The radial dependence of pebble accretion rates: A source of diversity in planetary systems

I. Analytical formulation

S. Ida (井田茂)\(^1\), T. Guillot\(^2\), and A. Morbidelli\(^2\)

\(^1\) Earth-Life Science Institute, Tokyo Institute of Technology, Meguro-ku, 152-8550 Tokyo, Japan
e-mail: ida@elsi.jp
\(^2\) Laboratoire J.-L. Lagrange, Université Côte d'Azur, Observatoire de la Côte d’Azur, CNRS, 06304 Nice, France

Received 9 January 2016 / Accepted 5 April 2016

ABSTRACT

Context. The classical planetesimal accretion scenario for the formation of planets has recently evolved with the idea that pebbles, centimeter- to meter-sized icy grains migrating in protoplanetary disks, can control planetesimal and/or planetary growth.

Aims. We investigate how pebble accretion depends on disk properties and affects the formation of planetary systems.

Methods. We construct analytical models of pebble accretion onto planetary embryos that consistently account for the mass and orbital evolution of the pebble flow and reflect disk structure.

Results. We derive simple formulas for pebble accretion rates in the so-called settling regime for planetary embryos that are more than 100 km in size. For relatively smaller embryos or in outer disk regions, the accretion mode is three-dimensional (3D), meaning that the thickness of the pebble flow must be taken into account, and resulting in an accretion rate that is independent of the embryo mass. For larger embryos or in inner regions, the accretion is in a two-dimensional (2D) mode, i.e., the pebble disk may be considered infinitely thin. We show that the radial dependence of the pebble accretion rate is different (even the sign of the power-law exponent changes) for different disk conditions such as the disk heating source (viscous heating or stellar irradiation), drag law (Stokes or Epstein, and weak or strong coupling), and in the 2D or 3D accretion modes. We also discuss the effect of the sublimation and destruction of icy pebbles inside the snow line.

Conclusions. Pebble accretion easily produces a large diversity of planetary systems. In other words, to infer the results of planet formation through pebble accretion correctly, detailed prescriptions of disk evolution and pebble growth, sublimation, destruction and migration are required.

Key words. planets and satellites: formation – planets and satellites: dynamical evolution and stability – protoplanetary disks – planets and satellites: terrestrial planets – planet-disk interactions – methods: analytical

1. Introduction

The conventional model of planet formation (e.g., Safronov 1972; Hayashi et al. 1985) assumed that the building blocks of planetary bodies are km-sized planetesimals. However, agglomerating dust grains in a protoplanetary disk to form these planetesimals leads to a serious problem, the so-called radial drift barrier (a.k.a. meter-size barrier). While small enough grains are strongly coupled to the disk gas, larger bodies migrate more rapidly through angular momentum loss by aerodynamical gas drag until they reach kilometric sizes. The migration of meter-sized bodies is as fast as \(\sim 10^{-2}\) au/yr (e.g., Weidenschilling 1980; Nakagawa et al. 1981).

For small dust grains, growth via pairwise collisions is faster than migration (if collisions result in coagulation rather than rebounding or fragmentation; see Sect. 3.4), so that they actually grow in situ. When they grow to \(O(10)\) cm-sized bodies called pebbles, migration dominates over growth and they actually start their migration. Although the migration of pebbles is slower than that of meter-sized bodies, it is still \(\sim 10^{-4}\) au/yr and the migration timescale is shorter by a few orders than gas disk lifetimes that are observationally inferred. These bodies cannot bypass the radial drift barrier through more rapid growth, unless the fluffy structure of icy dust grains (Okuzumi et al. 2012) is considered or local disk structure such as long-lived turbulent anticlonic eddies (e.g., Barge & Sommeria 1995; Chavamis 2000; Johansen et al. 2004; Inaba & Barge 2006) or radial pressure bumps (e.g., Johansen et al. 2014, and references therein) exists.

However, a swarm of these fast migrating pebbles may cause a traffic jam resulting in a streaming instability and form large clumps that may become 100–1000 km-sized bodies (Youdin & Goodman 2005; Johansen et al. 2007, 2012, 2015). Some of these simulations (Johansen et al. 2012) suggest that only a few tens of percent of solid materials could be incorporated into these clumps. The clumps would subsequently grow by accreting the migrating pebbles (Lambrechts & Johansen 2012, 2014; Lambrechts et al. 2014), a process commonly called pebble accretion. This idea was applied among others, to the formation of Jovian cores (Levison et al. 2015), close-in super-Earths in exoplanetary systems (Chatterjee & Tan 2014, 2015; Moriarty & Fischer 2015), an explanation of the dichotomy of the solar system (Morbidelli et al. 2015), and to account for water delivery to the Earth (Morbidelli et al. 2016; Sato et al. 2016).

Planet formation through planetesimal accretion is a local process, that is, planetesimals are accreted in a local feeding zone, until planets acquire lunar to Martian mass for which type I migration becomes effective (e.g., Tanaka et al. 2002; Paardekooper et al. 2011). Because Kepler frequency and
planetary spatial density are higher in inner regions, the planetesimal accretion timescale is shorter for smaller orbital radius with a relatively strong dependence ($t_{\text{acc}} \propto r^{2.3}$).

On the other hand, in the case of pebble accretion, planets accrete pebbles migrating from outer regions with a small capture probability (Guillot et al. 2014), so that the accreting planets share the common pebble flux as building blocks. It is thus expected that the $r$-dependence of $t_{\text{acc}}$ should be very weak.

Fundamental formulas for pebble accretion rate have been studied in detail (Ormel & Klahr 2010; Ormel & Kobayashi 2012; Lambrechts & Johansen 2012, 2014; Guillot et al. 2014). Using these expressions, we investigate the radial dependence of pebble accretion rates onto planets, consistently taking pebble growth and migration and disk properties into account. In Sect. 2, we set up a simple empirical disk model based on detailed analytical calculations and radiative transfer simulations that includes both viscous heating and stellar irradiation. In Sect. 3, we model the collisional growth and radial migration of dust and pebbles. Based on the dust and pebble evolution model with the disk model, we derive simple analytical formulas of the pebble accretion rate onto planetary embryos. In Sect. 4, we provide expressions for the pebble accretion rate as a function of gas disk accretion rate ($\dot{M}_*\) and orbital radius ($r$) and discuss the relations between the radial dependence and disk properties. Section 5 is a summary. Lastly, the symbols used in this work are listed in Table A.1.

2. Protoplanetary disk model

For simplification, we consider steady accretion disks with a constant $\alpha$ (the $\alpha$-viscosity parameter) and parameterize the disk midplane temperature $T$ and gas surface density $\Sigma_g$ with power-law functions of the orbital distance $r$ as

$$T \propto r^{-\gamma}$$

and

$$\Sigma_g \propto r^{-\xi}.$$  \hfill (1)

Because the radial gradient is important in our arguments, the power indexes do not need to be the same values throughout the entire disk. The scaling laws that we provide below are the same as those derived by Chambers (2009). For numerical factors, we use the results from Garaud & Lin (2007) and Oka et al. (2011).

As we show here, the disk aspect ratio $h_g/r$ (where $h_g$ is the vertical gas disk scale height) is an important factor for pebble accretion. We set

$$h_g \equiv \frac{h_g}{r} \propto r^\gamma.$$  \hfill (2)

We choose to define the scale height $h_g$ so that the vertical gas density is $\rho_G(z) \propto \exp(-z^2/2h_g^2)$, or equivalently, $h_g = c_s/\Omega$, where $c_s$ is the sound speed and $\Omega$ is Keplerian frequency ($\Omega = \sqrt{GM_*/r^3}$; $M_*$ is the host star mass), both estimated at the midplane and for a given orbital distance $r$.

$$c_s^2 \propto \frac{\gamma}{\gamma - 2} + 1.$$  \hfill (3)

From the assumption of steady disk accretion, $M_* = 3\pi\Sigma_g v = 3\pi\Sigma_g h_g^2\Omega$, is independent of $r$, so that

$$\xi = 2q + 1/2 = -\gamma + 3/2.$$  \hfill (4)

The assumption of a steady accretion is generally good in the inner regions, i.e., when $r \ll r_{\text{out}}$ where $r_{\text{out}}$ is the disk outer edge radius. In order to simplify the quantitative estimates, we introduce normalized parameters for the stellar mass $M_*$, stellar luminosity $L_*$, viscous alpha parameter $\alpha$, disk accretion rate $\dot{M}_*$, and pebble mass accretion flux through the disk $\dot{M}_g$ as

$$M_{\text{d}0} \equiv \frac{M_*}{1M_\odot}, \quad L_{\odot} \equiv \frac{L_*}{1L_\odot}, \quad \alpha_3 \equiv \frac{\alpha}{10^{-3}}.$$  \hfill (5)

The scaling factor $M_{\text{d}0} = 10^{8} M_\odot/yr$ is typical of classical T Tauri stars, and $M_{\text{d}0} = 10^{-4} M_\odot/yr$ corresponds to a value that is often estimated from theoretical works (Sect. 3.4).

