}$ and $113 \pm 33 \text{ km s}^{-1}$, likely indicating the presence of outflows or increased turbulence in clump N. The dynamical mass $M_{\text{dyn}} = (2.4 \pm 0.5) \times 10^9 M_{\odot}$, obtained from the size of the integrated $H\alpha$ ionized nebulae and its velocity dispersion, is within the range previously measured with the spatially resolved [OIII]88 μm line.

Key words. galaxies: high-redshift – galaxies: ISM – galaxies: individual: MACS1149-JD1 – galaxies: starburst

1. Introduction

The quest for the first galaxies that reionized the Universe at redshifts six to 10 during the so-called Epoch of Reionization (EoR) is one of the main goals of the *James Webb* Space Telescope (JWST; Gardner et al. 2023). Already in its first year of operation, JWST has pushed the limits of our knowledge of galaxy formation in the early universe well into the EoR. In addition to photometrically identified galaxy candidates up to $z \sim 16$ (Adams et al. 2024; Donnan et al. 2023; Finkelstein et al. 2023; Harikane et al. 2023a; Pérez-González et al. 2023a), a large number of galaxies have already been spectroscopically confirmed at redshifts above six and up to a redshift of 13.2 (Arrabal Haro et al. 2023; Boyett et al. 2024a; Bunker et al. 2023; Curtis-Lake et al. 2023; Fujimoto et al. 2023; Matthee et al. 2023; Williams et al. 2023). While most sources at those redshifts are star-forming galaxies (SFGs), active galactic nuclei (AGNs) less massive than the already known $z > 6$ quasars (Yang et al. 2023) have also been detected through the presence of broad $H\beta$ lines (Harikane et al. 2023b; Kocevski et al. 2023; Larson et al. 2023; Maiolino et al. 2023, 2024). The study of the nature of these EoR sources and their contribution to the reionization of the Universe is now possible thanks to JWST. Deep rest-frame ultraviolet imaging com-

pared with the spectroscopy of hydrogen Balmer emission lines provides an opportunity to directly measure the ionizing flux and therefore establish accurate measurements of key quantities, such as the instantaneous star-formation rate (SFR), the UV luminosity function, the ionizing photon production efficiency (ζ_{ion}), and the escape fraction (f_{esc}).

Prior to JWST, MACS1149-JD1 was the highest redshift galaxy spectroscopically confirmed using emission lines. MACS1149-JD1 was first identified as a strongly lensed galaxy at a photometric redshift of 9.6 behind the MACS1149+2223 cluster (Zheng et al. 2012) and later at 9.44 ± 0.12 based on improved photometry (Zheng et al. 2017, see also Hoag et al. 2018). A confirmation of the redshift being above nine came from the detection of the [OIII]88 μm emission line with the Atacama Large Millimeter Array (ALMA), $z = 9.1096 \pm 0.0006$ (Hashimoto et al. 2018). The combined *Hubble* Space Telescope (HST) and *Spitzer* photometry is consistent with the spectral energy distribution (SED) of a young ($< 10 \text{ Myr}$ old) plus a mature (290–512 Myr, Hashimoto et al. 2018; Laporte et al. 2021) stellar population. The latter would explain the flux excess measured in the IRAC 4.5 μm band relative to the 3.6 μm band as being due to the rest-frame 0.4 μm Balmer break, placing the epoch of formation of this galaxy at a redshift of 15 or above. New near-IR JWST imaging and spectroscopic

observations have reported no evidence for the presence of a flux excess at $4.5\ \mu\text{m}$, excluding the existence of a prominent Balmer break produced by a dominant mature stellar population (Bradač et al. 2024; Stiavelli et al. 2023). The near-IR spectroscopy of JWST has confirmed that MACS1149-JD1 is a dust-free galaxy ($H\gamma/H\beta = 0.50 \pm 0.03$) with a sub-solar metallicity ($12 + \log(\text{O}/\text{H}) = 7.88 \pm 0.05$, Stiavelli et al. 2023). High-angular resolution [OIII] $88\ \mu\text{m}$ observations have measured a velocity field consistent with a disk with a mass of $0.65^{+1.37}_{-0.40} \times 10^9 M_{\odot}$ (Tokuoka et al. 2022). Finally, while MACS1149-JD1 is apparently bright due to its magnification, its intrinsic UV luminosity ($M_{\text{UV}} = -19.2$ for a magnification of 11.5) places it in the intermediate luminosity range of the UV luminosity function at a redshift of nine (Pérez-González et al. 2023a). MACS1149-JD1 is a good prototype to investigate the ionizing properties of similar sources in the early Universe.

The Mid-Infrared Instrument (MIRI; Rieke et al. 2015; Wright et al. 2015, 2023) on board JWST is the only instrument that can provide spectroscopic observations of the $H\alpha$ emission line of galaxies at redshift above approximately seven (Álvarez-Márquez et al. 2019) as well as rest-frame optical and near-IR imaging. This paper presents the first detection of the $H\alpha$ emission line and rest-frame optical ($\sim 0.55\ \mu\text{m}$) imaging of the lensed MACS1149-JD1 galaxy at a redshift of 9.11. Section 2 introduces the MIRI observations and calibrations. Section 3 presents the $H\alpha$ spectrum and the photometry and line flux measurements. Section 4 presents the results and discussion, which includes the stellar and ionized gas distribution (Sect. 4.1); the instantaneous SFR (Sect. 4.2); the ionizing photon production efficiency (Sect. 4.3); the equivalent width of $H\alpha$ (Sect. 4.4) and its relation with the ionizing photon production efficiency (Sect. 4.5); and the kinematics of the ionized gas (Sect. 4.6). Finally, Sect. 5 gives the summary and conclusions. Throughout this paper, we assume a Chabrier initial mass function (IMF; Chabrier 2003) and a flat Λ CDM cosmology with $\Omega_{\text{m}} = 0.310$ and $H_0 = 67.7\ \text{km s}^{-1}\ \text{Mpc}^{-1}$ (Planck Collaboration VI 2020). For this cosmology, 1 arcsec corresponds to 4.522 kpc at $z = 9.1092$, and the luminosity distance is $D_{\text{L}} = 95331.2\ \text{Mpc}$. For these cosmological parameters and redshift, the age of the Universe corresponds to 535 Myr. A magnification factor of $11.5^{+3.3}_{-3.1}$, mean of seven models (Zheng et al. 2017), was assumed when converting observed fluxes and sizes into intrinsic fluxes and sizes. We use vacuum emission line wavelengths throughout the paper.

2. MIRI observations and data calibration

MACS1149-JD1 was observed with MIRI on the 28 April and 12 May 2023 as part of the MIRI European Consortium guaranteed time observations (program ID 1262). The observations are composed of MIRI imaging (MIRIM; Bouchet et al. 2015) and integral field spectroscopy using the Medium Resolution Spectrograph (MRS; Wells et al. 2015; Argyriou et al. 2023). The MIRIM observations were performed using the $F560W$ filter covering the rest-frame optical at $\sim 0.55\ \mu\text{m}$. It has a total on-source integration time of 2675 s using the FASTR1 readout mode. The observational setup consists of a four-point dither pattern and two integrations of 120 groups each. The MIRIM $F560W$ observations were calibrated with version 1.12.3 of the JWST pipeline (Bushouse et al. 2023) and context 1188 of the Calibration Reference Data System (CRDS). In addition to the general procedure, our data calibration process includes additional steps to correct for striping artifacts and background gradients (see Álvarez-Márquez et al. 2023; Pérez-González et al.

2023b for details). The final dithered $F560W$ image has a pixel size of $0.06''$.

