ABSTRACT

Context. Monitoring turbulence parameters is crucial in high-angular resolution astronomy for various purposes, such as optimising adaptive optics systems or fringe trackers. The former systems are present at most modern observatories and will remain significant in the future. This makes them a valuable complementary tool for the estimation of turbulence parameters.

Aims. The feasibility of estimating turbulence parameters from low-resolution sensors remains untested. We performed seeing estimates for both simulated and on-sky telemetry data sourced from the new adaptive optics module installed on the four Auxiliary Telescopes of the Very Large Telescope Interferometer.

Methods. The seeing estimates were obtained from a modified and optimised algorithm that employs a chi-squared modal fitting approach to the theoretical von Kármán model variances. The algorithm was built to retrieve turbulence parameters while simultaneously estimating and accounting for the remaining and measurement error. A Monte Carlo method was proposed for the estimation of the statistical uncertainty of the algorithm.

Results. The algorithm is shown to be able to achieve per-cent accuracy in the estimation of the seeing with a temporal horizon of 20 s on simulated data. A $(0.76'\pm1.2\%_{\text{sys}}\pm1.2\%_{\text{stat}})$ median seeing was estimated from on-sky data collected from 2018 to 2020. The spatial distribution of the Auxiliary Telescopes across the Paranal Observatory was found to not play a role in the value of the seeing.

Key words. instrumentation: adaptive optics – instrumentation: high angular resolution – turbulence

1. Introduction

Earth’s atmosphere is widely understood as a turbulent fluid with local variations in temperature, density, and velocity that translate into fluctuations in the refractive index of the air. The changes in the refractive index impact the propagation of electromagnetic radiation (Roggemann et al. 1996; Wyngaard 2010). In strong turbulence, the electric field’s amplitude and phase change in the refractive index of the air. The turbulence affects only the phase, we are in a low-turbulence environment; this is the case for this work.

The von Kármán model (Karman 1948) is widely used in adaptive optics (AO) as a descriptive model of the energy spectrum of turbulence and it describes the fluctuation of the refractive index (Avila 2021; Doelman 2020; Fétick et al. 2018). This model uses two turbulence parameters, the Fried parameter, $r_0$, and the outer scale, $L_0$, to describe the average variance of the phase difference between two points separated by a distance, $r$, through a phase structure function, $D_p$. The model is used to ascertain the effects of the atmosphere on angular resolution through the seeing (Hardy 1998). The $r_0$ indicates the separation over which a wavefront is aberrated by roughly a one-radian root mean square (Fried 1965). The outer scale dictates the inertial region of turbulence (Vozilekhovich 1995). It serves as a saturation parameter, which is absent in the Kolmogorov structure function (Kolmogorov 1941), and bounds the energy of the whole model.

The tracking of turbulence parameters is of importance to high-resolution astronomy:
– in system commissioning and optimisation (Pepe et al. 2021);
– in the reconstruction of the point-spread function (PSF; Wagner et al. 2022; Beltramo-Martin et al. 2019);
– in the optimisation of fringe trackers (Lacour et al. 2019);
– in site testing and characterisation (Zhu et al. 2023; Tillayev et al. 2021; Liu et al. 2015; Vázquez Ramió et al. 2012);
– in the tracking of climate-induced changes (van Kooten & Izett 2022; Cantalloube et al. 2020);
– in AO pre-compensation for free-space optical communications (Griffiths et al. 2023; Osborn et al. 2021).

Turbulence parameter tracking campaigns at Paranal, traditionally done with a MASS-DIMM system, have shown a need for complementary seeing estimates (Butterley et al. 2020; Osborn et al. 2018; Masciadri et al. 2014; Sarazin et al. 2008) due to non-consensual estimations between instruments. Complementary systems to MASS-DIMM are currently an open research question, and various system architectures have been put forward (Perera et al. 2023; Tokovinin 2021; Guesalaga et al. 2021).

The use of AO telemetry data for the estimation of integrated turbulence parameters is one such alternative (Jolissaint et al. 2018; Fusco et al. 2004). Adaptive-optics systems are ubiquitous in current and future-generation telescopes. Estimating
turbulence parameters from telemetry data has the advantage of sourcing data from already-built systems. Additionally, since the processed AO telemetry is sourced directly from the observing telescopes, we have a line-of-sight seeing estimation for each telescope. Finally, since the telemetry encompasses the full light path from the guide star to the telescope, we can include the dome turbulence contribution to the seeing. The former is particularly interesting to high-resolution imaging since it has been shown to greatly impact image quality (Munro et al. 2023; Lai et al. 2019; Salmon et al. 2009).

Testing the algorithm on simulated and on-sky data is crucial to validate the estimation method. This study utilises the telemetry data produced by the new AO module of the Very Large Telescope Interferometer (VLTI), NAOMI (Wolfiez et al. 2019), to achieve this validation. NAOMI is installed on all four Auxiliary Telescopes (ATs) of the VLTI and can provide up to four concurrent telemetry samples. However, as a low-resolution system with a \(4 \times 4\) Shack-Hartmann wavefront sensor (SH-WFS), it is a challenging scenario for estimating turbulence parameters from AO telemetry since only low-order modal variances are available for the fit. These additional complications affect the estimation of the turbulence parameters, and their impact is not yet understood.

