

Mildly obscured active galaxies and the cosmic X-ray background

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ABSTRACT

Context. The diffuse cosmic X-ray background (CXB) is the sum of the emission of discrete sources, mostly massive black-holes accreting matter in active galactic nuclei (AGN). The CXB spectrum differs from the integration of the spectra of individual sources, calling for a large population, undetected so far, of strongly obscured Compton-thick AGN. Such objects are predicted by unified models, which attribute most of the AGN diversity to their inclination on the line of sight, and play an important role for the understanding of the growth of black holes in the early Universe.

Aims. The percentage of strongly obscured Compton-thick AGN at low redshift can be derived from the observed CXB spectrum, if we assume AGN spectral templates and luminosity functions.

Methods. We show that high signal-to-noise stacked hard X-ray spectra, derived from more than a billion seconds of effective exposure time with the *Swift*/BAT instrument, imply that mildly obscured Compton-thin AGN feature a strong reflection and contribute massively to the CXB.

Results. A population of Compton-thick AGN larger than that which is effectively detected is not required to reproduce the CXB spectrum, since no more than 6% of the CXB flux can be attributed to them. The stronger reflection observed in mildly obscured AGN suggests that the covering factor of the gas and dust surrounding their central engines is a key factor in shaping their appearance. These mildly obscured AGN are easier to study at high redshift than Compton-thick sources are.

Key words. galaxies: active – galaxies: Seyfert – diffuse radiation – cosmic background radiation – X-rays: galaxies – X-rays: diffuse background

1. Introduction

The cosmic X-ray background (CXB) is a broad-band diffuse emission discovered in the early era of X-ray astronomy (Giacconi et al. 1962). We now know that in the X-ray domain, the CXB is the integrated emission of many faint point-like extragalactic sources, most of which are Seyfert galaxies or quasars.

At energies <10 keV the CXB emission has been almost completely resolved into the point-like sources that constitute it (Worsley et al. 2005), thanks to the focusing capabilities of soft X-ray instruments such as *XMM-Newton* and *Chandra*. The sum of the fluxes of the sources detected in deep X-ray surveys performed by *XMM-Newton* in the Lockman Hole (Hasinger et al. 2001) and by *Chandra* (Giacconi et al. 2002; Alexander et al. 2003) almost reaches the CXB flux level, confirming that the CXB is the combination of the emission of faint active galactic nuclei (AGN) with different degrees of obscuration.

Current instruments are not sensitive enough to resolve the CXB at hard X-rays, where the bulk of its emission lies. The extrapolated flux of the AGN population resolved at lower energies is not able to explain the CXB flux at $E \sim 30$ keV. The strength of X-ray reflection in AGN spectra is a possible solution for this discrepancy. Moreover, the reflection component is the only one that is relevant in heavily obscured, Compton-thick AGN (CTK), that is, sources where the density of the obscuring material is high enough for Compton scattering to dominate ($N_{\text{H}} > 10^{24}$ cm⁻²).

The CXB flux and spectrum have been measured by ASCA/SIS (Gendreau et al. 1995), ROSAT (Miyaji et al. 1998), RXTE/PCA (Revnivtsev et al. 2003), *XMM-Newton* (De Luca & Molendi 2004), *Chandra* (Hickox & Markevitch 2006) and *Swift*/XRT (Moretti et al. 2009) at soft X-ray wavelengths, and by HEAO1 (Marshall et al. 1980; Gruber et al. 1999; Revnivtsev et al. 2005) and more recently by *Beppo-SAX* (Frontera et al. 2007), INTEGRAL (Churazov et al. 2007; Türler et al. 2010), and *Swift*/BAT (Ajello et al. 2008) at hard X-ray wavelengths. Their measurements agree at a level of ~ 10 – 15% throughout the full energy range of the CXB (Revnivtsev et al. 2005; Frontera et al. 2007; Ajello et al. 2008; Moretti et al. 2009).

The percentage of AGN that needs to be CTK to explain the CXB flux is still debated: for example, Gilli et al. (2007) suggest that 50% of the obscured AGN must be CTK, a similar value is proposed also by Ueda et al. (2014), while Treister et al. (2009) propose a smaller percentage, around 15%.

The method used to perform the CXB synthesis was developed in the seminal works of Setti & Woltjer (1989) and Comastri et al. (1995) and has been improved in subsequent works. To synthesise the CXB spectrum, three main “ingredients” must be known: an accurate description of the broad band spectra of the various AGN classes, the luminosity function which gives the number density of AGN per comoving volume as a function of luminosity and redshift, and the so-called N_{H} distribution, that is the distribution of AGN as a function of absorbing column density (N_{H}).