The MRS observations were performed in the LONG band that simultaneously covers the wavelength ranges of $6.53\text{--}7.65\ \mu\text{m}$, $10.02\text{--}11.70\ \mu\text{m}$, $15.41\text{--}17.98\ \mu\text{m}$ and $24.19\text{--}27.9\ \mu\text{m}$ for channels 1, 2, 3 and 4, respectively. We only used the MRS Channel 1 for this work, which includes the spectral range of the redshifted $H\alpha$ emission line together with fainter emission lines such as [NII]6550, 6565 Å and [SII]6718, 6733 Å. An inspection of the other MRS channels suggested no detection of additional emission lines. The on-source integration time is 22 743 s, distributed in eight dither positions using the two available (Negative and Positive) four-point dither patterns. For each dither position, a total of six integrations were obtained using the SLOWR1 readout mode with 19 groups each. The MRS observations were processed with version 1.11.2 of the JWST calibration pipeline and context 1100 of the CRDS. In general, we followed the standard MRS pipeline procedure (Labiano et al. 2016) with additional customized steps to improve the quality of the final MRS-calibrated products (see Álvarez-Márquez et al. 2023; Bosman et al. 2023 for details). In addition, we implemented a $1/f$ noise (correlated noise in the vertical direction of the detector) subtraction following Perna et al. (2024), and we turned on the outlier_detection step in stage three of the JWST pipeline. The final 3D spectral cube of channel 1LONG has a spatial and spectral sampling of $0.13'' \times 0.13'' \times 0.8\ \text{nm}$ (Law et al. 2023) and a resolving power of ~ 3500 ($85\ \text{km s}^{-1}$, Labiano et al. 2021; Jones et al. 2023).

Finally, we corrected the astrometry in the MIRIM $F560W$ image and the MRS 1LONG 3D spectral cube. The $F560W$ image was realigned by measuring the centroid of three field stars with available Gaia DR3 (Gaia Collaboration 2023) coordinates. To align the MRS cube, we used the two available Gaia DR3 stars in the field of view (FoV) of the MIRIM $F770W$ and $F1000W$ images taken simultaneously with the MRS observations. The final uncertainty in the data set alignment is less than a pixel in the MIRIM drizzle images (i.e., $<60\ \text{mas}$). Figure 1 shows the MIRIM $F560W$ images and the MRS $H\alpha$ line map together with an archival WFPC3/HST $F160W$ image. The $H\alpha$ line map was generated by integrating the MRS 1LONG cube in the velocity range, $-150 < v[\text{km s}^{-1}] < 150$, and taking the $H\alpha$ emission line peak derived by the Gaussian line fit as a reference.

3. MIRI photometric and spectroscopic measurements

The MIRIM imaging and MRS spectroscopy detected the rest-frame optical ($\sim 0.55\ \mu\text{m}$) and $H\alpha$ emission of MACS1149-JD1 (see Fig. 1). The $H\alpha$ line map indicates the existence of two spatially resolved $H\alpha$ emitting regions (see Fig. 1). These regions are spatially coincident with the morphology detected in the HST $F160W$ image, which is composed of a bright clump in the south (henceforth, clump S) and a secondary fainter emission in the north (henceforth, clump N). This structure is compatible with the one recently published using the high-resolution near-IR NIRCcam images (Bradač et al. 2024; Stiavelli et al. 2023). Three unresolved clumps (C1, C2, and C3) and an extended emission (G) have been identified in the NIRCcam images (Bradač et al. 2024). In our lower angular resolution $F560W$ image and $H\alpha$ line map, clump S is the combination of clumps C2 and C3 together with the extended G emission, and clump

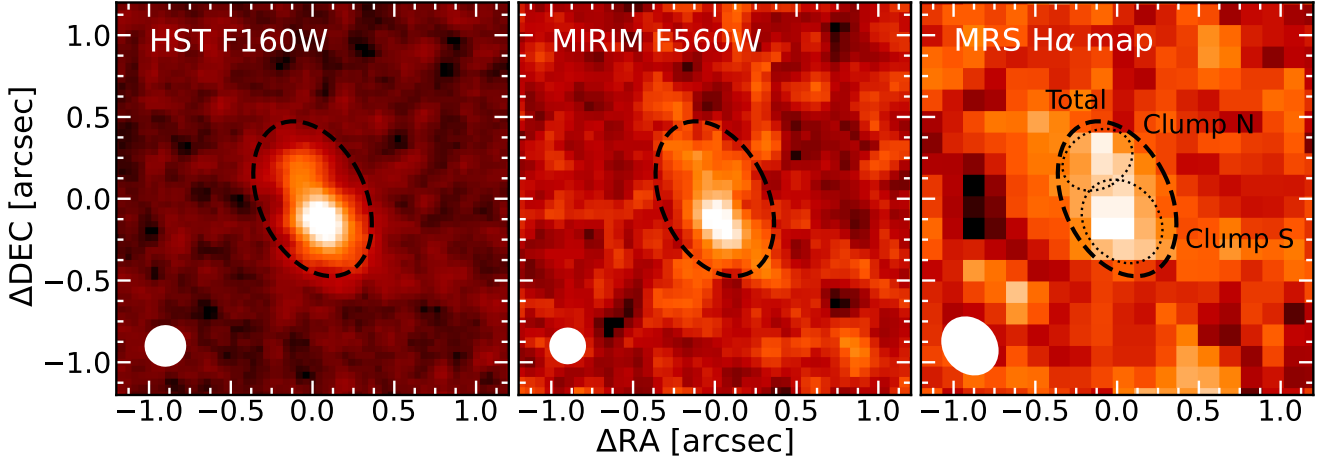


Fig. 1. Images of MACS1149-JD1 presenting the observed stellar and ionized gas structure. From left to right: Archival HST WFPC3/F160W from Hubble Advanced Product Multi-Visit Mosaic (HAP-MVM) program, MIRIM *F560W* image, and MRS $H\alpha$ line map. The $H\alpha$ line map was generated by integrating $H\alpha$ line emission in the velocity range, $-150 < v \text{ [km s}^{-1}] < 150$. The origin of the image corresponds to (RA [deg], Dec [deg]) of (177.389945, +22.412722). The black dashed ellipse indicates the aperture used to perform the MIRIM *F560W* photometry and the MRS 1D spectral extraction. Dotted black ellipses identify the $H\alpha$ emitting regions referred to as clump N and S, which are spatially coincident with the two emitting regions in the HST *F160W* image. Moreover, clump N corresponds to clump C1, and clump S includes clumps C2 and C3 as well as the galaxy component (G) following Bradač et al. (2024) nomenclature. The white area represents the spatial resolution (PSF FWHM) of each observation. MACS1149-JD1 shows an elongated structure due to the lens magnification of cluster MACS J11491+2223 (Zheng et al. 2012).

N is associated with C1. A detailed account of how the $H\alpha$ and *F560W* flux measurements were derived for the integrated galaxy and for the independent regions is given in the following sections.

3.1. $H\alpha$ spectra and line fluxes

We generated the 1D $H\alpha$ spectrum in different apertures to obtain the spectrum for MACS1149-JD1 and for each of the clumps. First, we extracted the integrated $H\alpha$ spectrum using an elliptical aperture with a semi-major axis of $0.5''$, a semi-minor axis of $0.325''$, a position angle of 115° , and a center at position with RA [deg] = 177.389945 and Dec [deg] = +22.412722 (see the black dashed ellipse in Fig. 1). We also extracted nine 1D spectra using the same aperture at different positions of the MRS FoV clean of emission from the MACS1149-JD1 galaxy. We combined these spectra to generate the 1D median and standard deviation of the local background. The median, which is compatible with zero, was subtracted from the $H\alpha$ spectrum with the goal of removing any systematic residual feature left in the MRS calibration process. The $H\alpha$ spectrum was modeled by a one-component Gaussian function and a second-order polynomial to fit the emission line and any residual background gradient, respectively. The total $H\alpha$ spectrum is shown in Fig. 2 together with the Gaussian fit and the 1σ uncertainty. The observed $H\alpha$ line is centered at a wavelength of $6.6363 \pm 0.0002 \mu\text{m}$, corresponding to a redshift of 9.1092 ± 0.0002 for MACS1149-JD1, in agreement with previous far-IR line observations (see Sect. 4.6). The $H\alpha$ line was spectrally resolved and presented an intrinsic full width half maximum (FWHM) of $163 \pm 12 \text{ km s}^{-1}$ after instrumental broadening correction (Labiano et al. 2021). The total observed $H\alpha$ flux is $(1.05 \pm 0.07) \times 10^{-17} \text{ erg s}^{-1} \text{ cm}^{-2}$, and it was detected with a signal-to-noise ratio of 15. Fainter [NII]6550, 6565 Å and [SII]6718, 6733 Å emission lines were not detected with an observed 3σ upper limit of $2.1 \times 10^{-18} \text{ erg s}^{-1} \text{ cm}^{-2}$ (in agreement with the metallicity provided by Stiavelli et al. 2023). The $H\alpha$ fluxes were corrected for aperture losses, assuming