We aim to conduct a quantitative validation and optimisation of the estimation algorithm under typical observing conditions at the Paranal Observatory by applying it to simulated data. Additionally, the analysis of real telemetry data (discussed in Sect. 4) aims to retrieve turbulence parameters observed at the Paranal Observatory from 2018 to 2020. This information will help to assess:

- the performance of the algorithm in the estimation of the turbulence parameters from on-sky telemetry;
- the distribution of the seeing across the Paranal Observatory;
- the algorithm performance in comparison with currently employed seeing trackers.

In Sect. 2, the modal wavefront representation and reconstruction are detailed. The reconstruction challenges are described. The theoretical models for retrieving pseudo-open-loop modes and the fitting algorithm for estimating integrated turbulence parameters are presented. In Sect. 3, a simulated pipeline of the NAOMI system is used to validate and optimise the algorithm. Section 4 applies the optimised estimation algorithm to \(N = 8170\) on-sky telemetry samples sourced from NAOMI. Conclusions are drawn in Sect. 5.

2. Methods

2.1. Reconstruction of Zernike coefficients

We chose to represent the wavefront, \(\phi\), as

\[
\phi = \sum_{i=2}^{M} a_i Z_i(\rho),
\]

where \(M\) is the total number of modes, \(a_i\) are the modal coefficients, \(Z_i(\rho)\) is the Zernike polynomial of Noll order \(i\), and \(\rho = (\rho/R, \theta)\) represents the position vector in polar coordinates (Noll 1976). The piston contribution, \(i = 1\), has been discarded in the representation since it plays no role in imaging through a single pupil.

1 If not explicitly stated in this article, a modal coefficient is implicitly represented at a fixed time, \(t\).

The NAOMI system tracked wavefront aberrations using a Shack-Hartmann sensor. Conventionally (Southwell 1980), a set of slope measurements, \(s\), is related to the modal coefficients of the Zernike wavefront expansion through

\[
s = [s_1, \ldots, s_{2N}]^T = G a + e,
\]

where a \(N\) lenslet sensor is assumed. The gradient matrix, \(G\), translates the Zernike coefficients into Shack-Hartmann slopes, and \(e\) is the measurement error, following the same convention and notation as Dai (1996).

For modelling purposes, we truncated the phase representation to a finite set of Zernike coefficients and split it into two subsets of the reconstructed, \(a_t\), and remaining modes, \(a_r\). Equation (2) can be rewritten as

\[
s = G[ a_t ] + e = H a_t + G_s a_r + e,
\]

where \(H\) are the first \(L\) columns of the \(G\) matrix, and \(G_s\) was constructed from the remaining higher-order columns. The least-squares solution of Eq. (3) is given as

\[
b = H^T s,
\]

where \(b = [b_2, \ldots, b_{LM}]^T\) are the reconstructed modal coefficients, and \(H^T\) is the reconstruction matrix obtained from the general inverse matrix of \(H\).

\[
H^+ = (H^T H)^{-1} H^T.
\]

2.2. Pseudo-open slopes

The former discussion is valid for an AO system operating in open loop. Instead, the AO systems we are interested in operate in a negative feedback closed loop (Hardy 1998). To retrieve the complete phase coefficients, \(b\), the control loop must be undone.Undoing the control loop corresponds to the summation of deformable mirror voltages, \(v_{DM}\), with the corresponding Shack-Hartmann slope measurements, \(s_{SH}\), in the modal space.

The modal coefficients for the deformable mirror, \(c_{DM}\), were obtained from the mirror voltages and the matrix that translated the response of the deformable mirror into mode coefficients, \(DM2M\), through

\[
c_{DM}(t) = DM2M v_{DM}(t).
\]

The residual modes, \(b_{SH}\), were obtained from the residual slopes, \(s_{SH}\), through the control matrix, \(CM\), that translated Shack-Hartmann slopes into Zernike modes using

\[
b_{SH}(t - \tau) = CM s_{SH}(t - \tau).
\]

A delay, \(\tau\), was introduced in the slope reconstruction to account for the system’s response time. In the case of NAOMI, the total loop delay is 4.6 ms (Wolfiez et al. 2019). The pseudo-open-loop coefficients were then

\[
b(t) = c_{DM}(t) + b_{SH}(t - \tau).
\]

2.3. Finite representation limitations

The relation between the true coefficients, \(a\), and reconstructed coefficients, \(b\), was obtained by substituting Eq. (3) into Eq. (4), such that

\[
b = a_t + C a_r + H^+ e.
\]
where $C$ is the cross-talk matrix defined by Dai (1996) as

$$C = H^* G_C.$$  \hfill (10)

The $C$ matrix introduced a dependence on the remaining modal coefficients in two ways: i) a geometric coupling due to the non-orthogonality of the Zernike polynomial derivatives (Herrmann 1981) and ii) the aliasing term. Although these are two different effects, they were treated as one – the remaining error, $R$.