AGN spectra are provided as spectral templates for the various AGN classes: previous works set the parameters of their spectral templates to values representative of observations (e.g. Gilli et al. 2007) or of models (e.g. Ueda et al. 2014). Special care should be used for the CTK template: to model the effect of Compton scattering, especially the scattered component, assumptions on the geometry of the scattering material must be taken, and the spectrum is usually modelled through Monte Carlo simulations assuming a torus geometry for the absorbing material according to the unified AGN model, for example Brightman & Nandra (2011), Brightman et al. (2014) or the MYTORUS model¹ proposed by Yaqoob (2012).

The AGN X-ray luminosity function (XLF) is derived from deep surveys (e.g. Ueda et al. 2003, 2014; La Franca et al. 2005; Hasinger et al. 2005; Aird et al. 2010, 2015; Miyaji et al. 2015; Buchner et al. 2015; Ranalli et al. 2016), and so is available only in the soft X-ray range. The N_{H} distribution can be derived from data (e.g. Gilli et al. 2007, but it is biased against the detection of highly absorbed sources) or from models (e.g. Treister et al. 2009, and references therein).

Since there are many parameters involved in this synthesis, there is a certain level of degeneration in the process, especially in the CTK determination, as shown by Treister et al. (2009) and Akylas et al. (2012). Both authors show that CTK density in the Universe cannot be constrained by the CXB alone, the main reason for which being the lack of a robust CTK spectral template.

In this work we use parameters derived from average spectra of AGN classes measured by *Swift*/BAT (14–195 keV) to build spectral templates representative of real spectra, resolving the uncertainty in the synthesis process due to the choice of the templates. The shape of the templates strongly affect the synthesised CXB spectrum, especially at hard X-rays where the bulk of the CXB emission lies, and where the contribution of CTK sources is relevant.

The AGN sample and the BAT stacking method are described in detail in Sects. 2 and 3. In Sects. 4 and 5 we describe the ingredients used in the synthesis: spectral templates, XLFs and N_{H} distributions. In Sect. 6 we present the results, in particular the maximum fraction of CTK allowed by the data and discuss them in Sect. 7.

Throughout this paper we assume $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$, $\Omega_{\text{M}} = 0.3$ and $\Omega_{\Lambda} = 0.7$.

2. The sample

We used the final sample of 165 sources of Ricci et al. (2011) (R11) to build the stacked spectra. All these sources are Seyfert galaxies detected by INTEGRAL IBIS/ISGRI for which redshift and N_{H} measurements are available: the redshift spans from 0.001 to 0.162, the N_{H} from 4×10^{19} to $2.1 \times 10^{24} \text{ cm}^{-2}$.

All these sources have also been detected by *Swift*/BAT (Tueller et al. 2010; Krimm et al. 2013). Since the BAT field of view is approximately ten times larger than that of ISGRI, stacking BAT data allows unprecedented statistics to be achieved.

Stacked ISGRI spectra of Seyfert galaxies were analysed by R11, introducing a classification based on absorption: Seyfert 2 galaxies are divided into lightly obscured sources (LOB), mildly obscured sources (MOB) and CTK sources.

We notice that the N_{H} distributions of these four samples are overlapping: there are seven sources classified as Seyfert 1

Table 1. Effective exposure and average count rate derived from the mosaic images for each set of stacked images.

Sample	Sources	Eff. exp. 10^9 s	Signif.	Rate $\text{ct s}^{-1} \text{ pix}^{-1}$
Samples based on R11 definition				
Seyfert 1	44	0.627	93	7.82×10^{-6}
LOB Seyfert 2	34	0.478	103	9.43×10^{-6}
MOB Seyfert 2	27	0.384	87	9.12×10^{-6}
CTK	10	0.140	57	10.31×10^{-6}
Samples based on N_{H}				
Unabsorbed	35	0.466	111	8.30×10^{-6}
LOB1	26	0.388	85	7.40×10^{-6}
LOB2	35	0.482	100	6.73×10^{-6}
MOB	29	0.392	91	7.13×10^{-6}
CTK	10	0.140	57	10.31×10^{-6}

Notes. For each sample we reported the number of stacked sources, the effective exposure, the significance and the count rate.

with $N_{\text{H}} > 10^{22} \text{ cm}^{-2}$, two Seyfert 2 galaxies with $N_{\text{H}} < 10^{21} \text{ cm}^{-2}$, and that 29 sources, classified as Seyfert 1.5, were not included in these samples. As a result, we defined an alternate set of samples based exclusively on the N_{H} : unabsorbed ($N_{\text{H}} < 10^{21} \text{ cm}^{-2}$), LOB1 ($10^{21} < N_{\text{H}} < 10^{22} \text{ cm}^{-2}$), LOB2 ($10^{22} < N_{\text{H}} < 10^{23} \text{ cm}^{-2}$), MOB ($10^{23} < N_{\text{H}} < 10^{24} \text{ cm}^{-2}$), and CTK ($N_{\text{H}} > 10^{24} \text{ cm}^{-2}$).