that the two clumps identified in the $H\alpha$ line map are unresolved sources for the MRS angular resolution. The MRS point spread function (PSF) has an FWHM equal to $0.37'' \times 0.31''$ at $6.64 \mu\text{m}$ (Argyriou et al. 2023). The percentage of flux outside the selected aperture is 39% of the total using the latest MRS PSF models (Papatis et al., in prep.). The uncertainties on the derived emission line parameters, such as the line FWHM, flux, central wavelength, were estimated using a Monte Carlo simulation. The noise of the spectrum was measured as the root mean square of the continuum surrounding the emission line. This noise was used to generate new spectra ($n = 1000$), where a random Gaussian noise with a sigma equal to the rms was added to the original spectrum before the lines were fitted again. The final uncertainty is the standard deviation of all the individual measurements.

The 1D spectral extractions of the $H\alpha$ in clumps N and S used elliptical apertures of semi-major axis of $0.225''$ and $0.275''$, a position angle of 130 and 30 deg , and a semi-minor axis of $0.175''$ and $0.225''$. The clumps were respectively centered at the (RA [deg], Dec [deg]) positions (177.3899805, 22.41278811) and (177.3899325, 22.4126886). These elliptical apertures are represented with black dotted lines in Fig. 1. The $H\alpha$ spectra and fluxes were corrected by aperture losses, and by the contamination of the companion clump taken into account the MRS PSF and that both clumps are unresolved. The $H\alpha$ line emissions derived for clump N and S are compared with the integrated $H\alpha$ line in Fig. 2, and their fluxes and properties are presented in Table 1. The total $H\alpha$ flux and the sum of the individual clump fluxes are in agreement within the uncertainties. This suggests that the $H\alpha$ emission is mainly dominated by the two unresolved clumps, excluding any diffuse component.

3.2. MIRIM *F560W* and HST *F160W* photometry

The MIRIM *F560W* total photometry was generated using the same aperture as for the 1D spectral extraction of $H\alpha$. The local background level and standard deviation was estimated in a circular annulus centered at the same position as the total

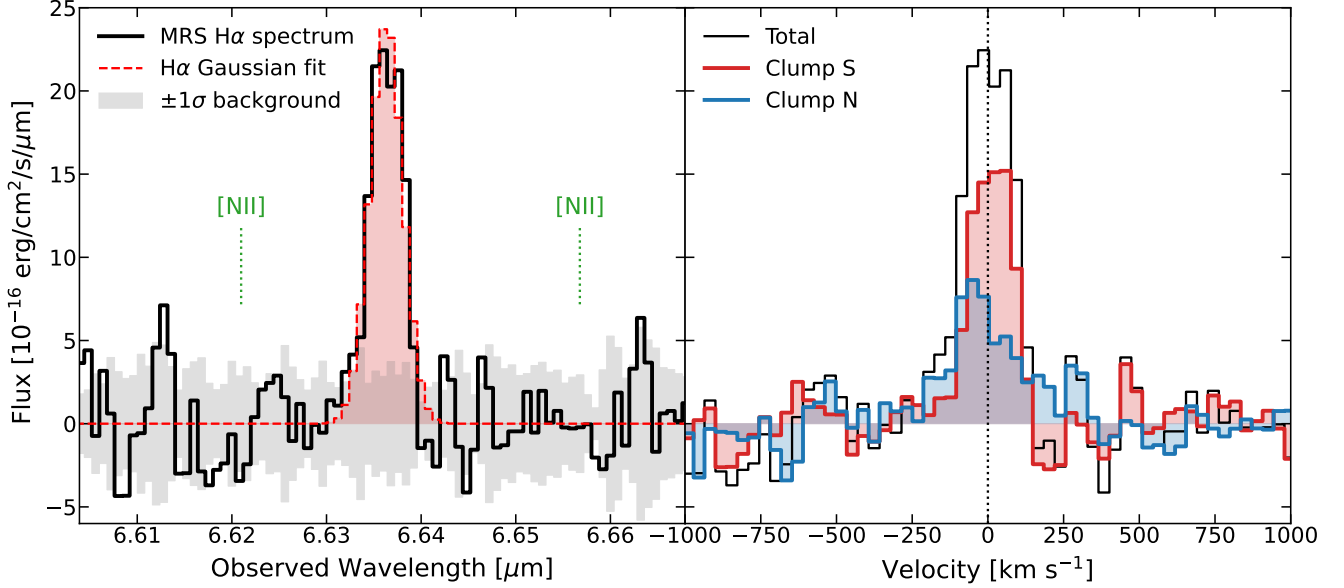


Fig. 2. MRS 1LONG spectrum of MACS1149-JD1 centered on the H α emission line. The left panel shows the total integrated H α spectrum. The black line represents the integrated MRS spectrum of MACS1149-JD1 extracted using the total aperture (see Fig. 1). The red dashed line and area are the best one-component Gaussian fit. The gray area indicates the $\pm 1\sigma$ calculated as the standard deviation from nine different background spectra. The green dashed line shows the location of the [NII]6550, 6565 Å emission lines. The right panel shows the H α spectra in velocity space for the two spatially separated clumps identified in the H α line map. The black line represents the integrated MRS spectrum of MACS1149-JD1 extracted using the total aperture. The red line and area indicate the integrated MRS spectrum of clump S. The blue line and area show the integrated MRS spectrum of clump N.

aperture and with an inner radius of 0.7'' and outer radius of 1.5''. The measured flux and uncertainties were corrected by aperture losses, considering that the emission is unresolved and centered at the position of the bright emission. The percentage of flux outside the selected elliptical aperture is 37% of the total, assuming the empirical MIRIM *F560W* PSF with a $\sim 0.2''$ FWHM, as derived for the MIRI Deep Imaging Survey (MIDIS; Boogaard et al. 2023). We also scaled the photometric uncertainties in order to take into account the correlated noise introduced by drizzling in the MIRIM *F560W* image. We used 1000 apertures on blank regions to measure the rms on both the nominal and drizzled images. This effect was estimated to be a factor of 2.192 that needs to be applied to the errors calculated in the drizzled *F560W* image (Östlin et al., in prep.). The final observed MIRIM *F560W* flux of MACS1149-JD1 is 0.34 ± 0.03 μ Jy. Following the same methodology, we obtained an HST *F160W* total flux of 0.224 ± 0.002 μ Jy. To correct for aperture losses, we used a PSF generated using three stars of the HST image FoV. The percentage of flux outside the selected elliptical aperture is 28%. The HST *F160W* photometry is in perfect agreement with the one obtained from the NIRCcam and NIRISS imaging at 1.5 μ m (0.230 ± 0.017 μ Jy Bradač et al. 2024).