The disk temperature parameter $\gamma$ (or equivalently $q$) is mostly determined by the heating source. As shown by, for example, Hueso & Guillot (2005) and Oka et al. (2011), viscous heating dominates in the inner disk regions while irradiation from the central star dominates the thermal structure of the outer regions of the disk. The disk midplane temperature can be approximated by $T = \max(T_{\text{vis}}, T_{\text{IRR}})$, where $T_{\text{vis}}$ and $T_{\text{IRR}}$ are temperatures determined by viscous heating and stellar irradiation, respectively. The detailed results of Garaud & Lin (2007) and Oka et al. (2011) are empirically fitted by

$$T_{\text{vis}} \approx 200M_{\text{d}0}^{3/10} \alpha_3^{1/5} M_{\text{d}0}^{1/5} \left(\frac{r}{1\text{ au}}\right)^{-9/10} \text{ K},$$

and

$$T_{\text{IRR}} \approx 150L_{\odot}^{2/7} M_{\text{d}0}^{1/7} \left(\frac{r}{1\text{ au}}\right)^{-3/7} \text{ K}.$$  \hfill (6)

where the power exponents are derived by analytical arguments. In the pre-main sequence stellar evolution phase, when protoplanetary disks are present, $L_* \propto M_*^2$ to $M_*^4$, implying that $T_{\text{IRR}}$ increases with $M_*$. Since it is observationally suggested that mean values of $M_*$ is proportional to $M_*^2$, $T_{\text{vis}}$ would also increase with $M_*$. In order to derive Eq. (8), we implicitly assumed that the disk is vertically optically thin, but radially optically thick. When the disk is so depleted that the disk becomes optically thin even in the radial direction, $T \approx 280 L_{\odot}^{1/4}(r/1\text{ au})^{-1/2} \text{ K}$ (e.g., Hayashi 1981). However, the radially thin condition is realized only for $M_* \lesssim 10^{-10} M_\odot/yr$ (e.g., Oka et al. 2011). This corresponds to a very low accretion rate and accordingly a very low disk gas surface density. We hence do not consider the optically thin limit in this paper. In the irradiated, radially thick limit, the midplane temperature of the disk is significantly lower than in the optically thin limit. When viscous heating becomes weak enough ($M_* \lesssim 10^{-6} M_\odot/yr$), the snow line is inside 1 au (e.g., Oka et al. 2011)\textsuperscript{2}.

The corresponding disk scale heights in both temperature regimes are

$$h_{g,\text{vis}} = \frac{h_{g,\text{vis}}}{r} \approx 0.027 M_{\text{d}0}^{-7/20} \alpha_3^{-1/10} M_{\text{d}0}^{1/8} \left(\frac{r}{1\text{ au}}\right)^{1/20}.$$  \hfill (7)

\textsuperscript{2} The reason terrestrial planets in our solar system are dry (almost ice-free) even though they would have formed in such low-temperature disks is discussed by Morbidelli et al. (2016) and Sato et al. (2016).
and
\[
\hat{h}_{\text{g,irr}} = \frac{h_{\text{g,irr}}}{r} = 0.024 L_0^{1/7} M_{\odot}^{4/7} \left( \frac{r}{1 \text{ au}} \right)^{2/7}.
\]
(10)
The actual scale height is given by \( h_g = \max(h_{g,\text{vis}}, h_{g,\text{irr}}) \). The exponent is thus \( q = 1/20 \) in viscous regime and \( q = 2/7 \) in irradiation regime. In the optically thin limit, \( h_g \) is given by \( h_g/r \approx 0.033(r/1 \text{ au})^{1/2}(M_*/M_\odot)^{1/2}(L_*/L_\odot)^{1/8} \).

The assumption of a steady accretion disk enables us to calculate the gas surface density explicitly as
\[
\Sigma_g = \frac{M_*}{3 \pi h_g^2 \Omega} = \frac{M_* T_g}{6 \pi^2 a_0^2 r^{1/2}} 10^{-5} M_\odot M_{\odot}^{-1/2} \left( \frac{r}{1 \text{ au}} \right)^{-1/2} \alpha_3^{-1} \hat{h}_g^2 \left[ \frac{M_\odot}{\text{au}^2} \right]
\]
(11)
and
\[
\Sigma_{g,\text{vis}} \approx 2.1 \times 10^8 M_\odot^{1/5} \alpha_3^{-3/5} M_{\odot}^{3/5} \left( \frac{r}{1 \text{ au}} \right)^{-3/5} \text{ g cm}^{-2}.
\]
(12)
Substituting Eqs. (9) and (10) into the above equation, the surface density in the viscous and irradiation regimes, respectively, becomes
\[
\Sigma_{g,\text{vis}} \approx 2.1 \times 10^8 M_\odot^{1/5} \alpha_3^{-3/5} M_{\odot}^{3/5} \left( \frac{r}{1 \text{ au}} \right)^{-3/5} \text{ g cm}^{-2}.
\]
(13)
At any point, the surface density can be calculated by \( \Sigma_g = \min(\Sigma_{g,\text{vis}}, \Sigma_{g,\text{irr}}) \).

The boundary between the viscous and irradiation regimes given by \( T_{\text{vis}} = T_{\text{irr}} \) corresponds to an orbital distance,
\[
r_{\text{vis-irr}} \approx 1.8 L_0^{-20/33} M_{\odot}^{1/3} M_{\odot}^{14/33} M_{\odot}^{28/33} \text{ au}.
\]
(14)
Viscous heating dominates for \( r < r_{\text{vis-irr}} \) and conversely, stellar irradiation dominates at larger orbital distances. For classical T Tauri stars, \( M_* \sim 10^{-5} M_\odot/\text{yr} \), implying a value of \( r_{\text{vis-irr}} \sim 2 \text{ au} \), i.e., in the middle of the outer region of the disk. As we show later, the properties of pebble accretion change significantly between the viscous and irradiation regimes.

Because the water inside pebbles should vaporize inside the so-called snow line (defined by the region at which \( T \sim 170 \text{ K} \)), the size of pebbles and properties of pebble accretion should change when \( r < r_{\text{snow}} \). The location of the snow line can be obtained by \( r_{\text{snow}} \sim \max(r_{\text{snow,vis}}, r_{\text{snow,irr}}) \), where
\[
r_{\text{snow,vis}} \approx 1.2 M_\odot^{1/3} \alpha_3^{-2/9} M_{\odot}^{4/9} \text{ au},
\]
(15)
\[
r_{\text{snow,irr}} \approx 0.75 L_0^{1/3} M_{\odot}^{1/3} \text{ au}.
\]
(16)
Because \( M_* \) decreases with time, the snow line migrates inward as long as it is in the viscous regime. When the snow line is in the irradiation region, shading effects may complicate its evolution (Bitsch et al. 2015a). For simplicity, we do not consider this possibility.

In summary, in the viscously heated inner region, \( \gamma \approx 9/10, \xi \approx 3/5 \) and \( q \approx 1/20 \), while in the irradiation outer region, \( \gamma \approx 3/7, \xi \approx 15/14 \) and \( q \approx 2/7 \). The transition occurs at a few au. Pebble size can also change at a similar location because of ice sublimation. The size of pebbles is also bound to change in this region owing to ice sublimation. We see that the mode of accretion of pebbles and gas drag law also change in the same region, thus making planet formation through the accretion of pebbles particularly complex.

### 3. Pebble accretion rate

#### 3.1. Stokes number

Another important parameter for pebble accretion is the Stokes number \( \tau_s \), which expresses how the motion of pebbles are coupled to that of the circumstellar disk gas in sub-Keplerian rotation. It is defined by
\[
\tau_s = t_{\text{stop}} \Omega,
\]
(17)
where \( t_{\text{stop}} \) is the stopping time due to gas drag. A general expression of the stopping time is provided by (Guillot et al. 2014), but in our case, we can consider two limits that depend on the size of the pebbles considered:
\[
t_{\text{stop}} = \begin{cases} 
\frac{\rho_s R}{\rho_\text{g} \Omega} & \frac{\rho_s R}{\rho_\text{g} \Omega} \gg \frac{\sqrt{2} \pi \rho_s R}{\Omega \Sigma_g} \left[ R \gtrsim \frac{9}{2} \lambda_{\text{mfp}} \text{ Epstein} \right] \\
\frac{4 \rho_s R^2}{\Omega \Sigma_g} & \frac{4 \rho_s R^2}{\Omega \Sigma_g} \ll \frac{\sqrt{2} \pi \rho_s R}{\Omega \Sigma_g} \left[ R \lesssim \frac{9}{2} \lambda_{\text{mfp}} \text{ Stokes} \right]
\end{cases}
\]
(18)
where \( \lambda_{\text{mfp}} \) is the mean free path, \( \rho_s \) and \( R \) are the bulk density and physical radius of a dust particle, respectively; we used the spatial gas density at the midplane of the disk, \( \rho_\text{g} \approx \Sigma_g/\sqrt{2} \pi R_0 \).