### 2.4. Zernike coefficient variances

Estimating the Zernike modal variances requires the computation of the remaining error, which requires knowledge of the remaining modal variances. These were estimated through analytical expressions. The theoretical values for the von Kármán (co)-variance, $(a_n a_T)^2$, were obtained through the expressions derived by Takato & Yamaguchi (1995) and they are themselves a function of the Fried parameter, $r_0$, and the outer scale, $L_0$.

The reconstruction also assumes that the measurement errors are equal and uncorrelated between measurements (Southwell 1980). As a consequence the variance of the measurement error, $(e_i^2)$, can be treated as a constant variance across slopes, such that

$$\langle e_i^2 \rangle = \sigma_0^2.$$  \hfill (11)

The Zernike coefficient variances were evaluated from Eq. (9) through

$$\langle b^2 \rangle = \langle a_i^2 \rangle + C \langle a_i a_T \rangle C^T + 2 C \langle a_i a_T \rangle H^+ \langle \hat{e}^2 \rangle (H^+)^T,$$  \hfill (12)

where $\langle b^2 \rangle$ represents the variance of the reconstructed modal coefficients and $\langle a_i a_T \rangle$ the (co)-variances of the exact modal coefficients. The measurement error is assumed to be uncorrelated from the modal coefficients (i.e. $\langle ea_i^T \rangle = \langle ea_i T \rangle = 0$). The variance of the reconstructed modes is then

$$\langle b^2 \rangle = \langle a_i^2 \rangle + R + \sigma_n^2,$$  \hfill (13)

where $\sigma_n^2$ is associated with the measurement error in the Zernike space and is expressed as

$$\sigma_n^2 = \sigma_0^2 H^T H^{-1},$$  \hfill (14)

and the remaining error\footnote{\(^3\)} $R$, is given by

$$R_i = \sum_{j=L+1}^{M} \sum_{i=L+1}^{M} C_{ij} \langle a_{i,j} a_{i,j} \rangle C_{i,j}^T + 2 \sum_{j=L+1}^{M} C_{ij} \langle a_{i,j} a_{i,j} \rangle.$$  \hfill (15)

where $L$ is the number of fitted modes, $M$ is the total number of modes, $i$ represents the Zernike mode, and $C$ are terms of the cross-talk matrix. Since Zernike polynomials are used in the real-time control of the NAOMI system, we opted to use them in favour of Karhunen-Loève modes. The Zernike basis is not statistically independent. This introduced the additional cross-term, $(a_i a_T)^2$, in the remaining error. There was then an additional explicit dependence on the remaining modes. As a result of this dependence, the $R$ is larger when compared to statistically independent modes (the K-L modes; Dai 1996).

#### 2.5. Turbulence parameter estimation algorithm

The integrated turbulence parameters, $p = \{r_0, L_0\}$, were estimated through the minimisation of the norm of the error function

$$p = \arg \min_p \langle \|e\|^2 \rangle,$$  \hfill (16)

where

$$e = \langle b^2 \rangle - \left(\langle a_i^2 \rangle + R(p) + \sigma_n^2\right).$$  \hfill (17)

This function is a vector in the Zernike modes that includes the analytical models for the von Kármán variances, $\langle a_i^2 \rangle$, the remaining error, $R(p)$, and the measurement error, $\sigma_n^2$.

A $\chi^2$ approach was employed (Bevington & Robinson 2003) to solve Eq. (16), thus expanding on previous work by Andrade et al. (2019). The $\chi^2$ approach requires knowledge of the squared uncertainty of each data point, in our case we assumed that the uncertainty of each radial order is constant. This constant value, $\sigma_n^2$, was estimated by taking the variance of the reconstructed modes, $\langle b^2 \rangle$, in each radial order.

The uncertainty follows the approximate logarithmic scale of the von Kármán modal variances (cf. Appendix A). Each radial order has a singular theoretical modal variance shared between azimuthal orders, and, as such, any deviation from this variance is a deviation from the mean. This approach has the advantage of casting the minimisation problem as a known $\chi^2$ problem and achieving a homogeneous contribution of all radial orders to the fit without forcing a logarithmic scale, which was the case in previous implementations (Andrade et al. 2019).