The HST *F160W* and MIRIM *F560W* fluxes for clump N and S were calculated by applying a methodology similar to the one used in the 1D extraction of the H α spectrum. The photometry (see Table 1) was obtained for clumps N and S after decontamination of the contribution of the companion clump in the aperture and by applying the corresponding aperture corrections for unresolved sources. In addition, we modeled the surface brightness distribution in *F560W* using GALFIT (Peng et al. 2002). Following the structure found in NIRCcam (Bradač et al. 2024; Stiavelli et al. 2023), we used two unresolved sources to fit the central emission of the galaxy (corresponding to C2 and C3) and a Sérsic profile to fit the diffuse emission (corresponding to G). Clump C1, which is mainly detected as an unresolved

source in the rest-frame UV NIRCcam images, is not detected above the residuals of the combined emission of the C2 and C3 clumps and the diffuse component. The total flux given by the sum of all individual components is 0.33 ± 0.03 μ Jy, which is in perfect agreement with the one obtained in the total aperture. The flux for clump S, which is the combination of the unresolved components after correcting for aperture plus the additional contribution within the aperture of the diffuse emission, is 0.26 ± 0.02 μ Jy following GALFIT analysis. These values are in agreement within the uncertainties with the ones using the general methodology and are given in Table 1. We did not implement aperture correction for the *F560W* flux of clump N in the general methodology because the GALFIT analysis showed that the aperture of clump N is dominated by diffuse emission. The GALFIT model for the HST *F160W* image does not converge to a solution, so we assumed that the UV light is dominated by the bright clumps identified in the NIRCcam images (e.g., Stiavelli et al. 2023), and we implemented aperture corrections in both clumps.

4. Results and discussion

We detected and measured the spatially resolved H α and rest-frame optical emission in a galaxy at redshift above nine, that is, during the initial stages of the EoR. Combined with rest-frame UV ancillary data, the new MIRI data provide direct constraints for important properties of MACS1149-JD1, such as the spatially resolved instantaneous SFR, the ionizing photon production efficiency, the H α equivalent width, the ionized gas kinematics, and dynamical mass estimates. MACS1149-JD1 has an intrinsic UV luminosity ($M_{UV} = -19.2$ for a magnification of 11.5) in the intermediate luminosity range of the UV luminosity function at a redshift of nine (Pérez-González et al. 2023a), and it can therefore be considered a good prototype to investigate the ionizing properties of similar sources at this early epoch of

Table 1. Photometry and H α fluxes and main physical properties for MACS1149-JD1 and each of its clumps.

	Total	Clump S	Clump N
Flux HST <i>F160W</i> [μJy]	0.224 ± 0.002	0.162 ± 0.001	0.042 ± 0.001
Flux MIRIM <i>F560W</i> [μJy]	0.34 ± 0.03	0.25 ± 0.02	0.032 ± 0.007
Flux H α [$\times 10^{-18}$ erg s $^{-1}$ cm $^{-2}$]	10.5 ± 0.7	6.3 ± 0.4	4.6 ± 0.9
H α peak [μm]	6.6363 ± 0.0002	6.6367 ± 0.0001	6.6359 ± 0.0005
FWHM H α [km s $^{-1}$]	163 ± 12	131 ± 9	267 ± 77
M_{UV} [AB mag]	-19.2	-18.9	-17.4
SFR $_{\text{H}\alpha}$ [M_{\odot} yr $^{-1}$] ⁽¹⁾	3.2 ± 0.3	1.9 ± 0.2	1.4 ± 0.3
log(ζ_{ion} [Hz erg $^{-1}$])	25.55 ± 0.03	25.47 ± 0.03	25.91 ± 0.09
EW $_0$ (H α) [\AA]	726^{+660}_{-182}	531^{+300}_{-96}	>1951

Notes. The table presents observed fluxes that have not been corrected by magnification. The other parameters are not affected by the magnification, except for the cases of M_{UV} and SFR $_{\text{H}\alpha}$, which use a magnification correction of 11.5. ⁽¹⁾The SFR $_{\text{H}\alpha}$ were calculated for a metallicity of $0.28 Z_{\odot}$ (see Reddy et al. 2018 and Sect. 4.2).

the Universe. The main results of this work are presented in the following sections.

4.1. Stellar and ionized gas distribution

Figure 1 shows the HST *F160W* and MIRIM *F560W* images and the MRS H α line map of MACS1149-JD1 at $z=9.11$. The *F160W* image traces the rest-frame UV emission at $\sim 0.16 \mu\text{m}$, which is mainly dominated by the young stellar population. Recently, high-resolution NIRC*am* observations decomposed MACS1149-JD1 into three unresolved clumps and a diffuse emission at rest-frame UV (Bradač et al. 2024; Stiavelli et al. 2023). This structure is compatible with the one seen in HST, which shows a bright clump in the south, clump S, and a secondary fainter emission in the north, clump N.

The MIRIM *F560W* image traces the rest-frame optical ($\sim 0.55 \mu\text{m}$), combining the stellar continuum and emission lines. The strong optical emission lines, such as [OIII]4960, 5008 \AA , are located close to the edge of the filter bandpass, where the transmission decreases rapidly. If we consider the derived H α (see Sect. 3) and assume the low-metallicity ($\sim 0.2 Z_{\odot}$) templates from Álvarez-Márquez et al. (2019), the contributions of the [OIII]4960, 5008 \AA emission lines would be about 35% of the total flux measured in the *F560W* image. Their contribution drop to about 15% and 7% for the assumption of metal-poor galaxies with metallicities 0.04 and $0.02 Z_{\odot}$. In the case of having an extreme [OIII]5008 \AA /H α flux ratio of 3.5 (Stiavelli et al. 2023), the [OIII]4960, 5008 \AA contribution would be up to about 60%. The flux in the *F560W* image is dominated by the emission of clump S, which could be decomposed into two unresolved sources and a diffuse emission (see Sect. 3), while clump N is dominated by the outskirt regions of the diffuse emission.

The ionized gas traced by the H α emission shows a spatial structure similar to that of the rest-frame UV. The peak emission coincides with that of the HST *F160W* and MIRIM *F560W* images, clump S, while an extension toward the north is detected, clump N, which is coincident with the emission of a rest-frame UV clump (Bradač et al. 2024; Stiavelli et al. 2023). Moreover, the ALMA [OIII]88 μm emission line observation presents an elongated structure of the size $0.82'' \times 0.3''$, in agreement with the extension of the H α emission. This indicates that the stellar population and the nebular emission are located in the same regions in MACS1149-JD1, where H α traces the presence of young stellar populations.

4.2. Instantaneous star-formation rate

Considering no significant internal extinction is present, we derived the instantaneous SFR directly from the H α flux following (Kennicutt & Evans 2012):

$$\text{SFR}(M_{\odot} \text{ yr}^{-1}) = 5.37 \times 10^{-42} \times L(\text{H}\alpha, \text{ erg s}^{-1}) \times (1 - f_{\text{esc}})^{-1} \quad (1)$$

for a Chabrier IMF and a given escape fraction (f_{esc}) for the ionizing photons. As we are not in the position to derive f_{esc} for this source, we assumed $f_{\text{esc}}=0$ for comparison with other works. Under this assumption, the SFR corresponds to $5.3 \pm 0.4 M_{\odot} \text{ yr}^{-1}$. For lower than solar metallicities, a slightly lower H α luminosity to SFR conversion factor (3.236×10^{-42} for $0.28 Z_{\odot}$, Reddy et al. 2018) should be used. Applying this factor, the SFR is $3.2 \pm 0.2 M_{\odot} \text{ yr}^{-1}$ (see Table 1 for the SFRs of clumps N and S). These SFR values agree with those derived from the UV continuum using the HST *F160W* image (i.e., $0.158 \mu\text{m}$ rest-frame). The conversion of the UV flux to the SFR depends not only on the metallicity but also on the star-formation history (SFH), with normalization factors that have a large dependence on the length of the star-formation process (e.g., Calzetti 2013). For MACS1149-JD1, with an integrated *F160W* observed flux of $0.224 \pm 0.002 \mu\text{Jy}$, an SFR of 6.3, 2.7, and $1.9 M_{\odot} \text{ yr}^{-1}$ was derived for solar metallicity and a constant SFH of 2 Myr, 10 Myr, and more than 100 Myr, respectively. Finally, the H α -based SFR agrees with the far-IR estimate ($\text{SFR}([\text{CII}]158 \mu\text{m}) = 5.7 M_{\odot} \text{ yr}^{-1}$) based on the detection (4.6σ) of the [CII]158 μm emission line (Carniani et al. 2020) and assuming that the SFR to [CII]158 μm luminosity relation for low- z galaxies (De Looze et al. 2014) is also valid for high- z galaxies (Schaerer et al. 2020).