The total number of sources in each set is reported in the first column of Table 1. These two sets of samples were used to produce stacked images to derive two different sets of spectral templates for the CXB synthesis.

3. Stacking of BAT data

The main scientific goal of the Burst Alert Telescope (BAT) on board *Swift* satellite (Gehrels et al. 2004) is the detection of hard X-ray transients, especially Gamma-Ray Bursts. Thanks to its large field of view (1.4 sr partially-coded) it is also an ideal survey instrument, observing the full sky for very long integrated exposure time.

The *Swift*/BAT detector consists of 2^{15} pixels of CdZnTe (Barthelmy et al. 2005) recording X-ray photons arriving from the sky through a random (50% open) coded mask, made of $\sim 54\,000$ obscuring lead tiles supported by a honeycomb panel. Each source in the field of view projects a shadow of the mask on the detector plane. The on-board electronic accumulates 80-bin spectra from every pixels during a fixed integration period (typically 5 min) and send these histograms to ground, together with other data streams. The signal from each source and from the background (dominated by the CXB) can be reconstructed through an image deconvolution. Most sources can only be detected in mosaic of sky images obtained from many (~ 1000 s) spacecraft pointings.

The *Swift*/BAT reduction pipeline for the all-sky survey is described in Tueller et al. (2008, 2010), Baumgartner et al. (2013) and Krimm et al. (2013). Our pipeline follows this closely and is based on the BAT analysis software HEASOFT v 6.13.

A first analysis was performed to derive background detector images. We created sky images (task *batsurvey*) in the 8 standard energy bands (in keV: 14–20, 20–24, 24–35, 35–50, 50–75, 75–100, 100–150, 150–195) using an input catalogue of

¹ <http://mytorus.com>

86 bright sources that have the potential to be detected in single pointings. The detector images were then cleaned by removing the contribution of all detected sources (task `batclean`) and averaged to obtain one background image per day. The variability of the background detector images was then smoothed pixel-by-pixel fitting the daily background values with different function (spline, polynomial). A polynomial model with an order equal to the number of months in the data set adequately represents the background variations. A similar background smoothing function was used by the BAT team.

The BAT image analysis was then run again using these smoothed averaged background maps. The new sky images were then stored in an all-sky pixel database by properly projecting the data on a fixed grid of sky pixel, preserving fluxes (the angular size of the BAT pixels varies in the field of view). This database can then be used to build local images and spectra or lightcurves for any sky position.

The result of our processing was compared to the standard results presented by the *Swift* team² for individual sources and a very good agreement was found.

To extract the average spectra of the different samples of Seyfert galaxies, we followed the procedure adopted by [Walter & Cabral \(2009\)](#) and R11. We created 500×500 -pixel mosaic images, modifying the coordinate system of each individual image, and setting the coordinates of each source of the sample to an arbitrarily fixed position ($\alpha = 0, \delta = 0$). The geometry of the image was also modified to obtain a uniform point spread function at the centre of the mosaic whatever is the position of the source in the field of view. These mosaic images, built independently for each energy band, provide a stack of all the sources of a given sample.

To minimise the systematics in the mosaic images, we excluded the noisiest images. A total of 201 130 sky images were included in the final processing, covering 8 yr from January 2005 to December 2012. Each sky image was in fact included many times, once for each source of the sample present in its field of view. The mosaic images were built with a tangential projection using a factor-of-two oversampling when compared to the individual input sky images. This results in a pixel size of 7.5954 arcmin at the centre of the mosaics. The photometric integrity and accurate astrometry were obtained by calculating the intersection between input and output pixel, and weighting the count rates according to the overlapping area.

The average signal, which was extracted from the mosaic for each individual sample, the exposures and the number of sources used, are reported in Table 1. The detection significances of the stacked sources in the mosaics are calculated as the number of counts divided by the square root of the variance and range between 111σ and 57σ . The effective exposure obtained at the centre of the mosaics are between 0.627 Gs and 0.140 Gs.