According to Eq. (18), the Stokes number is given by
\[
\tau_s = \begin{cases} 
\frac{\sqrt{2} \pi \rho_s R}{\Omega \Sigma_g} & \left[ \text{Epstein} \right] \\
\frac{4 \rho_s R^2}{\Omega \Sigma_g} & \left[ \text{Stokes} \right]
\end{cases}
\]
(19)
where \( \sigma \approx (2 \times 10^{-12} \text{ cm}^2) \) is the collisional cross section for \( H_2, m_1 = (1.67 \times 10^{-24} \text{ g}) \) is the mass of hydrogen, \( \mu \approx 2.34 \) is the mean molecular weight. In the viscous regime with \( \tau_s \) (Eq. (12)) and \( h_{g,\text{vis}} \) (Eq. (9)), the Stokes number is explicitly given by
\[
\tau_s = \frac{\sqrt{2} \pi \rho_s R}{\Omega \Sigma_g} \approx 1.3 \times 10^{-3} M_0^{-1/5} \alpha_3^{4/5} M_{\odot}^{3/5} \rho_1 \left( \frac{r}{1 \text{ cm}} \right)^{2/3} \left( \frac{R}{1 \text{ cm}} \right)
\]
[Epstein],
(20)
\[
\tau_s = \frac{4 \rho_s R^2}{\Omega \Sigma_g} \approx 5.6 \times 10^{-4} M_0^{-1/2} \alpha_3^{1/4} M_{\odot}^{3/4} \rho_1 \left( \frac{r}{1 \text{ cm}} \right)^{2/3} \left( \frac{R}{1 \text{ cm}} \right)
\]
[Stokes],
(21)
where \( \rho_1 = \rho_s/1 \text{ g cm}^{-3} \) in the irradiation regime with \( \Sigma_{g,\text{irr}} \) (Eq. (13)) and \( h_{g,\text{irr}} \) (Eq. (15)),
\[
\tau_s = \frac{\sqrt{2} \pi \rho_s R}{\Omega \Sigma_g} \approx 0.93 \times 10^{-2} M_0^{-9/14} \alpha_3 M_{\odot}^{3/4} \rho_1 \left( \frac{r}{1 \text{ cm}} \right)^{15/14} \left( \frac{R}{1 \text{ cm}} \right)
\]
[Epstein],
(21)
\[
\tau_s = \frac{4 \rho_s R^2}{\Omega \Sigma_g} \approx 6.3 \times 10^{-3} M_0^{-1/14} \alpha_3 M_{\odot}^{3/14} \rho_1 \left( \frac{r}{1 \text{ cm}} \right)^{2} \left( \frac{R}{1 \text{ cm}} \right)
\]
[Stokes],
(22)
The mean free path is given by
\[
\lambda_{\text{mfp}} \approx \frac{\mu m_1}{\sigma \rho_\text{g}} \approx \sqrt{2} \pi \mu m_1 \rho_\text{g}
\]
\[
\left[ \text{cm} \right]
\]
\[
\left[ \text{cm} \right]
\]
\[
\left[ \text{cm} \right]
\]
Since the \( r \)-dependence of \( \lambda_{\text{mfp}} \) is relatively strong, a dust grain in the Epstein regime migrating inward must eventually enter the Stokes regime (also see Fig. 1) by (Lambrechts & Johansen 2012).
3.2. Basic relation for the pebble accretion rate

The mass accretion rate of pebbles onto a planetary embryo with mass \( M \) depends on whether the accretion may be considered as bidimensional (if the scale height of the pebble disk is small compared to the cross section of the collisions) or three-dimensional. In the first case, the accretion rate is

\[
M_{2D} = 2b \Sigma_0 \Delta v, \tag{23}
\]

where \( 2b \) is the linear cross section of the collision \((b = R \text{ in the geometric limit})\), \( h_p \) and \( \Sigma_0 \) are the scale height and the surface density of a pebble subdisk, and \( \Delta v \) is the relative velocity between the embryo and the pebbles.

Otherwise, the situation becomes more complex. A limiting case is when the gravitational pull of the embryo is large enough that the pebble flux can be considered as isotropic. In that case, the accretion rate can be written as

\[
M_{3D} = \pi b^2 \frac{\Sigma_0}{\sqrt{2\pi} h_p} \Delta v, \tag{24}
\]

where we used the fact that the pebble spatial density at the mid-plane is given by \( \rho_p = \Sigma_0 / \sqrt{2\pi} h_p \). The above equations can be combined into (see also Guillot et al. 2014)

\[
M = \min \left( \left( \frac{8 \Sigma_0}{\pi b^2} \right)^{1/2}, \frac{\pi b^2 h_p^2}{2 \Sigma_0} \right) \Delta v. \tag{25}
\]

The pebble scale height is related to the disk gas scale height as

\[
h_p \approx \left( \frac{1 + \frac{\tau_s}{\alpha}}{\alpha} \right)^{1/2} h_e, \tag{26}
\]

where we assumed \( \tau_s / \alpha > 1 \), because for pebble accretion, we usually consider the parameter ranges of \( \tau_s \gg 0.1 \) and \( \alpha \lesssim 10^{-2} \). Because \( h_p \propto r \), the accretion mode tends to be 2D in the inner disk regions (also see Sect. 4). In late phases, when planetary mass becomes so high that \( b \gg h_p \), the accretion mode also changes from 3D to 2D (Sect. 3.5). The transitional planetary mass is \( O(10^{-5}) M_\oplus \), as shown in Eq. (66).

The radial and azimuthal components of pebble drift velocity are given by (e.g., Nakagawa et al. 1986; Guillot et al. 2014)

\[
v_r = -\Lambda^2 \frac{2r_s}{1 + \Lambda^2 r_s^2} \chi \eta v_K + \Lambda \frac{1}{1 + \Lambda^2 t_s^2} u_{v_r}, \tag{27}
\]

\[
v_\phi = \frac{\Lambda}{1 + \Lambda^2 r_s^2} \chi \eta v_K + \frac{1}{1 + \Lambda^2 r_s^2} u_{v_\phi}, \tag{28}
\]

where \( \eta \) is the difference between gas and Keplerian velocities due to pressure gradient given by \( (\hat{h}^2/2) \text{dln} P / \text{dln} r \), \( \Lambda = \rho_g / (\rho_g + \rho_p) \), and \( u_v \) is the radial viscous diffusion velocity \((-\nu / r \sim -\hat{h}^2 \Omega / r \sim -\hat{h}^2 v_{v_r} / \Omega \). We hereafter assume \( \Lambda \approx 1 \). Because \( \eta \approx \hat{h}^2 \) and we consider the cases with \( \alpha \lesssim 10^{-2} \) and \( \tau_s \gtrsim 0.1 \), we neglect the 2nd terms proportional to \( u_v \). We note that when pebbles migrate to the region inside the snow line and are broken apart into small silicate grains, the second terms in Eqs. (27) and (28) could become important. Since \( \eta \) regulates pebble migration speed, it is a very important quantity for pebble accretion. It is explicitly given by

\[
\eta = \frac{\hat{h}^2}{2} \left| \frac{\text{dln} P}{\text{dln} r} \right| \approx \begin{cases} 
0.93 \times 10^{-3} M_{10}^{-7/10} \alpha_{-1/2}^{1/2} \nu_{-7/10}^{-1/2} \left( \tau_{\text{stop}} \right)^{3q} & \text{[viscous regime; } q = 1/20 \text{]},} \\
0.80 \times 10^{-3} L_{2,0}^{3/7} M_{4,0}^{-8/7} \left( \tau_{\text{stop}} \right)^{3q} & \text{[irradiation regime; } q = 2/7 \text{]}.
\end{cases} \tag{29}
\]

where we used \( \text{dln} P / \text{dln} r = \text{dln}(\Sigma / h_p^2) / \text{dln} r \approx -2.55 \) for the viscous region and \( \approx -2.78 \) for the irradiation region.

Equations (27) and (28) are rewritten as

\[
v_r = -2\tau_s \chi \eta v_K, \tag{30}
\]

\[
\Delta v_0 \equiv \sqrt{v_r^2 + v_\phi^2} = \chi \eta v_K = \eta' \nu v_K, \tag{31}
\]

where

\[
\eta' = \chi \eta, \chi \approx \sqrt{1 + 4 \tau_r^2}, \quad \xi = \frac{1}{1 + \tau_r^2}. \tag{32}
\]

For \( \tau_s < 1 \), \( \xi, \chi \approx 1 \), while \( \xi \approx 1 / \tau_r^2 \) and \( \chi \approx 2 / \tau_r \) for \( \tau_s \gg 1 \). As we show in what follows, the dependence on \( \chi \) disappears in pebble accretion rates, while the \( \xi \) factor remains. It is no problem to assume \( \chi = 1 \) and \( \eta' = \eta \) in the following.

If a circular orbit is assumed for the embryo, the relative velocity between the embryo and a pebble is given by the sum of their relative velocities \( \Delta v_0 \) and of a contribution due to the Keplerian shear (Ormel & Klahr 2010; Guillot et al. 2014)

\[
\Delta v \sim \eta' \nu v_K + \frac{3}{2} \hat{h} \Omega \sim \left( 1 + \frac{3b}{2\eta' r} \right) \nu v_K. \tag{33}
\]

Departures from this assumption occur if the eccentricities or inclinations of the embryos become larger than \( \eta \sim O(10^{-3}) \) (Guillot et al. 2014). Density fluctuations from turbulence could excite eccentricities to \( 3 \times 10^{-3} \alpha^{1/3} (R/1000 \text{ km})^{1/3} (\nu / \text{au})^{1/12} \) for \( R \lesssim 1000 \text{ km} \) (Guillot et al. 2014). The collision velocity could be dominated by the embryo eccentricity with \( R \sim 1000-10000 \text{ km} \) in the case of \( \alpha \gtrsim 10^{-3} \). However, after \( R \) becomes larger than \( 1000 \text{ km} \), disk-planet interaction efficiently damps eccentricity and the second term of Eq. (33) becomes larger than the first term (a transition from Bondi (drift accretion) regime to Hill regime; see below). As a result, the effect of the embryo eccentricity becomes weak again. The self-stirring of the embryos could also become important. Since it depends on orbital separation, which has not been clarified in the case of their formation by streaming instability, this is difficult to estimate at this point. We leave analysis of this case for future work and assume here for simplicity that \( \Delta v = \nu v_K \) is given by Eq. (33). In Eqs. (31) and (33), the relative velocity induced by turbulence, \( v_{\text{turb}} \), is not included. It is negligible for pebble size bodies, as long as \( \alpha \lesssim 10^{-2} \) (e.g., Sato et al. 2016).