The good agreement between the H α -, UV-, and [CII]158 μm -based SFRs already provides relevant information about the system. While the SFR derived from the H α line traces the presence of massive young ionizing stars (i.e., less than 10 Myr old), the UV flux can also trace the non-ionizing continuum of less massive stars and therefore potentially longer periods of time in the recent SFH of the galaxy. The first qualitative conclusion is that internal extinction is very low and is not playing a relevant role. This is supported by recent NIRSpec/JWST measurement of the H β /H γ ratio that is in agreement with Case B recombination (Stiavelli et al. 2023). Otherwise, the H α -derived SFR would be much larger than the UV-based SFR due to the increasing extinction effect toward shorter wavelengths. On the other hand, the H α -to-UV SFR ratio is close to one for ages younger than 10 Myr only. Thus, a

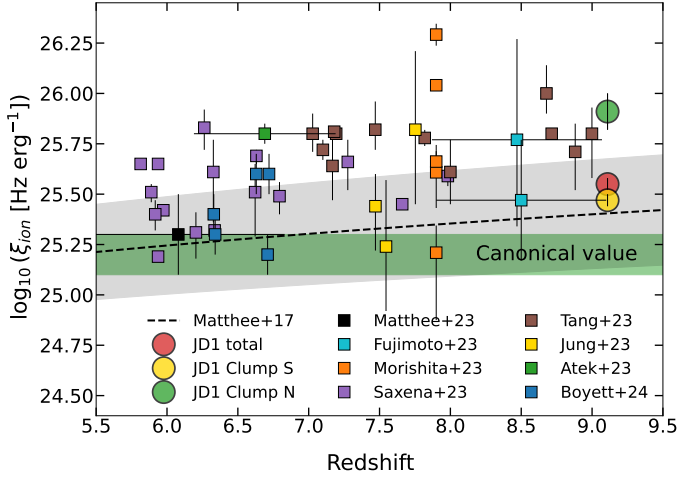


Fig. 3. Ionizing photon production efficiency as a function of redshift. MACS1149-JD1 is represented by circles, where we distinguish between the values of the integrated galaxy (red) and the spatially resolved clumps N (green) and S (yellow). The squares indicate galaxies spectroscopically identified at $z \geq 6$ with JWST (Fujimoto et al. 2023; Jung et al. 2023; Morishita et al. 2023; Saxena et al. 2024; Matthee et al. 2023; Tang et al. 2023; Atek et al. 2024; Boyett et al. 2024b). The green area shows the canonical value for the ionizing photon efficiency (Robertson et al. 2013). The black line and gray area show the variation of the photon efficiency with redshift and its uncertainty (Matthee et al. 2017).

young, unobscured stellar population appears to dominate the UV spectrum and the ionization of the ISM in this galaxy. The mass of the young stellar population can also be estimated from the prediction of the number of ionizing photons produced by the young stars. The integrated $H\alpha$ luminosity provides a total number of ionizing photons equal to $N_{\text{LyC}} = 7.4 \times 10^{53} \text{ ph s}^{-1}$ (see Sect. 4.3). This ionizing radiation can only be produced by a young stellar burst with a stellar mass equal to $\sim 10^7 M_{\odot}$ (e.g., Stanway & Eldridge 2023). As the UV emission is distributed in several compact, bright clumps (Bradač et al. 2024), the stellar mass in each of these clumps is predicted to be of the order of a few $\times 10^6 M_{\odot}$.

4.3. Ionizing photon production efficiency

The ionizing photon production efficiency is given as the ratio of the ionizing to the non-ionizing UV flux. For an $H\alpha$ luminosity and assuming recombination, the ratio is given as

$$\zeta_{\text{ion}} (\text{Hz erg}^{-1}) = \frac{N_{\text{LyC}} (\text{ph s}^{-1})}{L_{\text{UV}} (\text{erg s}^{-1} \text{ Hz}^{-1})} \quad (2)$$

with

$$N_{\text{LyC}} (\text{ph s}^{-1}) = 7.5 \times 10^{11} \times \frac{L(H\alpha, \text{erg s}^{-1})}{(1 - f_{\text{esc}})}, \quad (3)$$

where f_{esc} are the fractions of ionizing photons escaping into the IGM. The number of ionizing photons (N_{LyC}) is given for an ISM with electron temperatures of 1.5×10^4 K and low densities (e.g., Colina et al. 1991). The ratio of $H\alpha$ luminosity to the number of ionizing photons has a slight dependence on the electron temperature. We chose the factor for a temperature of 1.5×10^4 K, measured in low-metallicity low- z galaxies (see, e.g., Álvarez-Márquez et al.

2019 and references therein). Assuming an escaping fraction of zero, the $\log(\zeta_{\text{ion}})$ values are 25.55 ± 0.03 ; 25.47 ± 0.03 ; and $25.91 \pm 0.09 \text{ Hz erg}^{-1}$ for MACS1149-JD1 and for the spatially resolved clumps S and N, respectively (see Table 1). These values (see Fig. 3) are significantly larger than the canonical value ($\log(\zeta_{\text{ion}}) = 25.2 \pm 0.1 \text{ Hz erg}^{-1}$, Robertson et al. 2013) and larger than the values measured in bright ($M_{\text{UV}} < -20$) and massive ($\log(M_{\text{star}}/M_{\odot}) > 9.5$) intermediate redshift ($2 < z < 5$) galaxies from the VANDELS sample (Castellano et al. 2023). Only VANDELS galaxies with extremely high, specific SFRs ($\log(\text{sSFR}/\text{yr}^{-1}) \sim -7.5$) show efficiencies (25.5 Hz erg^{-1}) similar to that measured in MACS1149-JD1. The ionizing photon production in MACS1149-JD1 also lies slightly above the $z = 9.11$ extrapolated value ($\log(\zeta_{\text{ion}}) = 25.4 \text{ Hz erg}^{-1}$) inferred as a function of redshift from galaxies at a redshift of 2.2 and the $(1+z)^{1.3}$ variation (Matthee et al. 2017, see Fig. 3). Also, lensed dwarfs at a redshift around two show lower photon efficiencies (~ 0.4 in absolute log units, Emami et al. 2020) independent of their stellar mass ($7.8 < \log(M_{\text{star}}/M_{\odot}) < 9.8$) or UV luminosity ($-22 < M_{\text{UV}} < -17.3$).