The eight-bin-stacked spectrum for each AGN sample was extracted from the mosaic images using `mosaic_spec`³. The standard BAT response matrix depends on the off-axis angle and cannot be used when data from many off-axis angles are averaged. To produce a suitable matrix we followed the same procedure adopted by the BAT team for the survey work ([Baumgartner et al. 2013](#)), which consists in tuning the on-axis response to obtain a correct spectrum for the Crab nebula. The tuning accounts for the fact that a smaller fraction of the low energy photons are detected on average over the field of view when compared

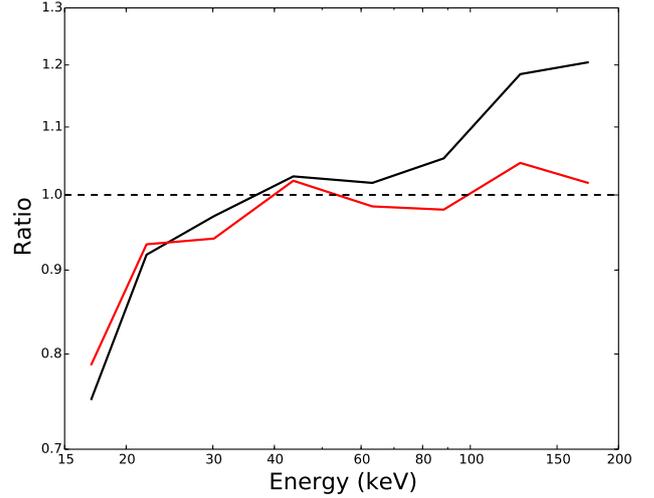


Fig. 1. Ratio between the averaged Crab spectrum and a powerlaw (red: our work; black: from [Baumgartner et al. 2013](#)), using an on-axis response.

with the on-axis expectation, largely because of absorption in the honeycomb mask supporting structure. The correction therefore depends on the average spectrum of the Crab nebula. Figure 1 shows the ratio between the observed spectrum (obtained by us and by [Baumgartner et al. 2013](#)) and the powerlaw ($F_\nu \sim \nu^{-1.15}$) model representing the Crab nebula, obtained assuming the on-axis response. The effect of the absorption at low energy is clearly observed. Up to 80 keV the observed spectra are consistent within a few %. At higher energies [Baumgartner et al. \(2013\)](#) required an additional correction that we are not observing. The red curve in Fig. 1 is very similar to the NOMEX absorption model used for the supporting structure of the IBIS mask on board INTEGRAL (Lubinski, priv. comm.). We finally used the response matrix provided by [Baumgartner et al. \(2013\)](#)⁴, modified to obtain a good powerlaw adjustment to the spectrum of the Crab nebula, in particular above 80 keV. A systematic uncertainty of 2% has been added in all spectral model adjustments to account for the uncertainty related to the off-axis effects at low energies. We also built a second response matrix assuming a broken powerlaw for the Crab spectrum ([Jourdain et al. 2008](#)) and found that the resulting spectral parameters (Table 2) were identical within the uncertainties.

4. Stacked spectra and spectral templates

Stacking the *Swift*/BAT data as described in Sect. 3 allows us to obtain average spectra for the Seyfert galaxy classes in the BAT energy bandpass (14–195 keV) characterised by different absorption levels. The parameters derived from these spectra are then used as spectral templates in the CXB synthesis.

The stacked spectra of the samples built for $\log(N_{\text{H}}) < 24$ were fitted with the `pexrav` model modified for photoelectric absorption. The `pexrav` model consists of an exponentially cut-off powerlaw plus reflection from an infinite slab of neutral material, representing an accretion disk ([Magdziarz & Zdziarski 1995](#)). The absorption was fixed to the central value (in logarithmic space) of the included sources.

The spectral fit has been performed with XSPEC (version 12.8.2) using the χ^2 minimisation method. As described in Sect. 3 the spectra are binned in 8 energy bins, and the stacked

² <http://swift.gsfc.nasa.gov/results/bs70mon/>

³ From the OSA package, <http://isdc.unige.ch/integral/analysis>

⁴ <http://swift.gsfc.nasa.gov/results/bs70mon/>

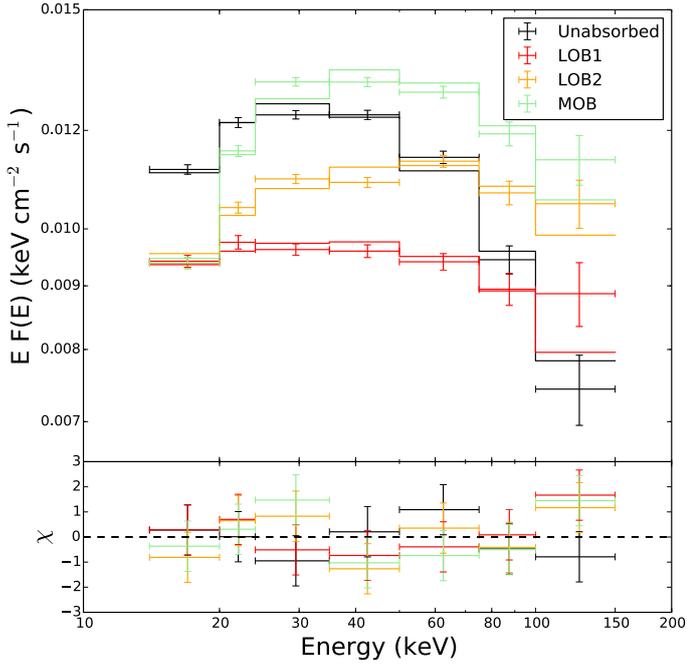


Fig. 2. *Swift*/BAT stacked spectra of the samples based on N_{H} and fitted with `phabs * pexrav` model.