Moriarty & Fischer (2015) used \( \Delta v = v_r \), while \( v_r \) is smaller than \( v_\phi \) for \( \tau_r < 1 \) and the shear velocity is more important for high mass planets. Furthermore, although they discussed formation of close-in planets, they assumed irradiative scale height. In general, viscous heating is more important than the stellar irradiation in inner disk regions.

The set of Eqs. (25), (26), (29), (32) and (33) allows us to derive mass accretion rates for the different cases that we consider.

3.3. Cross section of pebble accretion

We now need to calculate the accretion cross section \( b \). Here, we consider \( 1-100 \text{ cm}-\text{sized pebbles accreted by a planetary embryo with a size larger than } 100 \text{ km} \). The gas drag effect is then combined with the gravitational pull of the embryo, which results in a significant increase of the collision cross section (settling regime; Ormel & Klahr 2010; Guillot et al. 2014). For \( \tau_r < 1 \), the velocity change of a pebble with an impact parameter \( b \) by the gravitational force from the embryo is given approximately by (Lambrechts & Johansen 2012; Guillot et al. 2014)

\[
\Delta v \sim \frac{GM}{b^3} \sim \tau_s \frac{GM}{b^2 \hat{h} \Omega}. \tag{34}
\]
If $\delta v \leq \Delta v/4$, a collision occurs (Ormel & Klahr 2010). Then,
\begin{equation}
b^2 \sim \frac{4\pi GM}{\Omega \Delta v} \quad (35)
\end{equation}
When $b \leq (2/3)\eta r$ and hence $\Delta v \sim \eta \nu K$ (Bondi regime), the equivalent radius cross section is
\begin{equation}
b \approx \left( \frac{12r_* R_H}{\eta r} \right)^{1/2} \approx 2 \frac{I_{\text{off}}}{I_B} R_H \quad \text{[Bondi regime]},
\end{equation}
where $R_B = GM/(\eta \nu K)^2$ and $t_B = R_B/\eta \nu K$ $[I_{\text{off}}/I_B \approx \tau_s (\eta/3)(M_*/M)]$. When $b \gg (2/3)\eta r$ and hence $\Delta v \sim (3/2)b\Omega$ (Hill regime),
\begin{equation}
b \approx 2r_*^{1/3} R_H \approx \left( \frac{\tau_s}{0.1} \right)^{1/3} R_H \quad \text{[Hill regime].}
\end{equation}
This expression assumes $\tau_s \lesssim 0.1$. As $\tau_s$ increases over this value, $b$ asymptotes to $\sim R_H$. However, while almost all trajectories with impact parameters $b$ result in collisions with the planetary embryo for $\tau_s \lesssim 1$, only a small fraction of the trajectories with impact parameters within $\sim R_H$ can actually collide for $\tau_s \gg 1$ (e.g., Ida & Nakazawa 1989; Ormel & Klahr 2010) because their motions are not dissipative. To take this effect into account, Ormel & Kobayashi (2012) proposed a reduction factor for $b$ with $\tau_s$ $\gg 1$ as
\begin{equation}
\kappa = \exp \left( -\frac{(\tau_s}{\min(2, \tau_s^*)}^{0.65} \right),
\end{equation}
where $\tau_s^* = 4(M/M_*)/\eta^3$.

Taking the reduction factor into account, Eqs. (36) and (37) are combined into
\begin{equation}
b \approx \min \left( \sqrt{3r_*^{1/3} R_H \eta r}, 1 \right) \times 2k\tau_s^{1/3} R_H.
\end{equation}
The left-hand term in the bracket dominates for small $M$ (Bondi regime). The transition from the Bondi regime to Hill regime (when the right-hand term in the bracket becomes comparable to the left-hand term) occurs at $b \sim (2/3)\eta r$, which is equivalent to $R_H \sim (\eta/3r_*^{1/3})r$. The transition planetary mass is given by
\begin{equation}
M_{BH} = \frac{\eta^3}{9\tau_s} M_* \sim 10^{-6} \left( \frac{\tau_s}{0.1} \right) \left( \frac{\eta}{10^{-3}} \right)^3 M_*
\end{equation}
\approx 3 \times 10^{-4} \left( \frac{\tau_s}{0.1} \right)^{-1} \left( \frac{\eta}{10^{-3}} \right)^3 M_0.
\end{equation}
In the last two equations, we assumed $\tau_s < 1$.

### 3.4. Pebble mass flux and surface density

To estimate the pebble mass flux, we first evaluate the size of migrating pebbles from the balance between growth and migration. The dust growth timescale is approximately given by (Takeuchi & Lin 2005; Brauer et al. 2008)
\begin{equation}
t_{\text{grow}} \approx \frac{4}{\sqrt{3}} \frac{\Sigma}{\Sigma_p} \Omega^{-1} \approx 20 \left( \frac{\Sigma_p/\Sigma}{10^{-2}} \right)^{1/3} \left( \frac{r}{100 \text{ au}} \right)^{3/2} \text{yr},
\end{equation}
where we assumed perfect sticking for simplicity. For high enough speed collisions, grains rebound or fragment rather than coagulate, which is called a bouncing or fragmentation barrier, and the threshold velocity may be 20–100 m/s for icy grains and about ten times smaller for silicate grains (Blum & Wurm 2000; Zsom et al. 2010, 2011; Wada et al. 2011, 2013; Weidling et al. 2012). We show in the following that the barrier does not affect the assumption of perfect sticking for icy grains. On the other hand, the barrier may prevent silicate grains from growing beyond millimeter sizes (Zsom et al. 2010, 2011; Wada et al. 2011; Weidling et al. 2012). We will also discuss this issue later.

Although Eq. (41) differs slightly from more detailed calculations, for example, in the Stokes regime (Sato et al. 2016), it is useful for the purpose of the present paper. In the region of pebble formation from sub-micron dust grains, migration has not set in and we use a typical value $\Sigma_p/\Sigma_g \sim 10^{-2}$. In regions where pebbles are migrating, $\Sigma_p/\Sigma_g$ becomes much smaller than $10^{-2}$ (see below).

The timescale of radial migration of dust due to gas drag is given by
\begin{equation}
t_{\text{mig}} = \frac{r}{v_r} \approx \left( \frac{1 + \tau_s^*}{2\tau_s} \right) \left( \frac{\eta K}{\tau_s} \right) \left( \frac{\eta}{0.1} \right) \left( \frac{1}{10^{-3}} \right) \text{yr},
\end{equation}
where we assumed $\tau_s < 1$, because we consider relatively outer regions for the formation site of pebbles. For small dust grains with $\tau_s \ll 1$, $t_{\text{mig}}$ is much longer than $t_{\text{grow}}$, so that they grow without significant migration. As pebbles grow, $t_{\text{mig}}$ decreases, while $t_{\text{grow}}$ does not change. Since pebble growth occurs in an inside-out manner (Eq. (41)) and the largest bodies dominate the total pebble surface density (Sato et al. 2016), $\Sigma_p/\Sigma_g$ can be regarded as a constant behind the pebble formation front. Pebbles start their migration when $t_{\text{mig}}$ becomes shorter than $t_{\text{grow}}$. That is, migration starts when $\tau_s$ exceeds
\begin{equation}
\tau_s^{\text{crit}} \approx \frac{\sqrt{3\pi}}{8\eta} \frac{\Sigma_p}{\Sigma_g}
\end{equation}
The surface densities of migrating pebbles and disk gas are given by
\begin{equation}
\Sigma_p \approx \frac{M_F}{2\pi v_r} \sim \left( \frac{1 + \tau_s^*}{\tau_s} \right) \frac{M_F}{4\pi \eta K},
\end{equation}
\begin{equation}
\Sigma_g \approx \frac{3}{2\pi r_H \eta \Omega} \left( \frac{\tau_s}{0.1} \right)^{-1} \frac{M_F}{M_*}.
\end{equation}
where $M_F$ is the pebble mass flux through the disk. Since $\eta = (1/2)(h_0/r)^2[d\ln P/d\ln r]$, 
\begin{equation}
\Sigma_p \approx \frac{3}{2\pi r_H \eta \Omega} \frac{1 + \tau_s^*}{\tau_s} \frac{M_F}{M_*}
\approx 2 \times 10^{-4} \left( \frac{\tau_s/(1 + \tau_s^*)}{0.1} \right)^{-1} a \lambda M_F \Sigma_g^{1/3}.
\end{equation}
If we use an expression of $M_F$ given by Eq. (54),
\begin{equation}
\frac{\Sigma_p}{\Sigma_g} \approx 2 \times 10^{-3} \lambda^{-2/3} \frac{2^{1/3}}{10^{-3}} \left( \frac{t}{10^6 \text{yr}} \right)^{1/3},
\end{equation}
which is consistent with the results by Sato et al. (2016) and Krijt et al. (2016).