A comparison of the photon production efficiency of MACS1149-JD1 and its clumps with galaxies at higher redshifts ($z \geq 6$) is presented in Fig. 3. Recent JWST programs have been able to measure the hydrogen Balmer lines ($H\beta$ or $H\alpha$) in galaxies at redshifts above five and therefore obtained a direct value for the photon production efficiency in galaxies during the late phases of the EoR. The photon efficiency for the EIGER galaxies with $M_{\text{UV}} = -19.5 \pm 0.1$ at redshifts $6.25 < z < 6.93$ corresponds to $25.31^{+0.29}_{-0.16}$ (Matthee et al. 2023). Although the galaxies have a large scatter, this value is close to the canonical efficiency and on average is well below ($\times 1.4$ – 4) that for MACS1149-JD1 and its two clumps. However, JADES galaxies covering the redshift range 5.8 to 8 and spanning the $-17.0 \leq M_{\text{UV}} \leq -20.6$ magnitude range have an average efficiency of about 25.5 (Saxena et al. 2024; Boyett et al. 2024b), in agreement with the efficiency measured for the MACS1149-JD1 galaxy and clump S. We note that the JADES galaxies have an average UV magnitude $M_{\text{UV}} = -19.0$, which is similar to that of MACS1149-JD1 ($M_{\text{UV}} = -19.2$). Additional measurements with JWST of galaxies at redshifts seven to nine showed photon production efficiencies similar to those measured in MACS1149-JD1 independent of their UV luminosity. The five galaxies behind the A2744 cluster at a redshift of 7.88 and with UV magnitudes $-20.13 < M_{\text{UV}} < -19.28$ have values spanning the $25.21 \leq \log(\zeta_{\text{ion}}) \leq 26.29 \text{ Hz erg}^{-1}$ range, with an average of $25.91 \text{ Hz erg}^{-1}$ (Morishita et al. 2023). The UV luminous ($-22.2 < M_{\text{UV}} < -20.0$) MIDIS $H\alpha$ emitters at redshifts seven to eight have an average $\log(\zeta_{\text{ion}}) = 25.59^{+0.06}_{-0.04} \text{ Hz erg}^{-1}$ (Rinaldi et al., in prep.). The sample of CEERS NIRCcam-selected spectroscopically confirmed galaxies at redshifts 7.8 to 9 (Fujimoto et al. 2023) have median values of $\log(\zeta_{\text{ion}}) = 25.77^{+0.50}_{-0.43} \text{ Hz erg}^{-1}$. Finally, the faint galaxies ($M_{\text{UV}} > -16.5$) present values of $\log(\zeta_{\text{ion}}) = 25.8 \pm 0.05 \text{ Hz erg}^{-1}$ higher than the MACS1149-JD1 galaxy but are in close agreement with clump N, which has a similar M_{UV} (-17.4).

In summary, the ionizing photon efficiency in MACS1149-JD1 is similar to the value measured in other galaxies at similar redshifts and well above the canonical value and the range of values derived for the different populations of intermediate redshift galaxies. Recent results of the Sunrise Arc confirms the presence of young stellar clusters with a large photon production efficiency ($\log(\zeta_{\text{ion}}) = 25.7 \text{ Hz erg}^{-1}$; Vanzella et al. 2023; Adamo et al. 2024). This could indicate that the clumps of

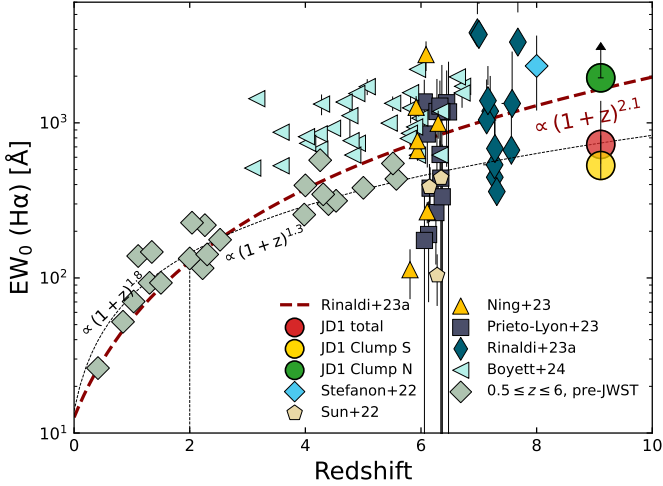


Fig. 4. Evolution of the rest-frame equivalent width of $H\alpha$ as a function of redshift. MACS1149-JD1 is represented by circles, and we distinguish between the values of the integrated galaxy (red) and the spatially resolved clumps N (green) and S (yellow). Additional data includes samples detected with JWST at redshifts of $3 < z < 7$ (Boyett et al. 2024b); 6 (Sun et al. 2022; Ning et al. 2023; Prieto-Lyon et al. 2023); 7 and 8 (Rinaldi et al. 2023) as well as pre-JWST galaxies at redshifts 0.5 to 8. The pre-JWST value at redshift eight corresponds to the median stacking of a sample of 102 Lyman-break galaxies (Stefanon et al. 2022). The dashed dark red line represents the best fit to all data points, including the MIDIS sources, and described by a single law $EW_0(H\alpha) \propto (1+z)^{2.1}$ by Rinaldi et al. (2023). The dotted broken line represents previous fits with pre-JWST data up to a redshift of six (Faist et al. 2016).

MACS1149-JD1 host young stellar clusters because they present similar photon production efficiency.

4.4. $EW_0(H\alpha)$ and redshift evolution

We estimated the rest-frame equivalent width of $H\alpha$ from the $F560W$ and $H\alpha$ fluxes. First, we measured the rest-frame optical ($\sim 0.55 \mu\text{m}$) continuum by subtracting the contribution of [OIII]4960, 5008 Å emission lines from the $F560W$ flux. We considered a [OIII]5008 Å/ $H\alpha$ flux ratio equal to two, in agreement with the observed [OIII]5008 Å/ $H\beta$ flux ratios of high- z ($z > 5$) galaxies with JWST (Matthee et al. 2023; Cameron et al. 2023) and also similar to low-metallicity ($\sim 0.2 Z_\odot$) and low-redshift galaxies (Álvarez-Márquez et al. 2019 and reference therein). We used a range in the [OIII]5008 Å/ $H\alpha$ flux ratio between 1 to 3.5 to determine the uncertainties. The lowest value corresponds to the typical value measured in low-redshift metal-poor galaxies ($\sim 0.04 Z_\odot$, Álvarez-Márquez et al. 2019 and references therein), while the highest value corresponds to the line ratio reported by Stiavelli et al. (2023) for clump S in MACS1149-JD1 considering no dust emission and Case B recombination. We used the high [OIII]5008 Å/ $H\alpha$ flux ratio of 3.5 as an upper limit for our uncertainties. The [OIII]5008 Å/ $H\alpha$ flux ratios gave an [OIII]4960, 5008 Å flux contribution of 17 (16)%, 38 (32)%, and 67 (56)% to the measured $F560W$ flux for the total (clump S) apertures, respectively. Second, we assumed a flat optical continuum spectrum in F_ν in order to extrapolate the derived optical ($\sim 0.55 \mu\text{m}$) continuum fluxes to the $H\alpha$ wavelength. Finally, the $EW_0(H\alpha)$ was derived by the ratio between the $H\alpha$ flux and the continuum flux under the

$H\alpha$. Table 1 shows the $EW_0(H\alpha)$ for MACS1149-JD1 and its clumps.

The rest-frame equivalent width of the $H\alpha$ emission line for the MACS1149-JD1 is 726^{+660}_{-182} Å. This equivalent width is lower than the predicted extrapolation of the $(1+z)^{2.1}$ relation derived by the combination of pre-JWST measurements and the results of the JADES and MIDIS JWST surveys (see Fig. 4, Rinaldi et al. 2023). The $EW_0(H\alpha)$ of MACS1149-JD1 is a factor of two lower than the extrapolated value at a redshift of 9.11, and it is in the range of the lower values measured in galaxies at redshifts six to eight recently identified with JWST (Fig. 4, Sun et al. 2022; Prieto-Lyon et al. 2023; Ning et al. 2023; Rinaldi et al. 2023). MACS1149-JD1 $EW_0(H\alpha)$ is in agreement within the errors with the extrapolation of the $(1+z)^{1.3}$ redshift evolution derived from pre-JWST measurements with galaxies up to a redshift of six. Lacking other measurements at redshifts above nine, MACS1149-JD1 could represent the lower end of a wide $EW_0(H\alpha)$ distribution at redshifts 9–10, very much like the one already observed at redshifts between six and eight.

The spatially resolved $F560W$ imaging and $H\alpha$ spectroscopy identified two clumps with very different $EW_0(H\alpha)$, covering the extremes of the range of values measured in high- z galaxies. Clump S has an $EW_0(H\alpha)$ of 531^{+300}_{-96} Å, while clump N has a lower limit of 1951 Å. We interpret it as the presence of different stellar populations in the two clumps. The emission in clump S is a combination of young stars (< 10 Myr) with a non-ionizing, more mature stellar population (see Sect. 4.5). On the other side, clump N has to be associated with a very young stellar population. Only stars with ages lower than 5 Myr can produce such a high $EW_0(H\alpha)$. In addition, the integrated $EW_0(H\alpha)$ for MACS1149-JD1 is close to that of clump S, indicating that the older, more mature stellar population must be concentrated in this clump, as already seen in the $F560W$ image.