Table 2. Spectral parameters (spectral index Γ and reflection R) derived from the fits of the stacked spectra and used for the spectral templates of the CXB synthesis.

Sample	$\log(N_{\text{H}})$	Γ	R	$\Delta\Gamma$	ΔR
Stacked spectra based on R11 definition					
Seyfert 1	0	1.86	0.17	0.02	0.14
LOB Seyfert 2	22	1.68	0.0	0.02	0.04
MOB Seyfert 2	23.5	1.74	0.88	0.02	0.12
Stacked spectra based on N_{H}					
Unabsorbed	0	1.89	0.77	0.02	0.2
LOB1	21.5	1.82	0	0.02	0.04
LOB2	22.5	1.72	0	0.02	0.21
MOB	23.5	1.72	0.62	0.02	0.2

Notes. 1σ errors derived from the fits are reported in the last two columns. The absorption is always a fixed parameter. `pexrav` fixed parameters: $E_c = 200$ keV, $\cos\theta = 0.45$, solar abundances.

sources are not detected in the highest one, so it is ignored in the spectral fit. The spectra are shown in Fig. 2 with the best fit model and residuals.

These impressive statistics (the total number of photons in the spectra ranges between $\sim 12\,000$ and $\sim 34\,000$) obtained with the stacking method, allow us to measure, with good accuracy, the relevant spectral parameters, specifically the spectral index Γ and the covering factor of the reflecting material R . Table 2 reports these parameters for each spectrum. The cutoff energy E_c lies beyond the upper threshold of the BAT energy band and cannot be constrained by the fit, so we fixed it at $E_c = 200$ keV, as it is unlikely to have higher values (Malizia et al. 2014). We fixed also the inclination angle θ at the value of $\cos\theta = 0.45$ and solar abundances were assumed.

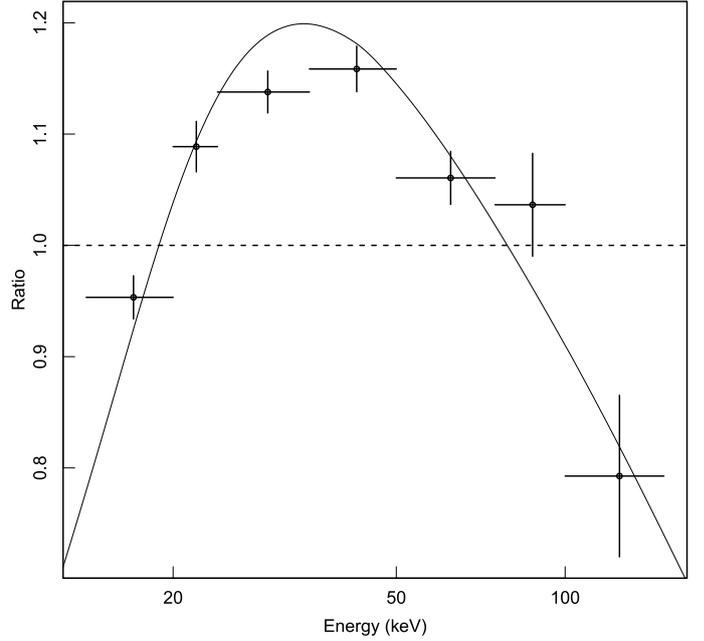


Fig. 3. Ratio of MOB versus LOB1 spectra. The spectra are derived from the samples based on N_{H} definition. The curve is the ratio between the two best fit models fitted with the `pexrav` model.

We notice that the spectral index Γ becomes softer for absorbed sources, in agreement with the results of Burlon et al. (2011) and Vasudevan et al. (2013), who also analysed *Swift*/BAT spectra stacked according to absorption. The mildly obscured samples (MOB Seyfert 2 and MOB) feature a strong curvature, a sign of a high reflection level (Table 2). This reflection amplitude is larger than observed in the spectra derived for lightly absorbed samples and comparable with the reflection shown by the unabsorbed sample. The parameters listed in Table 2 are model dependent and we do not know if e.g. the cut-off energy is the same in unabsorbed or absorbed sources, but the different curvatures are confirmed in Fig. 3, which is also not affected by uncertainties in the instrumental calibration and response, confirming that the difference in curvature observed between the LOB and MOB stacked spectra is not an artifact. This confirms with much higher signal to noise and solidity, previously obtained results (R11; Vasudevan et al. 2013).