The measurement and remaining error contributions to the $e^2$ function are much smaller in magnitude compared to $\langle a_i^2 \rangle$. Therefore, we chose to separate the estimation of the former from the global fit performed in Eq. (16):

- The measurement error was estimated through the use of the autocorrelation of the Zernike coefficients (Fusco et al. 2004; cf. Appendix B). This method estimates the measurement error as a vector across Zernike modes. Due to the reconstruction matrix, this is the case for the measurement error expressed in Eq. (14). Finally, this new approach was shown in simulation to perform better than a global fitting approach (Andrade et al. 2019) at a signal-to-noise ratio ($S/N < 1$, while performing equally as well at a higher $S/N$ (cf. Appendix C). We define the $S/N$ as

$$S/N = \frac{\langle \chi^2 \rangle}{\sigma_0^2}.$$  \hfill (18)

- The remaining error analytical expression (cf. Eq. (15)) is dependent on $p$. Although we could estimate both jointly, we chose to estimate each iteratively (Andrade et al. 2019). An iterative estimation of $R(p)$ was found to be numerically much more convenient with the algorithm converging in a small number of iterations on all cases tested. The iterative $\chi^2$ algorithm is then expressed as

$$p^k = \arg \min_p \sum_{i=1}^{L=15} \left( \frac{(b_i^2) - f(p^k)}{\sigma_{(b)k,i}} \right)^2,$$  \hfill (19)

with

$$f(p^k) = \begin{cases} \langle a_i^2 \rangle + R(p^k) + \sigma_n^2, & \text{if } k = 0 \vspace{1em} \\ \langle a_i^2 \rangle + R(p^{k-1}) + \sigma_n^2, & \text{if } k > 0 \end{cases},$$  \hfill (20)
where \( k \) represents the iteration of the algorithm and \( i \) represents the \( i \)-th Zernike coefficient, thus summing over the terms of the variance vector. The tip and tilt modes were removed from the range of the fit (i.e. the minimisation starts at \( i = 4 \)) as they are still largely affected by wind shake and telescope vibrations (Glück et al. 2017). While the defocus mode is also affected, we chose to use it in the reconstruction due to the small number of available modes. The maximum reconstructed modal variance included in the fit, \( L \), is dictated by the number of modes controlled by the NAOMI system (\( L = 15 \); Woillez et al. 2019).

2.6. Algorithm convergence and sensitivity

The convexity is shown in the \( \chi^2 \) map of Fig. 1. The Fried parameter is shown to have a well-defined minimum, whereas the outer scale does not.

This confirms an expected result: the minimum measurable spatial frequency determines the maximum spatial scale detectable by the sensor. In the case of the ATs, the minimum measurable spatial frequency is \( f_m = 1/D = 0.556 \, \text{m}^{-1} \), where \( D \) is the telescope diameter. As such, NAOMI is insensitive to spatial scales larger than its \( D = 1.8 \, \text{m} \). The outer scale has been shown to vary between 1 and 100 m (Martin et al. 2000; Ziad et al. 2004), leading the ATs to be insensitive to most outer scale conditions.

2.7. Estimation of uncertainty

The following method estimates the statistical uncertainty of the fit, \( u(p)_{\text{stat}} \). The statistical uncertainty is added to the systematic uncertainty of the algorithm to serve as a confidence interval for the estimated \( r_0 \) when the algorithm is applied to on-sky telemetry, where the turbulence parameters are unknown.

We implemented a Monte Carlo simulation for the estimation of the uncertainty. This methodology uses random sampling to study the significance of the data. The random sample must mirror our data’s statistical behaviour. For each radial order, \( n \), the random sample, \( \langle b^2_{\text{MC},i} \rangle_n \), follows a normal distribution,

\[
\langle b^2_{\text{MC},i} \rangle_n = \mathcal{N} \left( \langle b^2_i \rangle, \sigma_{b^i,j} \right)_{n},
\]

where \( \langle b^2_i \rangle \) is the mean modal variance of the radial order, \( n \), for the reconstructed variances, \( \langle b^2_i \rangle \), and \( \sigma_{b^i,j} \) is the standard deviation around the mean. A new random sample, \( \langle b^2_{\text{MC}} \rangle \), was obtained by sweeping across the modes in Eq. (19) (i.e. \( i = 4 \) to \( i = 15 \)). When applying the estimation algorithm to the new sample, \( \langle b^2_{\text{MC}} \rangle \), we obtained a new set of turbulence parameters from which we evaluated the uncertainty.

The Monte Carlo algorithm generates \( H = 50 \) random samples. The sample size was a good compromise between the computational load and the performance of the estimation. Since the study of the uncertainty is done by a repeated generation of new values of turbulence parameters, we are interested in calculating the error of the mean estimation. From the \( H \) turbulence parameters, the uncertainty of the mean is given by the standard deviation of the mean (Bevington & Robinson 2003). For the Fried parameter, the statistical uncertainty is given by

\[
u(r_0)_{\text{stat}} = \frac{1}{H} \left( \sum_{i=1}^{H} (r_{0,i} - \overline{r_0})^2 \right)^{1/2},
\]

where \( \overline{r_0} \) is the mean of the Fried parameter for the \( H \) samples and \( r_{0,i} \) is the Fried parameter resulting from the estimation algorithm for each sample.

Section 4 uses the seeing, \( \alpha \), as a comparison tool to other independent estimation methods. It is obtained from the \( r_0 \) through (Fried 1965)

\[
\alpha = 0.976 \frac{\lambda}{r_0}
\]

at a wavelength, \( \lambda \), of 500 nm. The uncertainty of the seeing, \( u(\alpha) \), is obtained directly from the uncertainty of the \( r_0 \) through error propagation analysis. The \( u(\alpha) \) is then given by

\[
u(\alpha) = \frac{\alpha}{r_0} u(r_0),
\]

where all variables, excluding the \( r_0 \), are assumed to be true values with no uncertainty.