The parameter $\Sigma_p$ is smaller than the solid surface density of the MMSN by two orders of magnitude for $\tau_s \sim 0.1$ and $M_F \sim 10^{-4} M_0/\text{yr}$, which was also found by Lambrechts & Johansen (2014). This is because the pebble migration velocity $v_r$ is very large and we consider a steady-state solution in which
As pebbles further grow and migrate inward, $\lambda_{\text{mg}}$ becomes smaller until they eventually enter the Stokes regime when $R \gtrsim (9/4)\lambda_{\text{mg}}$. Equations (22) and (48) show that the Epstein-Stokes transition in the irradiation region occurs at

$$ r \approx r_{\text{ES}} = 2.9L_{\text{in}}^{-3/13}M_{\text{in}}^{17/26}\alpha_{3}^{-1/2}M_{s8}^{1/2}M_{\text{F4}}^{1/2}p_{s1}^{-7/26} \, \text{au}. \quad (49) $$

Because $\tau_{s} \propto R^2 \times r^{-1-q}$ in the Stokes regime, $\tau_{s}$ increases with inward migration and $\tau_{s} > \tau_{s,\text{crit}}$ is always satisfied. In the Stokes regime, pebbles migrate without significant growth. Therefore, the pebble size in the Stokes regime is given by substituting Eq. (49) into Eq. (48) as

$$ R \approx 20L_{\text{in}}^{-0.11}M_{\text{in}}^{0.33}M_{s8}^{0.05}M_{\text{F4}}^{0.32}p_{s1}^{-0.63} \, \text{cm}. \quad (50) $$

The corresponding evolution of the Stokes number is obtained by substituting Eq. (50) into Eq. (20).

With a constant $R$, the Stokes parameter increases with inward migration as $\text{dln} \tau_{s}/\text{dln} r = -1 - q$ in the Stokes regime. When $\tau_{s}$ exceeds unity, the migration quickly slows down ($t_{\text{mig}} \propto \tau_{s}$ for $\tau_{s} > 1$). If $\tau_{s}$ would further exceeded

$$ \tau_{s,\text{crit}2} \approx 3\sqrt{3\pi}\frac{\alpha M_{e}}{16 \text{dln} P/\text{dln} r} \left(\frac{\eta}{M_{e}}\right)^{1/2} \propto r^{-q}. $$

$$ \sim 13(\alpha_{3}^{-1}M_{s8}M_{\text{F4}}^{1/2})^{1/2} \left(\frac{\eta}{10^{-5}}\right)^{1/2} \propto r^{q} $$

$$ \sim 4L_{\text{in}}^{1/7}M_{\text{in}}^{9/28} \left(\frac{\eta}{10^{-7}}\right) $$

(if Eq. (54) is assumed),

before the pebbles pass the snow line, $t_{\text{mig}}$ would again become longer than $t_{\text{grow}}$ and the pebbles would keep growing in situ. Furthermore, since $\tau_{s} \propto R^2$, the condition $\tau_{s} > \tau_{s,\text{crit}2}$ would then always be satisfied, resulting in runaway coagulation (Okuzumi et al. 2012). Okuzumi et al. (2012), however, showed that $\tau_{s}$ does not reach $\tau_{s,\text{crit}2}$ outside the snow line where the assumption of perfect accretion may be relevant, unless we consider the possibility that dust grains are highly porous. We do not consider this possibility in the present work.

So far, we assumed perfect accretion. However, even for icy grains, the bouncing/fragmentation barrier exists as mentioned before. For collisions between dust grains, their collision velocity is likely to be dominated by that induced by turbulence as long as $a \gtrsim 10^{-3}$, which is given by $v_{\text{coll}} \sim \sqrt{3\pi a_{3} \tau_{s} \epsilon}$ (Sato et al. 2016). For the threshold velocity $v_{\text{coll, crit}}$, collisions result in coagulation when

$$ \tau_{s} < \frac{1}{3\pi} \left(\frac{v_{\text{coll, crit}}}{c_{s}}\right)^{2} \sim 2\alpha_{3}^{-1} \left(\frac{v_{\text{coll, crit}}}{50 \, \text{m/s}}\right)^{2} \left(\frac{T}{150 \, \text{K}}\right)^{-1}. $$

If we use $T_{\text{tr}}$ given by Eq. (8),

$$ \tau_{s} < 2\alpha_{3}^{-1} \left(\frac{v_{\text{coll, crit}}}{50 \, \text{m/s}}\right)^{2} L_{\text{in}}^{-2/7} M_{\text{in}}^{3/7} \left(\frac{r}{1 \, \text{au}}\right)^{3/7}. $$

Since collisions are efficient in Epstein regime, the result in Figure 1 shows that the bouncing/fragmentation barrier does not restrict the pebble growth as long as $\alpha \lesssim 10^{-2}$.

Figure 1a shows the growth and migration of pebbles obtained by directly integrating $\text{d}R/\text{d}t = R/t_{\text{grow}}$ and $\text{d}R/\text{d}t = -r/t_{\text{mig}}$ with Eqs. (41) and (42). In panel b, the corresponding evolution of $\tau_{s}$ is plotted. The analytical estimates are represented by the dashed lines, which are given by Eq. (48) and $\tau_{s,\text{crit}}$ (Eq. (47)) in the Epstein regime and Eqs. (50) and (20) with Eq. (50) in the Stokes regime. They are consistent with
the results by direct integration. These results are also consistent with the evolution of peak mass bodies obtained by more detailed dust growth/migration calculations taking the dust size distribution into account (e.g., Okuzumi et al. 2012; Sato et al. 2016). Lambrechts & Johansen (2014) derived a similar analytical result. However, they were focused on Epstein regime, so that the results differ in inner disk regions where Stokes regime is important.

From this plot, we find that runaway coagulation appears inside 0.1 au. Indeed, the threshold \( \tau_{\text{crit}} \) crosses the point of \( r = 0.1 \) au and \( \tau_s = 10 \) with a positive gradient \( \varphi \approx 1/20 \). However, the sublimation of icy components inside the snow line would prevent runaway coagulation from occurring.

The pebble mass flux is evaluated as follows. The pebble growth timescale \( (t_{\text{grow}}) \) is the timescale for a body to grow in size by a factor of \( e \sim 2.72 \). The timescale of growth from \( \mu \) dust to cm pebbles (by a factor 10^4) is \( t_{\text{grow}} \sim 10^3 r_{\text{grow}} \sim 10^3 \text{yr} \). From Eq. (41), \( t_{\text{grow}} \sim 2 \times 10^9 (r/100 \text{ au})^{3/2} \text{ yr} \), where \( \Sigma_p \) is the small dust surface density as \( \Sigma_p/\Sigma_g \sim 10^{-2} \).

We assume \( \Sigma_p/\Sigma_g \sim 10^{-2} \) in the outer pebble-forming region and use the value of \( \Sigma_p \) that applies to the irradiation regime (Eq. (13)). The parameter \( t_{\text{grow}} \) depends on \( \Sigma_p/\Sigma_g \) but not on \( \Sigma_g \). Once migration starts, pebbles quickly migrate and the dust surface density is rapidly depleted. The timescale for pebbles to grow until migration dominates, \( t_{\text{grow}} \), is proportional to \( r^{-3/2} \). Thereby, the region in which pebbles are forming is narrow and migrates outward. The pebble formation front \( r_{\text{pf}} \) at \( t \) satisfies \( t \sim 2 \times 10^9 (r_{\text{pf}}/100 \text{ au})^{3/2} \text{ yr} \), that is, \( r_{\text{pf}} \sim 100 (r/2 \times 10^9 \text{ yr})^{2/3} \text{ au} \). The pebble mass flux is estimated by calculating the dust mass swept by the pebble formation front per unit time (Lambrechts & Johansen 2014),

\[
M_{\text{p,f}} \sim 2 \pi r_{\text{pf}} \times 0.01 \Sigma_p (r_{\text{pf}}) \times \frac{\text{d}r_{\text{pf}}}{\text{d}r} \sim 9.4 \times 10^{-4} L_{\text{d},0}^{7/2} M_\oplus^{9/4} \pi G \Omega \Psi_{\text{int}} r_{\text{pf}}^{-1/3} M_\oplus/\text{yr}. \tag{54}
\]

The pebble mass flux \( M_{\text{p}} \) is governed by the outward migration of pebble formation front, but not by the pebble migration speed. A disk with a surface density \( \Sigma_{\text{int}} \) defined by Eq. (13) can be gravitationally unstable in its outer regions. The Toomre Q parameter is given by

\[
Q = \frac{c_s \Omega}{\pi G \Sigma_{\text{int}}} \sim \frac{M_\text{p}}{\Sigma_{\text{int}} r_{\text{pf}}} \sim 25 L_{\text{d},0}^{7/2} M_\oplus^{9/4} \pi G \Omega \Psi_{\text{int}} r_{\text{pf}}^{-9/4} r_{\text{pf}}^{-1/3} \tag{55}
\]

If the turbulence due to the disk instability is so vigorous that even icy grains do not grow, the pebble formation front is given by

\[
r_{\text{pf}} \approx 50 \left( \frac{Q}{2} \right)^{14/9} L_{\text{d},0}^{4/9} M_\oplus^{14/9} M_\oplus^{3/9} \text{ au}. \tag{56}
\]

According to our \( \alpha \) disk evolution model, \( M_\oplus \) decreases monotonously while \( r_{\text{pf}} \) increases. If \( M_\oplus \sim (t/10^9 \text{ yr})^{-3/2}, r_{\text{pf}} \sim 50 (t/10^9 \text{ yr})^{1/2} \text{ au} \), in this case, \( M_{\text{p}} \) is 2 times smaller than that given by Eq. (54).