This effect is not apparent in Burlon et al. (2011), since they stacked only unabsorbed ($N_{\text{H}} < 10^{22}$ cm $^{-2}$) and absorbed ($N_{\text{H}} > 10^{22}$ cm $^{-2}$) spectra. Thus, we conclude that although the stacking method of Burlon et al. (2011) and Vasudevan et al. (2013) are different from the one adopted in this work, the results of all three agree.

The model dependence of the parameters in Table 2 does not matter very much for the CXB synthesis as long as the spectral templates provide a good representation of the average spectra. We have verified that fitting the data with a different fixed cut-off energies provides different parameters but produce finally the same synthesised CXB. This will be discussed in more detail in Sect. 7.

To generate CTK spectral template, we adopted the MYTORUS model (Yaqoob 2012), which properly calculates the absorption due to Compton scattering. This model assumes a power-law emission as primary component and a donut-shaped torus with fixed solar abundances surrounding the central emitter. It provides a transmitted component and a scattered component, the latter playing the role of the `pexrav`- reflection component.

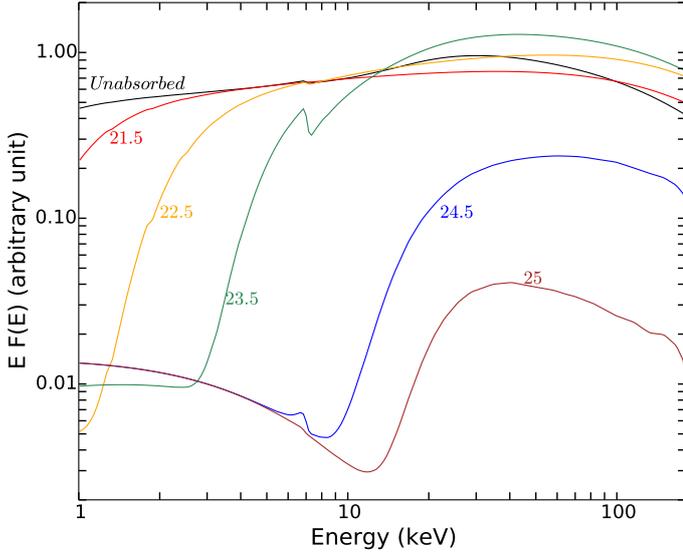


Fig. 4. Spectral templates generated with the parameters presented in Table 2, stacked spectra based on N_{H} . The templates are normalized such that the integrated emission of their primary component in the 2–10 keV band is equal to 1. The numbers identify the templates by their $\log(N_{\text{H}})$ value. The parameters of the CTK templates ($\log(N_{\text{H}}) > 24$) are given in the text.

The shapes predicted by the `pexrav` reflected component and the `MYTORUS`-scattered component are significantly different for $\log(N_{\text{H}}) \geq 24 \text{ cm}^{-2}$.

The transmitted and scattered components of the `MYTORUS` model can be fitted separately, in order to measure the relative strength of the scattered component. Since our template needs to represent an average spectrum, we fixed this relative strength to 1. As a primary component we use a cutoff powerlaw with $\Gamma = 1.9$ and $E_{\text{c}} = 200 \text{ keV}$, and the transmitted component is modelled with a powerlaw truncated at 200 keV, with the same spectral index, scattered by the absorbing torus. The inclination angle is fixed at 90° .

We introduced two templates for Compton-thick sources, separating them into moderately obscured ($10^{24} < N_{\text{H}} < 10^{25} \text{ cm}^{-2}$) and deeply obscured CTK ($N_{\text{H}} > 10^{25} \text{ cm}^{-2}$), as in previous works (Gilli et al. 2007; Ueda et al. 2014). The parameters used for the two templates are the same except for the absorption.

To conclude the template modelling, we added a scattered component in the range 1–10 keV in obscured spectra (i.e. with $\log(N_{\text{H}}) > 21$), following the recipe of Gilli et al. (2007), to model the X-ray emission in excess of the absorbed powerlaw commonly observed in Seyfert 2 galaxies. It is modelled as a cutoff powerlaw with $E_{\text{c}} = 5 \text{ keV}$, the same spectral index as the main component, and normalisation fixed at 3% of the normalisation of the main component. Since the iron line and other relevant emission lines are located at energies below the BAT lower energy threshold, we did not include any line in our modelling.

With the spectral parameters, we generated spectral templates in the range 1–500 keV (Fig. 4) which were used for the CXB synthesis. Previous works, for example, Gilli et al. (2007), Ueda et al. (2014), assumed a Gaussian dispersion of spectral indexes. Since our templates are derived from stacked spectra, they represent the average value of the sample, so we have chosen to not include any dispersion.

We should point out that these spectral templates are derived by stacking local AGN. We assume that they are representative of AGN up to $z \sim 1$.