4.5. $\log(\zeta_{\text{ion}})$ – $EW_0(H\alpha)$ relation and stellar populations

The ionizing photon production efficiency (ζ_{ion}) for MACS1149-JD1 and its clumps have high values for their $EW_0(H\alpha)$ that are above the expected values derived from the relationship (Prieto-Lyon et al. 2023) obtained for galaxies at redshifts three to seven (see Fig. 5). These values are also above those measured in the MIDIS $H\alpha$ emitters with similar $EW_0(H\alpha)$. Thanks to the spatially resolved $H\alpha$ imaging, the location of the clumps and the integrated MACS1149-JD1 value in the $\log(\zeta_{\text{ion}})$ – $EW_0(H\alpha)$ plane can be understood as being the result of the spatial distribution of different stellar populations and of their contribution to the overall flux at these wavelengths. On one side, the values for the photon production above 25.5 Hz erg^{-1} (in $\log(\zeta_{\text{ion}})$ units) can only be obtained with massive stellar bursts ($10^{6-7} M_\odot$). For less massive stellar bursts, the ionizing photon efficiency will be lower ($\leq 25.2 \text{ Hz erg}^{-1}$), even for low-metallicity stellar populations, due to the stochastic sampling of the stellar initial mass function (Stanway & Eldridge 2023). Thus, the high ζ_{ion} values measured in the clumps already indicate the presence of massive stellar bursts in these regions of the galaxy. In addition, only instantaneous or constant star formation over short periods of time (~ 10 Myr) are able to produce the high-ionizing photon production efficiency measured in MACS1149-JD1, even if the presence of binaries is invoked (Eldridge et al. 2017; Eldridge & Stanway 2022; Stanway et al. 2020). Thus, the intrinsic high value for the photon production efficiency

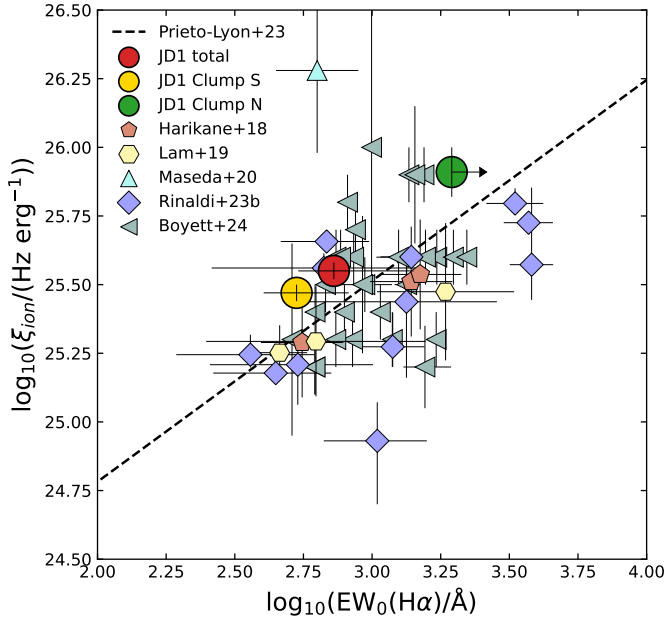


Fig. 5. Ionizing photon production efficiency as a function of the rest-frame $H\alpha$ equivalent width. MACS1149-JD1 is represented by circles, and we distinguish between the values of the integrated galaxy (red) and the spatially resolved clumps N (green) and S (yellow). MACS1149-JD1 is compared with other samples of galaxies, including MIDIS $H\alpha$ emitters at $z \sim 7-8$ (Rinaldi et al. 2023); faint $Ly\alpha$ emitters (LAEs) at $z \sim 4-6$ (Hashimoto et al. 2018; Lam et al. 2019; Maseda et al. 2020); and extreme emission line galaxies at $3 < z < 7$ (Boyett et al. 2024b). The dashed line represents the $\log(\xi_{\text{ion}}) - EW_0(H\alpha)$ relation for galaxies at redshifts three to seven from the JWST GLASS and UNCOVER surveys (Prieto-Lyon et al. 2023).

already indicates the presence of young massive stellar bursts in different regions of MACS1149-JD1 and, consequently, in the galaxy overall as well. However, clumps S and N have a very different $EW_0(H\alpha)$, with a low value (531^{+300}_{-96} Å) measured for clump S and a very high value (lower limit of 1951 Å) for clump N (see Table 1). Moreover, as the continuum light distribution in MACS1149-JD1 is dominated by clump S, the integrated $H\alpha$ emission in MACS1149-JD1 also has a relatively low value (726^{+660}_{-182} Å). The $H\alpha$ equivalent widths of about 2000 Å can only be produced by very young instantaneous or constant bursts with ages of less than 5 Myr (Eldridge et al. 2017), while lower values around 500 Å indicate the presence of a relatively older population of about 50 Myr if a constant star formation is considered. Thus, the presence of both young massive stellar bursts together with older stellar populations needs to be invoked to explain the position of MACS1149-JD1 and its clumps in the $\log(\xi_{\text{ion}}) - EW_0(H\alpha)$ plane. Similar conclusions have been obtained from recent NIRCcam imaging (Bradač et al. 2024). Additional combinations of imaging covering longer wavelengths and emission line diagnostics will allow for further constraining of the stellar populations and their distribution in this galaxy.

4.6. Kinematics of the ionized gas

The overall kinematics of the ionized gas are traced by the profile of the integrated $H\alpha$ emission. The redshift, line profile, and velocity dispersion are consistent with the highly ionized gas traced by the far-IR [OIII]88 μm emission measured with

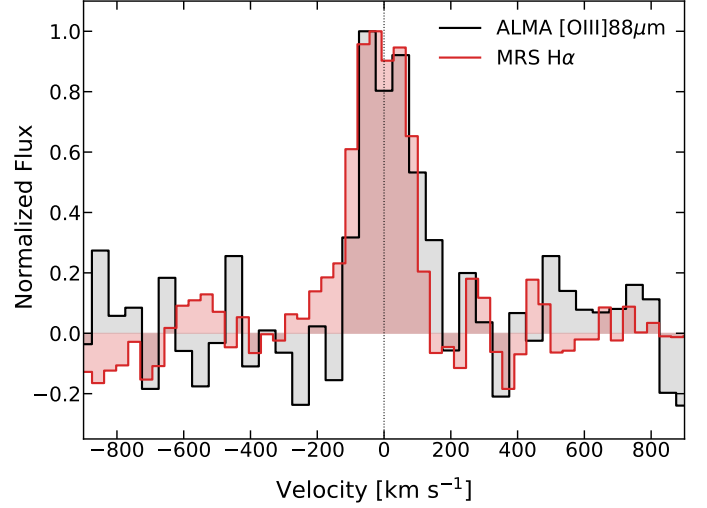


Fig. 6. Comparison of the integrated $H\alpha$ emission line profile (red) with the ALMA spectrum of the [OIII]88 μm line (black, Tokuoka et al. 2022). The systemic velocity corresponds to a redshift of 9.1096.

ALMA (see Fig. 6, Hashimoto et al. 2018; Tokuoka et al. 2022). The $H\alpha$ emitting gas is characterized by a velocity dispersion (σ_V) of $69.2 \pm 5.5 \text{ km s}^{-1}$, in agreement with the [OIII]88 μm emission measured with ALMA ranging from $65.4 \pm 16.6 \text{ km s}^{-1}$ (Hashimoto et al. 2018) to $72.7 \pm 8.1 \text{ km s}^{-1}$ (Tokuoka et al. 2022). The redshifts measured by the $H\alpha$ ($z = 9.1092 \pm 0.0002$) and the [OIII]88 μm lines ($z = 9.1096 \pm 0.0006$, Hashimoto et al. 2018 and $z = 9.1111 \pm 0.0006$, Tokuoka et al. 2022) are also consistent with each other.