In the above derivation, it is assumed that the disk is extended to infinity. Sato et al. (2016) showed the existence of two phases for the flux of pebbles (their Fig. 6). First, the pebble mass flux \( M_{\text{p}} \) is almost constant with time until \( r_{\text{pf}} \) exceeds the disk size \( r_{\text{out}} \). After that, \( M_{\text{p}} \) decays rapidly as a consequence of the depletion of solid materials in the outer regions. The transition time between the two regimes is

\[
t_{\text{p,dep.}} \sim 2 \times 10^5 (r_{\text{out}}/100 \text{ au})^{3/2} \text{ yr}. \tag{57}
\]

If we assume an exponential taper to the surface density of the disk beyond \( r_{\text{out}}, \Sigma_g \propto r^{-1} \exp(-r/r_{\text{out}}) \), the two phase evolution is described by addition of a decaying factor of \( \exp(-r_{\text{pf}}/r_{\text{out}}) = \exp(-100 \text{ au}/r_{\text{out}} k(2 	imes 10^9 \text{ yr})^{5/3}) \) to \( M_{\text{p}} \) given by Eq. (54). Because observations suggest that disks around \( \tau \) Tauri stars typically have \( r_{\text{out}} \lesssim 100 \text{ au} \) (e.g., Andrews et al. 2009), the reduction cannot be neglected.

Furthermore, if the planetary embryos grow beyond Mars mass, a non-negligible fraction of \( M_\oplus \) may be filtered out by the accretion onto the embryos (see Sect. 3.5 hereafter). Thus, \( M_{\text{F}} \) and \( \Sigma_{\text{g}} \) would be quickly depleted once such large embryos appear (see also Chambers 2016).

The time evolution of \( M_{\text{F}} \) is very important for the final configuration of planetary systems formed through pebble accretion. However, a proper calculation of this quantity would be beyond the scope of the present work and we choose to treat \( M_{\text{F}} \) as a constant parameter, adopting as a nominal value \( M_{\text{F}} = 10^{-4} M_\oplus \Sigma_{\text{g}} \Sigma_{\text{f}} \text{ yr} \). This value, obtained from dust growth calculations (Lambrechts & Johansen 2012; Sato et al. 2016), is about an order of magnitude smaller than that obtained in Eq. (54). We note that there is considerable uncertainty on this value which also depends on the disk surface density profile.

3.5. General form of the pebble accretion rate

We now seek to calculate the rate of pebble accretion by a protoplanet and whether its presence can affect the flow of pebbles. From Eqs. (33) and (44),

\[
\Sigma_{\text{p}} \Delta v \sim \frac{M_{\text{F}}}{4 \pi \tau_{\text{rs}} h_{\text{F}}} \chi^{-1} \left( 1 + \frac{3 b}{2 \chi \eta} \right). \tag{58}
\]

Substituting this into Eq. (25), the pebble accretion rate is given by

\[
M = \frac{C \chi^{-1} \hat{b}^2}{4 \sqrt{2} \pi \tau_{\text{rs}} h_{\text{F}}} \left( 1 + \frac{3 b}{2 \chi \eta} \right) M_{\text{F}}, \tag{59}
\]

with

\[
C = \min \left( \sqrt{\frac{8 h_{\text{F}}}{\pi b}}, 1 \right) = \min \left( \sqrt{\frac{8 h_{\text{F}}}{\pi b}}, 1 \right). \tag{60}
\]

\[
\hat{b} = \min \left( 1, \sqrt{3 \pi^{1/3} \hat{R}_{\text{H}} / \eta} \times 2 \tau_{\text{rs}}^{1/3} \hat{R}_{\text{H}} \right) \tag{61}
\]

where the hat sign such as \( \hat{b} \) expresses lengths that have been divided by the orbital distance \( r \). Here \( M_{\text{F}} \) and \( \tau_s \) are given as parameters, such as \( M_{\text{F}} \sim 10^{-4} M_\oplus \) and \( \tau_s \sim 0.1 \) (see Sect. 3.4).

The formula for \( M \) given by Eq. (59) can exceed \( M_{\text{F}} \), in which case we limit it to that value. From Eq. (59), the accretion timescale is

\[
t_{\text{acc}} = \frac{M}{M_{\text{F}}} = \frac{M}{M_{\text{F}}} \frac{4 \sqrt{2} \pi \chi \hat{b}^2}{C \chi \hat{b}^2} \left( 1 + \frac{3 b}{2 \chi \eta} \right)^{-1} \tag{62}
\]

In the 3D case \((C \sim 1)\), the pebble accretion timescale \( (t_{\text{acc,3D}}) \) has an identical simple form both in the Bondi and Hill regimes,
as shown below. In the early Bondi phase \((\dot{R}_H \leq \chi\eta/3\tau_s^{1/3})\), \(\dot{b} \sim 2\sqrt{3}\chi(\chi\eta)^{1/2}\tau_s^{1/2}\dot{R}_H^{1/2} \leq 2\chi/\eta^3\), and Eq. (62) reads as

\[
\dot{I}_{\text{acc,3D}} \propto \frac{M \dot{M}}{M_f} \frac{4 \sqrt{2\pi} \zeta \dot{h}_p}{\chi^2 b^2} = \frac{\sqrt{2\pi} \zeta \dot{h}_p}{\chi^2 b^2} \frac{M_s}{M_f} \left(\frac{\alpha}{\tau_s}\right)^{1/2} \frac{M_s}{M_f},
\]

(63)

where we used \(\dot{h}_p \sim [1 + (\tau_s/\alpha)]^{-1/2}\dot{h}_p \sim (\alpha/\tau_s)^{1/2}\dot{h}_p\). In the late Hill phase in which \(\dot{b} \sim 2\chi/\eta^3 \dot{R}_H\) and \(\dot{b} \gtrsim 2\chi/\eta^3\),

\[
\dot{I}_{\text{acc,3D}} \propto \frac{M \dot{M}}{M_f} \frac{4 \sqrt{2\pi} \zeta \dot{h}_p}{\chi^2 b^2} \frac{2\pi\eta}{3b} = \frac{\sqrt{2\pi} \zeta \dot{h}_p}{\chi^2 b^2} \frac{M_s}{M_f} \left(\frac{\alpha}{\tau_s}\right)^{1/2} \frac{M_s}{M_f},
\]

(64)

which is identical to Eq. (63) except for the reduction factor for \(\tau_s \gg 1\), although \(\dot{b}\) has a different form. Because the reduction does not actually occur in the Bondi regime, we can use Eq. (64) both in the Bondi and Hill regimes. For \(\tau_s \ll 1\), both \(\zeta\) and \(\kappa^3\) decrease (Eqs. (32) and (38)). Since \(\kappa\) is a stronger function of \(\tau_s\), \(\zeta^2\kappa^3\) rapidly increases with \(\tau_s\), so that pebble accretion slows down for \(\tau_s \gg 1\). Using \(\dot{h}_p \equiv (2\eta/\chi^2 b^1)^{1/2} \sim 0.9\eta^{1/2}\) (Eq. (29)) and assuming \(\tau_s < 1\),

\[
\dot{I}_{\text{acc,3D}} \propto 2 \times 10^3 \alpha_3^{1/2} L_{\text{edd},0}^{1/2} M_{\text{acc}}^{3/7} \dot{M}^{5/7} \left(\frac{\eta}{10^{-3}}\right)^{3/2} \left(\frac{\tau_s}{0.1}\right)^{-1/2} \text{yr} \propto r_0^3.
\]

(65)

The accretion mode is initially 3D. It becomes 2D when \(\dot{b} > \sqrt{8/\pi} \dot{h}_p\). In this case, since it is likely that a transition from the Bondi regime to the Hill regime (\(\dot{b} \sim 2\chi/\eta^3 \dot{R}_H\)) has already occurred, the transition to the 2D accretion mode occurs when \(2\chi/\eta^3 \dot{R}_H \sim \sqrt{8/\pi} \dot{h}_p\), that is, when

\[
M \sim M_{\text{BH}} \equiv 3(\sqrt{27/\pi} \kappa^{-1/2} \dot{h}_p)^3 = \frac{1.5\kappa^{-3}}{\tau_s} \left(\frac{\alpha}{\tau_s}\right)^{3/2} \frac{\dot{h}_p^3}{\tau_s} M_s.
\]

(66)

The transition mass from the Bondi to the Hill regime, \(M_{\text{BH}}\) given by Eq. (40), is actually much smaller than \(M_{\text{3D}} \gg 2\text{D}\) 2D accretion always takes place in the Hill regime.

The accretion timescale in 2D is given by

\[
\dot{I}_{\text{acc,2D}} = \frac{\pi \dot{b}}{8 \dot{h}_p} \dot{I}_{\text{acc,3D}} \propto \frac{\pi \kappa^{3/2} \dot{h}_p}{2 \kappa^2 \eta \dot{b}^2} \frac{M_s}{M_f}.
\]

(67)

Since the 2D mode occurs in the Hill regime,

\[
\dot{I}_{\text{acc,2D}} \propto \pi \frac{\kappa^{3/2} \dot{h}_p}{2 \kappa^2 \eta \dot{b}^2} \frac{M_s}{M_f}.
\]

(68)

In the last equation, we assumed \(\tau_s < 1\). This accretion timescale is consistent with that derived by Lambrechts & Johansen (2014, their Eq. (31)).