5. X-ray luminosity function and N_{H} distribution

The XLF gives the AGN number density per comoving volume as a function of intrinsic unabsorbed luminosity and redshift. We used the XLFs of Ueda et al. (2003), Hasinger et al. (2005), Ueda et al. (2014), and Miyaji et al. (2015; U03, H05, U14, and M15 respectively). U03, U14, and M15 built their XLFs in the 2–10 keV energy band, for both “type-1” and “type-2” objects, and using samples of 247, 4039 and ~ 3200 AGN, respectively. The XLF of H05 were built in the 0.5–2 keV energy band and used 944 AGN “type-1” objects. Gilli et al. (2007; G07) compared the H05 XLF with other XLFs (U03; La Franca et al. 2005), built from “type-1” plus “type-2” objects, in order to estimate a luminosity-dependent ratio of obscured versus unobscured objects $R_{\text{o/u}}(L_{\text{X}})$, and corrected the H05 XLF to obtain the density of obscured AGN. We used the same correction.

The XLFs are derived by fitting the sample with an analytical empirical smoothed two-powerlaw formula which has been found to fit the data well in the local universe. This expression is then corrected for a density evolution factor, e_{d} , to set the dependence on the redshift. The analytical expression of the density evolution factor is defined according to an evolution model. U03, H05, and U14 agreed that the best fit to the data is achieved using the luminosity dependent density evolution (LDDE) model, which is to say that e_{d} depends on both the X-ray luminosity L_{X} and the redshift z , rather than other models such as the pure luminosity evolution (PLE) model, where the density evolution factor depends on the redshift z alone. We used the best fit XLF models provided by U03, H05, U14, and M15, which are described as:

$$\frac{d\Phi(L_{\text{X}}, z)}{d\log L_{\text{X}}} = A \left[\left(\frac{L_{\text{X}}}{L_*} \right)^{\gamma_1} + \left(\frac{L_{\text{X}}}{L_*} \right)^{\gamma_2} \right]^{-1} e_{\text{d}}(L_{\text{X}}, z) \quad (1)$$

and the density evolution factor:

$$e_{\text{d}}(L_{\text{X}}, z) = \begin{cases} (1+z)^{p_1} & \text{if } z \leq z_{\text{c}} \\ (1+z_{\text{c}})^{p_1} [(1+z)/(1+z_{\text{c}})]^{p_2} & \text{if } z > z_{\text{c}} \end{cases} \quad (2)$$

with

$$z_{\text{c}}(L_{\text{X}}) = \begin{cases} z_{\text{c}}^* (L_{\text{X}}/L_{\text{X},\text{c}})^{\alpha} & \text{if } L_{\text{X}} \leq L_{\text{X},\text{c}} \\ z_{\text{c}}^* & \text{if } L_{\text{X}} > L_{\text{X},\text{c}}. \end{cases} \quad (3)$$

While U03 used exactly these equations, H05 introduced an extra smooth dependence on L_{X} in Eq. (2), in the indexes p_1 and p_2 , while U14 introduced an extra variation in the slope at high redshift in Eq. (2), which therefore has three slopes, p_1 , p_2 , and p_3 , and two redshift thresholds, $z_{\text{c},1}^*$ and $z_{\text{c},2}^*$. M15 used the same definition of U14, but fixed different parameters in the fit. The differences between these XLFs are due not only to the dataset used, but also to these small, but probably relevant, different parametrisations of the analytical equations.

In the CXB synthesis process, the analytic equation of the XLF is multiplied by the luminosity L_{X} and then integrated in the range $42 < \log L_{\text{X}} < 48$, and $0 < z < 5$. The uncertainties of the XLFs at high luminosity and high redshift are not relevant for the CXB synthesis as the contribution to the CXB of AGN in this range is negligible.

Figure 5 shows the U14 XLF for two redshift bins with confidence regions at 1σ and 2σ and the U03 XLF for comparison.