3. Algorithm validation and optimised performance

3.1. Simulation setup

The estimation algorithm was optimised entirely in python using the Object Oriented Python Adaptive Optics (OOPAO) package (Heritier 2023), which inherits the structure and functionality of its Matlab precursor OOMAO (Conan & Correia 2014). The generation of von Kármán atmospheric phase screens was done using the algorithm proposed by Assémat et al. (2006) using the AOtools package (Townson et al. 2019).

The atmospheric parameters were kept constant throughout the simulation due to the assumed stationarity. Turbulence parameters vary in time, but we admit that they are stationary in the range of 1–2 min of acquisition (Ziad et al. 2004).

The simulation was performed in an open loop, implemented by propagating the generated phase screens through a telescope object and a diffractive model of the WFS with a lenslet field of view of 7.33” to ensure linearity (Fig. 2). The slopes obtained from the SH-WFS were converted into Zernike modal coefficients using a synthetic control matrix. Finally, the variances of the reconstructed coefficients were introduced to the estimation
algorithm to obtain the \( r_0 \). The simulation was done in open-loop since the von Kármán model exists for uncorrected variances.

The telescope and WFS were configured per the prescription in Woillez et al. (2019) and are summarised in Table 1. The propagating phase screens are correlated and reflect the typical turbulence parameters of the Paranal Observatory (Table 2). Nine layers were used for the atmospheric model with the wind speed and altitude profiles following the values presented by Masciadri et al. (2013). Screens were generated at a 500 Hz loop frequency. SH-WFS measurements feature a Gaussian additive white noise with a known variance (\( \sigma = [10^{-6} - 10^{-7}] \), cf. Eq. (11)). In the simulation, this corresponds to a 0.1–25 S/N regime. The average noise magnitude was taken from a \( N = 50 \) telemetry data sample representative of the entire set.

The telemetry samples already contain a gradient matrix, \( G_{\text{system}} \), obtained from the inverted control matrix that accounts for the first 14 controlled modes. A much higher number of modes is needed for the estimation of the remaining error (cf. Eq. (15)). The estimation algorithm used a synthetic matrix, \( G_{\text{synthetic}} \), truncated between the second and 13th radial order (i.e. 90 modes) to calculate these terms. The synthetic matrix was obtained by registering the response of the Shack-Hartmann model to individual Zernike modes introduced at the telescope pupil. The matrix was validated against the \( G_{\text{system}} \) available in the telemetry samples and extended to additional modes once validation was achieved. The synthetic and system matrices were compared, and a median error of 1.3% was found between the two. This mismatch adds systematic error to our estimation algorithm.

The convergence bias of the algorithm was evaluated by comparing the turbulence parameters used to generate the phase screens, \( r_{0,\text{screen}} \), with the turbulence parameters obtained from the minimisation of the algorithm, \( r_{0,\text{fit}} \). For example, the percent relative difference,

\[
\Delta r_0 = \frac{|r_{0,\text{fit}} - r_{0,\text{screen}}|}{r_{0,\text{screen}}} \%
\]

between the estimation of the algorithm and screen value gives us the accuracy of the estimated \( r_0 \).

### 3.2. Algorithm parameter optimisation

Various fitting features of the iterative algorithm were tested and optimised; their optimised values are presented in Table 3. From the tested features:

- The maximum radial order of the fit is the upper limit of fitting. \( L \), of Eq. (19). The ideal number of modes was found to meet the condition of inclusion of all controlled modes by NAOMI (\( L = 15 \));
- The maximum radial order of the remaining error corresponds to the maximum number of modes included in the calculation of the cross-talk matrix. The matrix was constructed from the corrected terms, \( H^* \), and the higher non-corrected terms, \( G_r \). Letting the \( G_r \) matrix vary in size makes it possible to control the number of radial orders present in the estimation of the remaining error. Thus the estimation of turbulence parameters can be made to vary as a function of the maximum radial order included in the calculation of \( R \). We note that \( \Delta r_0 \) was found to stabilise once the error estimation included the eighth radial order (Fig. 3). The optimal radial order does not coincide with the maximum available radial order. This is attributed to a mismatch between the models used for the simulation of the optical system and the one used for the estimation of \( f(p) \);
- The minimum number of iterations, \( k \), for which the estimation algorithm converges to a set of fitting parameters, \( p^k \), was shown to be small (\( k = 2 \)). Once the threshold of \( k = 2 \) iterations is crossed, only sub-per-cent changes to the \( \Delta r_0 \) are registered;
- The ideal starting parameters are obtained from the analysis of Fig. 1. An initial \( p \) with a small value of \( r_0 \) is ideal for the minimisation, as the derivative of the \( \chi^2 \) is larger for small values of the Fried parameter.