Following Guillot et al. (2014), we define the filtering efficiency by the ratio of the accretion rate onto the embryo \((M)\) to that of the supplied pebble mass flux \((\dot{M}_p)\). For \(\tau_s < 1\), the filtering efficiency in the 3D case \((M \leq 0.1 M_\oplus)\) is

\[
P_{\text{3D}} = \frac{\dot{M}}{\dot{M}_p} = \frac{M}{M_{\text{acc,3D}}} \approx 0.05 \alpha_3 \frac{1}{2} L_{\text{edd},0}^{3/7} M_{\text{acc}}^{5/7} \left(\frac{\eta}{10^{-3}}\right)^{-3/2} \left(\frac{\tau_s}{0.1}\right)^{1/2} \left(\frac{M}{0.1 M_\oplus}\right) \propto r^{3q}.
\]

(69)

The reduction of the pebble mass flux due to the accretion by a protoplanet is thus negligible until \(M\) becomes comparable to the mass of Mars. Because \(P_{\text{3D}} \propto M\), the total reduction in pebble mass flux from the filtering depends only on the total mass of planetary embryos, as long as we consider the viscous \((q \approx 1/20)\), 3D-settling regime. The filtering probability in the 2D case \((M \geq 0.1 M_\oplus)\) is

\[
P_{\text{2D}} = \frac{M}{M_{\text{acc,2D}}} \approx 0.05 L_{\text{edd},0}^{3/7} M_{\text{acc}}^{10/21} \left(\frac{\eta}{10^{-3}}\right)^{-3/2} \left(\frac{\tau_s}{0.1}\right)^{1/3} \left(\frac{M}{0.1 M_\oplus}\right)^{2/3} \propto r^{3q}.
\]

(70)

These values of \(P_{\text{3D}}\) and \(P_{\text{2D}}\) coincide with those obtained by more detailed calculations by Guillot et al. (2014).

Substituting \(M_{\text{3D}}\) given by Eqs. (66) into (69) or (70), we find that the 2D probability must be applied when

\[
P > P_{\text{max}} \propto 0.05 \alpha_3 \left(\frac{\tau_s}{0.1}\right)^{-2} r^{3q},
\]

(71)

which is independent of \(\eta\). For \(P > P_{\text{max}}\), \(P\) increases proportionally to \(M^{2/3}\) rather than to \(M\): the total filtering by all the embryos becomes less efficient as they grow.

4. Radial dependence of the pebble accretion timescale

We consider \(q\)-dependence of the pebble accretion timescale that controls the final configuration of planetary systems. We use \(\tau_s < 1\), \(\dot{h}_p \propto r^d (q \propto r^q)\), \(\dot{r} \propto r^q\), and \(2q \propto r^{2q}\). In the viscous region, \(q \approx 1/20\) and \(\xi = 3/5\) and in the irradiation region \(q \approx 2/7\) and \(\xi = 15/14\). The exponent \(p\) \((r \propto r^p)\) must be treated carefully. In the Epstein regime, pebbles grow by keeping \(\tau_s \approx \tau_{\text{crit}}\). From Eq. (47), \(p \approx -q\). In the Stokes regime, pebbles migrate without significant growth, so that from Eq. (19), \(p \approx -1 - q\).

From Eqs. (63) and (68),

\[
I_{\text{acc,3D}} \propto \frac{\dot{M}_p^{1/2} r^{3d}}{\tau_s} \propto M_0^{1/2} r^{10q} p^{3/2},
\]

(72)

\[
I_{\text{acc,2D}} \propto M_0^{1/3} \tau_s^{1/3} r^{q} \propto M_0^{1/3} p^{3} r^{3q},
\]

(73)

where we also explicitly included the dependences on the planet mass \((M)\) and Stokes parameter \((\tau_s)\).

The 3D accretion timescale is independent of \(M\), which means that planet growth is exponential. In the case of planetesimal accretion, the early runaway growth is superexponential:

\[
I_{\text{acc}} \propto M^{1/3},\text{ while the oligarchic growth is subexponential:}
\]

\[
I_{\text{acc}} \propto M^{1/3} \text{ (e.g., Kokubo & Ida 1998, 2002). If conventional km-sized planetesimals are successfully formed, planetesimal accretion would dominate the early phases. Pebble accretion would dominate in the oligarchic growth stage.}
\]

A72, page 8 of 12
Fig. 2. Pebble accretion timescale $t_{acc}$ of embryos with $M = 0.1 M_\oplus$ on the $r$-$M_*$ plane. Color bars represent log$_{10}(t_{acc}$/yr). Brighter parameter regions represent faster accretion. In panel a), the entire disk is assumed to be irradiation-heated, while it is viscously-heated in panel b). In panel c), the disk is the combination of irradiation-heated and viscously heated regimes. In panel d), the reduction factor for $\tau_s > 1$ is taken into account in the disk in panel c). In panel e), the effect of ice sublimation is added to the disk in panel d). Details of individual sets are described in the main text.

when eventually enters the 2D mode and becomes comparable to planetesimal accretion. However the two forms differ in the sense that embryos can become isolated from planetesimals after depleting their feeding zones (e.g., Kokubo & Ida 1998, 2002), a process that would not occur in the case of pebbles at least until the planet mass becomes high enough to create a density gap in the disk that may halt the inward migration of pebbles and their supply to the embryos (e.g., Lambrechts & Johansen 2012). This mass (pebble isolation mass) is comparable to the inferred core masses of Jupiter and Saturn.

In the case of $\tau_s < 1$, which is valid except in close-in regions, the exponent ($t_{acc} \propto r^\delta$) in the early 3D phase is:

$$\delta = 3q - p/2 \approx \begin{cases} 7q/2 = 7/40 & \text{viscous & Epstein}, \\ 7q/2 = 1 & \text{irradiative & Epstein}, \\ 7q/2 + 1/2 = 27/40 & \text{viscous & Stokes}, \\ 7q/2 + 1/2 = 3/2 & \text{irradiative & Stokes}. \end{cases}$$

(74)

When embryos sufficiently grow or are located in sufficiently inner disk regions, $M > M_{2D}$ (Eq. (66)) is satisfied and pebble accretion enters a 2D mode. The exponent is

$$\delta = 2q + p/3 \approx \begin{cases} 5q/3 = 1/12 & \text{viscous & Epstein}, \\ 5q/3 = 15/21 & \text{irradiative & Epstein}, \\ 5q/3 - 1/3 = -1/4 & \text{viscous & Stokes}, \\ 5q/3 - 1/3 = 1/21 & \text{irradiative & Stokes}. \end{cases}$$

(75)

When $\delta < 0$, the outer planets grow more rapidly. Conversely, when $\delta > 0$, the inner planets grow more rapidly (unless there is a significant reduction of the pebble flux from filtering by outer planets).

Pebble accretion hence has a weak dependence on $r$, but the fact that $\delta$ can be either positive or negative has strong implications for our understanding of planet formation. This is a very different situation than the classical planetesimal accretion scenario for which the timescale strongly depends on $r$ ($\propto 1/\Sigma_p \Omega \propto r^{3/2}$), and planetary growth thus proceeds in an inside-out manner.

Figure 2 shows $t_{acc}$ calculated by the formulas in Sect. 3.5, as a function of $r$ and $M_*$ for different disk conditions. We use
\[ \alpha_5 = 1 \text{ and } M_{f4} = M_{\odot}. \] The Stokes parameter \( \tau_s \) is calculated from the prescriptions in section 3.4. The planetary embryo mass is set to be \( M = 0.1 M_{\odot} \). In the 3D regime, \( t_{\text{acc}} \) is independent of \( M \), while it increases in proportion to \( M^{1/3} \) in the 2D case. In general, the accretion is 2D in the inner disk regions and 3D in the outer regions (see Fig. 3). For larger \( M \), the 2D region expands toward the outer disk.

Figure 2a assumes that the entire disk is in the irradiation regime and \( \zeta, \kappa \approx 1 \), which is an often used setting for pebble accretion calculations. The left half (\( \approx 1 \) au) is in a 2D-Stokes regime and the other half is in a 3D-Epstein regime (Fig. 3). At \( r \approx 1 \) au, embryos would grow equally in all regions (\( | \delta | < 1 \)), while they grow in a weak inside-out manner (\( \delta \approx 1 \)) at \( r \approx 1 \) au. In Fig. 2b, it is assumed that the entire disk is in the viscous regime and \( \zeta, \kappa \approx 1 \). Although the dependence is very weak, the growth mode is outside-in (\( \delta < 0 \)) at \( r \approx 1 \) au. In Fig. 2c, we consider a more realistic model that includes a transition from an inner viscous regime to an outer irradiation regime as in Sect. 2. In this case, a fast-growing region is found at \( \approx 1 \) au. Figures 2a–c demonstrate how the disk conditions influence planetary growth by pebble accretion and ultimately, the configurations of final planetary systems.

Figure 2d corresponds to the case in which the correction factors \( \zeta \) (Eq. (32)) and \( \kappa \) (Eq. (38)) are included along with the viscous and irradiation regimes. As shown in Sect. 3.4, since \( \tau_s \propto r^{-1-\eta} \) in the Stokes regime, \( \tau_s \) increases with inward migration even without accounting for growth of the pebbles. As shown by Fig. 3, the inner disk regions are characterized by a viscous, 2D accretion, Stokes and high \( \tau_s \) regime, while the right side is characterized by a irradiation, 3D, Epstein and lower \( \tau_s \) regime.