The $H\alpha$ clumps have a slightly different velocity, with a relative shift (N versus S clump) in central velocities of $-36 \pm 20 \text{ km s}^{-1}$. This could be due to the velocity field of the rotating disk identified in the ALMA [OIII]88 μm emission line map (Tokuoka et al. 2022). However, clumps N and S show very different kinematics characterized by velocity dispersions of $113 \pm 33 \text{ km s}^{-1}$ and $56 \pm 4 \text{ km s}^{-1}$ (i.e., a factor of two), likely indicating the presence of outflows and increased turbulence in clump N associated with the rest-frame UV clump (C1; Bradač et al. 2024; Stiavelli et al. 2023). A deeper analysis combining the ALMA [OIII]88 μm and the mid-IR JWST spectroscopy will explore the overall velocity field and the nature of these kinematic differences between clumps S and N in a follow-up work.

Under the assumption that the spatially resolved [OIII]88 μm kinematics are compatible with a dispersion-dominated or a slow rotational system ($V_{\text{rot}}/\sigma_V = 0.69^{+0.73}_{-0.26}$, Tokuoka et al. 2022), an estimate of the dynamical mass can be given assuming the virial expression

$$M_{\text{dyn}}(M_{\odot}) = K \times \frac{R_{\text{hm}} \times \sigma_V^2}{G}, \quad (4)$$

where G is the gravitational constant with a value of $4.3 \times 10^{-3} \text{ pc } M_{\odot}^{-1} \text{ km}^2 \text{ s}^{-2}$ and K is set to a value of six (see Bellocchi et al. 2013 for a discussion about the range of values for different mass distributions). The velocity dispersion ($\sigma_V = 69 \pm 5 \text{ km s}^{-1}$) is derived from the $H\alpha$ line profile after deconvolution with the instrumental response. The half mass radius in parsecs is represented by R_{hm} , here traced by the $H\alpha$ half-light radius (R_e) after correction by the PSF and with magnification as indicated below.

The intrinsic size of the integrated $H\alpha$ emission is given as the radius of the circle with an area equal to the ellipse containing half the light of the lensed galaxy after deconvolution with the MRS PSF for channel 1LONG in quadrature:

$$R_e(\text{pc}) = 4.522 \times (a \times b)^{0.5} \times \mu^{-0.5}, \quad (5)$$

where μ is the lensing magnification, and a and b are the semi-major and semi-minor axes (in milli-arcseconds) of the ellipse enclosing half the light after deconvolution with the PSF. For an effective radius $R_e = 332 \pm 54$ pc, we obtained a dynamical mass of $2.4 \pm 0.5 \times 10^9 M_\odot$. This value is within the range derived from the spatially resolved [OIII]88 μm velocity field ($0.7 - 3.7 \times 10^9 M_\odot$, Tokuoka et al. 2022). However, the $H\alpha$ ionized gas and the stellar light show a different structure (see Fig. 1 and NIRCcam images in Bradač et al. 2024; Stiavelli et al. 2023). The rest-frame optical appears to be dominated by the southern component, while the $H\alpha$ is more extended. Following the same assumptions as above, we estimated the dynamical mass for clump S only. For a gas velocity dispersion $\sigma_v = 56 \pm 4 \text{ km s}^{-1}$ and upper limit for the effective radius of 211 pc, the dynamical mass corresponds to an upper limit of $(1.0 \pm 0.2) \times 10^9 M_\odot$. This mass is still a factor of 10 larger than the one reported with recent JWST NIRCcam imaging for this region (Bradač et al. 2024).

The differences between the estimated dynamical and stellar masses are too large, even when considering the uncertainties in their derivation due to the assumed ages for the stellar populations and due to the assumption of virialization, mass distribution, and kinematics (see Bellocchi et al. 2013; Tokuoka et al. 2022). The mass difference can in part be explained as being due to the available amount of cold gas. The gas fraction in MACS1149-JD1 is estimated as 30% of the total mass from the spatially resolved [OIII]88 μm velocity field (Tokuoka et al. 2022). Our measurements give only an upper size for the emitting regions. If the size is substantially smaller, the derived dynamical mass would be reduced by the same factor, and therefore the combined stellar and gas mass would come closer to the value derived for the dynamical mass. Higher angular resolution velocity maps with NIRSpc/JWST and ALMA and imaging at wavelengths longer than 4.4 μm with MIRI/JWST are required to more precisely establish the dynamical and stellar mass structure in MACS1149-JD1.

5. Summary

We have presented the first-ever spatially resolved $H\alpha$ line map of a galaxy at a redshift above nine: the lensed galaxy MACS1149-JD1 at a redshift of $z = 9.11$. The direct detection of $H\alpha$ with MIRI/JWST provides reliable measurements of the spatially resolved instantaneous SFR and the ionizing photon production efficiency (the latter were obtained by also using available UV imaging), gas kinematics, and dynamical mass estimates.

The $H\alpha$ emitting gas shows a structure dominated by two spatially resolved clumps, N and S, separated by $0.5''$, similar to the rest-frame UV continuum. However, the flux contribution is different, with the N and S clumps carrying 42% and 58% of the $H\alpha$ flux but 21% and 79% in the UV, respectively.

The SFR derived from the $H\alpha$ luminosity ranges from 3.2 to $5.3 M_\odot \text{ yr}^{-1}$ for sub-solar to solar metallicity, respectively, which is in good agreement with the UV-based estimates for less than 10 Myr old stellar populations and with the value based on the [CII]158 μm luminosity. These results support the hypothesis that internal dust extinction is not relevant and that the star formation is unobscured in MACS1149-JD1.

The ionizing photon production efficiency $\log(\zeta_{\text{ion}}) = 25.55 \pm 0.03 \text{ Hz erg}^{-1}$ is well above the canonical value of 25.2 Hz erg^{-1} but within the range recently measured in other galaxies above a redshift of seven. The photon production efficiency shows a substructure with a factor three of difference between clump S and N, with values $\log(\zeta_{\text{ion}}) = 25.47 \pm 0.03$ and $25.91 \pm 0.09 \text{ Hz erg}^{-1}$, respectively. These values are in the range measured in galaxies at redshifts above six by JWST, and they do not indicate any evidence of evolution with redshift.

The integrated $H\alpha$ equivalent width measured in MACS1149-JD1 has a value of $(726_{-182}^{+660} \text{ \AA})$, which is a factor of two less than the extrapolated value at redshift 9.11 derived from samples of galaxies up to redshift eight (Rinaldi et al. 2023). Clumps S and N show a large difference in their equivalent widths ($531_{-96}^{+300} \text{ \AA}$ and $\geq 1951 \text{ \AA}$), indicating the presence of different stellar populations in these two regions. The large value in clump N indicates a very young (< 5 Myr) stellar burst, while the intermediate value in clump S is more consistent with a star formation over a longer period of time (~ 50 Myr). The $\text{EW}_0(H\alpha)$ for MACS1149-JD1 indicates that the stellar mass is dominated by clump S, while clump N appears to be a recent burst in the galaxy. The positions of MACS1149-JD1 and the clumps in the $\log(\zeta_{\text{ion}})$ – $\text{EW}_0(H\alpha)$ plane reflect the substructure in the stellar populations.

The overall $H\alpha$ emitting gas kinematics (redshift of 9.1092 and velocity dispersion of $69 \pm 5 \text{ km s}^{-1}$) agree with that of the [OIII]88 μm line previously measured with ALMA. The dynamical mass derived from the profile of the $H\alpha$ line and the size of the $H\alpha$ surface brightness corresponds to $(2.4 \pm 0.5) \times 10^9 M_\odot$. This mass is within the range measured from spatially resolved [OIII]88 μm emission (Tokuoka et al. 2022). The velocity dispersion of the $H\alpha$ emitting gas in clump N ($113 \pm 33 \text{ km s}^{-1}$) is a factor of two higher than in clump S, likely tracing the presence of a local outflow associated with the UV-bright clump.

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