### Table 1. Features of the NAOMI AO system.

<table>
<thead>
<tr>
<th>Feature</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diameter</td>
<td>1.8 m</td>
</tr>
<tr>
<td>Obstruction ratio</td>
<td>7.7%</td>
</tr>
<tr>
<td>Loop frequency</td>
<td>500–50 Hz</td>
</tr>
<tr>
<td>Pixel scale</td>
<td>0.375 pix$^{-1}$</td>
</tr>
<tr>
<td>Sub-apertures</td>
<td>4 × 4</td>
</tr>
<tr>
<td>Valid sub-apertures</td>
<td>12</td>
</tr>
<tr>
<td>Total loop delay</td>
<td>4.6 ms</td>
</tr>
<tr>
<td>Corrected modes</td>
<td>4–15</td>
</tr>
</tbody>
</table>

### Table 2. Simulation conditions used in the generation of the phase screens.

<table>
<thead>
<tr>
<th>Feature</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fried parameter</td>
<td>0.004 m</td>
</tr>
<tr>
<td>Outer scale</td>
<td>18.9 m</td>
</tr>
<tr>
<td>Type of phase screens</td>
<td>Correlated</td>
</tr>
<tr>
<td># layers</td>
<td>9</td>
</tr>
</tbody>
</table>

### Table 3. Optimised parameters used in the iterative algorithm.

<table>
<thead>
<tr>
<th>Feature</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maximum radial order of fit</td>
<td>4</td>
</tr>
<tr>
<td>Maximum radial order of remaining error</td>
<td>8</td>
</tr>
<tr>
<td>Minimum # of iterations</td>
<td>2</td>
</tr>
</tbody>
</table>
Fig. 3. $\Delta r_0$ as a function of the maximum radial order included in the estimation of the remaining error. The upper limit was allowed to vary by changing the maximum radial order included in the $G_r$ matrix. A time horizon of 20 s was chosen for the generation of the curve. Error bars present a $\sigma$ deviation to the sample’s mean $\Delta r_0$.

Fig. 4. Evolution of the convergence of the algorithm with time. The convergence of the algorithm was studied by monitoring the derivative of the turbulence parameter, $\partial \Delta r_0 / \partial t$, estimate as a function of the time horizon, $t$.

3.3. Truncation of the time series

A large portion of our samples (88.50%) has a 20 s time horizon. We are interested in analysing the effects of truncation of the time series on the temporal convergence of the algorithm. The temporal evolution of the estimated Fried parameter,

$$\frac{\partial \Delta r_0}{\partial t} \approx \frac{(r_{0,n+1} - r_{0,n})}{\Delta t},$$

is represented in Fig. 4. The temporal evolution is studied by comparing the change in parameter estimation from time horizon $t$ to $t + \Delta t$. The loop frequency of the system gives the time increment $\Delta t$. The temporal evolution is modelled as the power-law decay,

$$\frac{\partial \Delta r_0}{\partial t} = C + \left(\frac{t}{t_0}\right)^\alpha.$$  \hspace{1cm} (27)

The temporal evolution for a 20 s time horizon was retrieved from the fit of the model and was found to add an uncertainty of 0.2(2)% . The error of the uncertainty was calculated from the variance of the fitted parameters of the fit.

The convergence bias is assumed to be independent of the mismatch between synthetic and system matrices. The total systematic error is then the square sum of the two errors ($\mu(r_0)|_{\text{sys}} = 1.2\%$).

3.4. Optimised algorithm performance

Figure 5 indicates the algorithm’s performance in estimating the Fried parameter as a function of the time horizon of the sample. The effects of the remaining error estimation were tested by comparing the $k = 0$ and $k = 2$ iteration of the algorithm. The algorithm’s $k = 0$ iteration does not estimate the remaining error, while any iteration above the second was shown to be unnecessary. The optimised algorithm shows that the correction of the remaining error is necessary. Between the two iterations of the algorithm, an improvement in convergence bias from 17% to a sub-per cent is verified.

The algorithm’s performance answers the feasibility question posed in Sect. 1. Sub-per-cent convergence bias estimations can be obtained in intervals of 20 s non-overlapping telemetry samples once the remaining error estimation is included in the fit for a $4 \times 4$ Shack-Hartmann sensor.

4. Results for NAOMI on-sky telemetry

4.1. Dataset analysis

The on-sky data were packaged following the telemetry data format proposed by Gomes et al. (2022). These data were curated following several criteria summarised in Table 4:

- Data with a 500 Hz loop rate were selected. This loop ratio ensures a sufficient Strehl ratio in the samples. Lower loop frequencies are only active for high-R magnitude AO reference star samples with low $H$- and $K$-band Strehl ratios (Woillez et al. 2019);
- A minimum time horizon of 20 s was selected. We note that 88.50% of the available data satisfy this condition;
- $N = 166$ telemetry samples possess unviable data (cf. Fig. 6). We consider samples with a defocus ($b^2$) below a $1 \times 10^{-10}$ rad$^2$ threshold to be incorrectly stored and remove them from the sample.
We ensure the correct confidence levels of the algorithm through an analysis of the uncertainty of the estimation. The per-cent statistical uncertainty of the algorithm estimation is shown in Fig. 7. The median statistical uncertainty is 1.2%. High uncertainty points were removed from the sample. We chose as a threshold for acceptance. The were removed from the sample this way. The total error of the estimation must include the systematic error. As such, the systematic error was added to the median uncertainty to obtain the median confidence level of \( \alpha = 73 \pm 1.2 \)\%. 