As shown in Fig. 3, the snow line and transitions from viscous to irradiative, Stokes to 2D and 2D to 3D occur at similar orbital distances. The location of \( \tau_s \approx 1 \) is also similar. The radial dependence of the pebble accretion rate changes across these boundaries. Furthermore, since all planetary embryos share the same pebble flux, if the outer embryos efficiently filter the pebble flux, the inner embryos cannot grow even if the accretion cross section is larger. As demonstrated here, configurations of planetary systems formed by pebble accretion sensitively depend on the disk conditions.

This means that the outcome of planet formation through pebble accretion is highly sensitive to the hypotheses made. It also means that pebble accretion could be responsible for the large diversity of planetary systems that we observe. Differences in disk outer radii, surface densities and radiative properties would naturally be generated through the collapse of molecular cloud cores of different densities and angular momentum (e.g., Hueso & Guillot 2005). Furthermore, as we discussed in Sect. 3.4, the timescale for the pebble formation front to reach the outer edge of the disk (see Eq. (57)) depends on disk size and is generally on order of \( 10^7 \) yr, which is much shorter than typical gas disk lifetimes (\( \approx \) a few million years). This implies that the initial total mass of solids materials, \( M_s \), \( \Sigma_s \) and \( r_{\text{out}} \) significantly affects the final configurations of planetary systems. The formation of planetary systems via pebble accretion should depend sensitively on initial disk parameters.

Detailed descriptions of the disk initial conditions, thermodynamic properties and evolution are required to correctly predict and interpret the distributions of exoplanetary systems by planet population synthesis simulations (e.g., Ida & Lin 2004, 2008; Mordasini et al. 2009; Ida et al. 2013; Alibert et al. 2013; Bitsch et al. 2015b). In a separate paper, we show the results of planet population synthesis simulations based on pebble accretion.

Another important issue is where and how planetary embryos are formed. Indeed, the formation of seed embryos is essential for efficient pebble accretion. Because the \( r \)-dependence of pebble accretion rate is weak, the initial locations of the embryos regulate the final planetary systems. Special sites at which the embryos would form preferentially (e.g., the inner edge of the dead zone, the snow line, locations of opacity jumps) could control the final configurations of planetary systems. The initial size of the embryos is also important: For example, for embryos smaller than 100 km in radius, pebble accretion is slower and less efficient than planetesimal accretion (see also Chambers 2016).

---

3 Since the Stokes number \( \tau_s \) also significantly affects accretion rate, dust/pebble growth, internal structure, and sublimation are also important factors.
5. Summary

We have derived simple analytical formulas for pebble accretion timescales, assuming settling regime. The formulas are explicitly presented in Sect. 3.5. We next evaluated their radial dependence to discuss final configurations of planetary systems formed through pebble accretion (Sect. 4).

We found that the radial dependence of the pebble accretion rate is generally relatively weak but that it changes significantly (including sometimes changing sign) across the boundaries defined by different regimes, such as the transitions from the viscous-dominated to the irradiation-dominated disks, from the Epstein drag to the Stokes drag, from 2D to 3D accretion regimes, and whether Stokes number $\tau_s$ is smaller or larger than 1. All of these boundaries, as well as the snow line, occur at similar distances from the star, O(1) au. Inside the snow line, sublimation of icy mantle of grains may change Stokes number by orders of magnitude. Since the locations of the boundaries depend on the disk models, we expect intrinsic changes in the properties of the disk to lead to a large variety of final outcomes.

Self-consistent simulations of planet growth and disk evolution are much more important for pebble accretion scenario than for classical planetesimal accretion scenario. The variety of protoplanetary disks observed combined to the high sensitivity of pebble accretion on the properties of this disk indicates that the diversity of planetary systems formed should be larger than what can be obtained through classical planetesimal accretion. This diversity, as predicted by a planet population synthesis model including pebble accretion, will be discussed in a future paper.

Acknowledgements. We thank Ramon Brasser and Soko Matsumura for careful proofreading. We also thank John Chambers for helpful comments as a reviewer. S.I. is thankful for the hospitality given during his visit to the Observatoire de la Côte d’Azur. This work was supported by Japan Society for the Promotion of Science (JSPS) KAKENHI Grant 15H02065, ANR grant ANR-13–13-BS05-0003-01 project MOJO (Modeling the Origin of Jovian planets), and European Research Council (ERC) Advanced Grant ACCRETE (contract number 290568).

References

Hayashi, C., Nakazawa, K., & Nakagawa, Y. 1985, in Protostars and Planets II, eds. D. C. Black, & M. S. Matthews, 1100
Nakagawa, Y., Nakazawa, K., & Hayashi, C. A. 2001, Icarus, 45, 517
Safronov, V. S. 1972, Evolution of the protoplanetary cloud and formation of the earth and planets (Keter Publishing House)
Safronov, V. S. 1972, Evolution of the protoplanetary cloud and formation of the earth and planets (Keter Publishing House)
Zsom, A., & Lithwick, Y. 2007, Icarus, 192, 588

S. Ida et al.: Radial dependence of pebble accretion
Appendix A: Symbols used in this work

Table A.1. Definitions of symbols.

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\tau_s$</td>
<td>$\tau_{\text{stop}} \Omega$: Stokes number</td>
</tr>
<tr>
<td>$\chi$</td>
<td>$\sqrt{1 + 4\tau_s^2/(1 + \tau_s^2)}$</td>
</tr>
<tr>
<td>$\zeta$</td>
<td>$1/(1 + \tau_s^2)$</td>
</tr>
<tr>
<td>$\kappa$</td>
<td>cutoff of cross section defined by Eq. (38)</td>
</tr>
<tr>
<td>$\tau_{s,\text{crit1}}$</td>
<td>dust migration is faster than growth for $\tau_s &gt; \tau_{s,\text{crit1}}$ (Eq. (47))</td>
</tr>
<tr>
<td>$\tau_{s,\text{crit2}}$</td>
<td>runaway dust growth occurs for $\tau_s &gt; \tau_{s,\text{crit2}}$ (Eq. (51))</td>
</tr>
<tr>
<td>$r_{\text{snow}}$</td>
<td>snow line at $T \sim 170$ K (Eq. (15) or (16))</td>
</tr>
<tr>
<td>$r_{\text{vis-irr}}$</td>
<td>viscously-heated and irradiation boundary (Eq. (14))</td>
</tr>
<tr>
<td>$r_{\text{ES}}$</td>
<td>Epstein and Stokes drag boundary (Eq. (49))</td>
</tr>
<tr>
<td>$r_{\text{pf}}$</td>
<td>pebble formation front</td>
</tr>
<tr>
<td>$\lambda_{\text{mfp}}$</td>
<td>gas mean free path</td>
</tr>
<tr>
<td>$h_g$</td>
<td>$c_s/\Omega$: gas scale height</td>
</tr>
<tr>
<td>$h_p$</td>
<td>$(1 + \tau_s/\alpha)^{-1/2} h_g \sim (\alpha/\tau_s)^{1/2} h_g$: pebble scale height</td>
</tr>
<tr>
<td>$\hat{h}_g$</td>
<td>$h_g/r$</td>
</tr>
<tr>
<td>$\hat{h}_p$</td>
<td>$h_p/r$</td>
</tr>
<tr>
<td>$\Sigma_g$</td>
<td>gas surface density</td>
</tr>
<tr>
<td>$\Sigma_p$</td>
<td>pebble surface density</td>
</tr>
<tr>
<td>$\eta$</td>
<td>$v_r - v_K = (\hat{h}_g^2/2)</td>
</tr>
<tr>
<td>$\eta'$</td>
<td>$\chi \eta$</td>
</tr>
<tr>
<td>$M$</td>
<td>pebble mass accretion rate onto a planetary embryo</td>
</tr>
<tr>
<td>$M_B$</td>
<td>pebble mass flux (accretion rate) through the disk</td>
</tr>
<tr>
<td>$M_\ast$</td>
<td>gas accretion rate through the disk</td>
</tr>
<tr>
<td>$\Delta v$</td>
<td>relative velocity between the embryo and a pebble</td>
</tr>
<tr>
<td>$b$</td>
<td>radius of the collision cross section</td>
</tr>
<tr>
<td>$M$</td>
<td>embryo mass</td>
</tr>
<tr>
<td>$M_{\text{BH}}$</td>
<td>transitional embryo mass between Bondi and Hill regimes (Eq. (40))</td>
</tr>
<tr>
<td>$M_{\text{2D/3D}}$</td>
<td>transitional embryo mass between 2D and 3D accretion (Eq. (66))</td>
</tr>
<tr>
<td>$R$</td>
<td>embryo physical radius</td>
</tr>
</tbody>
</table>

- $q$: $\hat{h}_g \propto r^q$; $q = 1/20$ [viscous], 2/7 [irradiation] |
- $p$: $\tau_s \propto r^p$ |
- $\gamma$: $T \propto r^{-\gamma}$; $\gamma = -2q + 1 = 9/10$ [viscous], 3/7 [irradiation] |
- $\xi$: $\Sigma_g \propto r^{-\xi}$; $\xi = 2q + 1/2 = 3/5$ [viscous], 15/14 [irradiation] |

| $M_{\text{d0}}$ | $M_d/M_\odot$ |
| $L_{\text{d0}}$ | $L_d/L_\odot$ |
| $\alpha_3$ | $\alpha/10^{-3}$ |
| $M_{\text{d8}}$ | $M_d/10^{-8} M_\odot \text{yr}^{-1}$ |
| $M_{\text{F4}}$ | $M_F/10^{-4} M_\odot \text{yr}^{-1}$ |