4.2. Agreement between ATs

Samples are gathered from the four ATs of the VLTI. These are spread across the observatory and monitor the same star. The four telescopes provide concurrent AO telemetry samples for the same observation. We can sample the spatial profile of the Paranal Observatory through the former with up to four concurrent seeing estimations. Table 5 summarises the difference in seeing estimates for concurrent telemetry samples by presenting the mean difference between telescopes. The error shown is the error of the mean for the data points. From Table 5, we conclude that:

- the average difference between telescopes is 0.011\''
- on average, the error around the mean is 0.005\'', which results in a difference of 0.7% around the average seeing difference. The dispersion around the mean is small, demonstrating the robustness of the estimates.

4.3. Comparison with DIMM

Differential image motion monitors (DIMM) are implemented at Paranal and give regular seeing estimates (Southern et al. 2015), which are used in this section for comparison to our estimates. The relationship between the two estimates is represented in Figs. 8 and 9. A linear trend between the two estimates is observed up to a limit of 1.5 arcsec, as illustrated in Fig. 9. This limit was chosen as a cutoff threshold for the analysis. Within the selected range, the DIMM and our seeing estimates agree with a Pearson correlation coefficient of 0.62. On average, a 5% larger seeing is estimated (\( \rho = 0.76'' \)).

Following Masciadri et al. (2023) DIMM comparisons, we introduced bias and root-mean-square error (RMSE) defined as

\[
\text{BIAS} = \frac{1}{N} \sum_{i=1}^{N} (Y_i - X_i)
\]  

and

\[
\text{RMSE} = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (Y_i - X_i)^2}
\]

where \( N \) is the number of telemetry samples, \( X_i \) is the observation of MASS-DIMM, and \( Y_i \) is the estimation of our algorithm.
A comparison of the seeing estimates between concurrent telemetry samples across the four available ATs showed consistency in our estimates. On average, all telescopes estimated the same seeing conditions for the same observation within the measurement uncertainty of 0.005″. The seeing was shown to be invariant across ATs. The average difference in seeing between telescopes is 0.011″. This difference is within the median algorithm uncertainty.

Our algorithm was compared to the DIMM seeing estimates. Disagreement between the two was found, with a correlation coefficient of 0.62 being determined. Our algorithm estimated 5% larger seeing conditions.

It was shown that the Fried parameter could be estimated from a low-order Shack-Hartmann system in a 1.8 m diameter telescope but not the outer scale. The next immediate step is to apply the algorithm to a higher-order system in a larger-diameter telescope. Larger telescope diameters imply a larger sensitivity to the outer scale. On the other hand, higher-order systems translate into less contamination of lower orders (more sensitive to the outer scale) via aliasing and crosstalk. The ideal test bench is the CIAO system installed in the Unit Telescopes.

5. Conclusions

This paper aimed to determine whether low-order (4 x 4) Shack-Hartmann wavefront sensor telemetry could be used to estimate integrated turbulence parameters. To come to a conclusion, an iterative estimation algorithm was first validated on simulated data and then applied to telemetry data produced from the operation of the AO system of the ATs of the VLT, NAOMI.

The estimation algorithm was optimised using a simulation of the NAOMI system. Simulation results show the ideal number of iterations, the minimum time horizon, and the number of modes to be included in the fitted variances and remaining error estimation. The $\chi^2$ map of the algorithm was shown to converge to the expected value without bias, and through its analysis, the ideal initial guess for our algorithm was established. The correction of the remaining and measurement error was shown to be necessary for the correct estimation of the Fried parameter. The uncorrected variances were shown to incorrectly estimate the Fried parameter, with an error of 17%. By compensating for the remaining error, we were able to achieve sub-per-cent convergence bias in the estimation of the parameter.

Following optimisation, the algorithm was applied to real on-sky data curated to remove undesirable samples totalling less than 1%. The uncertainty of the estimation of the seeing was first assessed. A median uncertainty of 1.2% was obtained.

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References


We obtained $\text{BIAS} = 0.03''$ and $\text{RMSE} = 0.22''$. These results are consistent with those reported in Masciadri et al. (2023) for the comparison of values between Stereo-SCIDAR and MASS-DIMM, which are $\text{BIAS} = 0.08''$ and $\text{RMSE} = 0.25''$, respectively.

Consequently, these findings follow a larger trend of non-consensual seeing estimates between the MASS-DIMM system and other turbulence estimators (Butterley et al. 2020; Osborn et al. 2018). The ATs and the DIMM tower are located at different positions in the observatory, with the ATs occupying a central position while DIMM is installed at the edge of the observatory. The dome turbulence is an additional component in the telemetry data. The dome turbulence has been shown to play an important role in the total value of the seeing in larger telescopes than the ATs (Munro et al. 2023; Salmon et al. 2009).

DIMM uses an open telescope design (Kornilov et al. 2007), which does not share a dome with the ATs. Coupling these factors and discarding systematic effects between the DIMM and NAOMI turbulence measurements, the local turbulence at the ATs seems to be larger than the one seen at the edge of the observatory.
Appendix A: Logarithmic scale of the employed chi-squared weighting factors

In this appendix, we address the non-constancy of the weighting factors in the chi-squared fit. Through the top plot of Figure A.1, we exemplify the fitting of the algorithm to the theoretical variances. The theoretical and corrected variances overlap. We defined the corrected variances, \( \langle b^2 \rangle_{\text{corr}} \), as the subtraction of the estimated error from the reconstructed variances, such that

\[
\langle b^2 \rangle_{\text{corr}} = \langle b^2 \rangle - \sigma^2_{n,i}.
\]  

(A.1)

The approximate logarithmic scale of the squared uncertainty of our data points is illustrated through the bottom plot of the figure, empirically validating the choice of \( \sigma_{(b^2),i} \) as a weight factor to the chi-squared model.

As such, the total reconstructed variance corresponds to the non-correlated measurement error contribution and remaining terms that depend on atmospheric variances. The temporal autocorrelation of the reconstructed Zernike coefficients, \( C_{i}(\tau) \), for an individual Noll order, \( i \), is then given as

\[
C_{i}(\tau) = \langle b_i(t)b_i(t + \tau) \rangle_i = C_{\text{turb},i}(\tau) + \sigma_{n,i}^2 \delta\tau,
\]

(B.1)

where \( C_{\text{turb},i}(\tau) \) is the temporal autocorrelation of the atmospheric contributions and \( \sigma_{n,i}^2 \) is the measurement error. The measurement error is retrieved from \( C_{i}(0) \) through the difference between \( C_{i}(0) \) and \( C_{\text{turb},i}(0) \).

Since the measurement error is only present at \( \tau = 0 \), we can estimate \( C_{\text{turb},i}(0) \) through the polynomial fit of the first points of autocorrelation (excluding \( \tau = 0 \)). We note that \( C_{\text{turb},i}(0) \) is estimated through the intercept at \( \tau = 0 \).

The measurement error is introduced as white noise in the slopes, following a known standard deviation, \( \sigma \) (c.f. equation (11)). Since the measurement error is obtained from the reconstructed coefficients, we need to take the effects of the reconstruction matrix, \( H \), into account in the measurement error vector, \( \sigma^2_{n,i} \), through equation (14). As such, this error cannot be considered constant across Zernike modes. The S/N of individual Zernike modes is defined as

\[
S/N_i = \frac{\langle b^2 \rangle_i}{\sigma^2_{n,i}}
\]

(B.2)

and is represented in Figure B.1 for modes of distinct radial orders, \( n \). Due to the non-constant measurement error in the Zernike modes, we estimate the latter as a vector across all reconstructed modes.

Appendix B: Measurement error estimation from temporal autocorrelation of reconstructed coefficients

Since we assume that \( \langle e^2 \rangle \) is temporally non-correlated, we can express the reconstructed modal variances through equation (13).

Appendix C: Fried parameter estimation performance comparison for different measurement error estimation techniques

To illustrate the choice of our estimation method, we tested both measurement error estimations:

Fig. A.1. In the top graph: (i) the dashed line – the reconstructed variances, \( \langle b^2 \rangle \), for a simulated telemetry sample; (ii) the dotted line – the theoretical von Kármán variances of the phase screen turbulence parameters; and (iii) the solid line – the corrected variances. In the bottom graph: the weight factors, \( \sigma_{(b^2),i} \), obtained from the variance of each radial order of the reconstructed variances presented in the preceding graph.

Fig. B.1. S/N for individual, \( i \), Zernike modes in different radial orders, \( n \). The measurement error was verified to be distinct between radial orders.
– Simultaneously with the fitting of equation (19), following the specifications outlined in Andrade et al. (2019);
– Independently, using the methodology introduced by Fusco et al. (2004).

To illustrate their effect on the convergence of the algorithm, we applied both methods to simulated telemetry samples at different S/N regimes, following the same atmospheric conditions expressed in Section 3. The results are illustrated in Figure C.1. We find that the Fried parameter estimation by the autocorrelation method is more stable in the low S/N regime. As such, the estimation of the measurement error in the low S/N regime is improved, while performing equally as well in the high S/N regime. Additionally, by removing the measurement error from the chi-squared minimisation, we reduced the number of fitting variables from $p = (r_0, L_0, \sigma_0)$ to $p = (r_0, L_0)$.

**Fig. C.1.** Estimation of the Fried parameter as a function of S/N, as defined by equation (18): (i) bold – autocorrelation of the reconstructed coefficients; and (ii) dashed – the global fit approach.