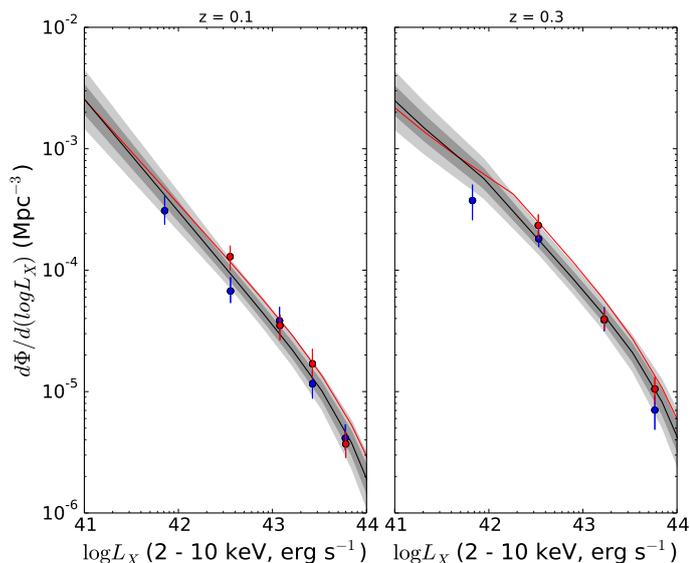


Fig. 5. XLF of U14 plotted at redshift $z = 0.1$ and $z = 0.3$ in the range $41 < \log L_x < 44$. The black line represents the best fit, grey regions show the effect of uncertainties in the parameters: dark (light) grey correspond to 1σ (2σ) region. The red line is U03 XLF. Red and blue points are taken from U14, and represent the data derived from the soft (<2 keV) and hard (>2 keV) X-ray bands respectively, converted in 2 to 10 keV luminosities as explained in U14.

The confidence region is mostly based on the uncertainties on γ_1 and L_* . The uncertainty on the XLF normalisation can be up to approximately 10% (1σ). The CXB synthesis of U14 (whose XLF is mostly based on *Chandra* surveys) falls 10–20% short of the CXB flux measured by *Chandra*. This indicates that the XLF is probably underestimated even though other sources can contribute to the CXB in the soft X-ray band.

The percentage of AGN with different N_H must be known to synthesise the CXB. We used the N_H distribution derived by G07 and Treister et al. (2009; T09) and also derived it to obtain the best match between the observed and synthesised CXB. These N_H distributions, displayed in Fig. 7 show significant discrepancies.

It must be pointed out that these N_H distributions do not depend on redshift and luminosity, in contrast to some observations (La Franca et al. 2005; Hasinger 2008; Aird et al. 2010, 2015; Miyaji et al. 2015; Buchner et al. 2015; U14). To account for this effect, U14 propose an empirical analytical function for the N_H distribution dependent on L_X and z . We tested that function, together with the U14 and M15 XLFs, who used it in their works.

The CTK AGN are considered separately from the above N_H distributions, their fraction is evaluated by adjusting the CXB synthesis to the observations.

6. Synthesis of the CXB

6.1. Comparison with previous works

Figure 6 shows different synthesised spectra, obtained by selecting combinations of XLF and N_H distribution used in previous works (G07, T09, U14, and M15) and using the set of templates derived from *Swift*/BAT. These spectra are plotted against *Swift*/XRT (Moretti et al. 2009), ASCA SIS (Gendreau et al. 1995), INTEGRAL (Churazov et al. 2007), *Swift*/BAT (Ajello et al. 2008), HEAO1 (Gruber et al. 1999), ROSAT (Miyaji et al. 1998), and *Chandra* (Hickox & Markevitch 2006) data. We do not perform any fit or renormalisation on the data. Figure 6 also

shows the separated contributions of unabsorbed, LOB (which, here, is the sum of $\log(N_H) = 21.5$ and $\log(N_H) = 22.5$), and MOB AGN. The CTK fraction is usually estimated to fill the gap between the total contribution of Compton-thin objects and the data. The CTK fraction shown corresponds to the one estimated in the paper in question.

The main differences between the synthesis models are caused by the XLF. Even without CTK sources, H05 and U03 XLFs are in good agreement with the data above 30 keV. The U14 XLF instead produces a CXB spectrum, 10–20% fainter than that observed by *Chandra*, with a wide gap between the data and the spectrum at ~ 30 keV. As mentioned previously, this XLF is too faint to account for the CXB at soft X-rays, and requires a large percentage of CTK sources to match the CXB at hard X-rays.

6.2. Fitting the Compton-thick contribution

The CTK contribution to the CXB is estimated by varying the CTK fraction through a χ^2 minimisation. To investigate the effect of using the BAT templates (Sect. 4), the CXB is synthesised with the combinations of XLFs and N_H distributions used by G07, T09, and U14, to estimate the CTK fraction. We used the same CXB datasets as in the papers in question. In Table 3 we report the flux due to the CTK sources at the peak of the integrated spectrum. With the BAT templates, we obtain significantly fewer CTK sources. However, in all these cases, the fit to the CXB is not acceptable. Table 3 refers to results obtained with the BAT spectral templates derived from the samples based on absorption; using the samples based on Seyfert-type leads to similar results.

We then compared the CXB synthesis with the CXB observed with ROSAT, *Swift*/XRT, *Swift*/BAT, and INTEGRAL. We considered only the ROSAT data above 1 keV to exclude the soft excess component from the CXB modelling. These datasets, as well as those of *XMM-Newton* (Moretti et al. 2009) and *Chandra*, are cross-calibrated well and feature similar CXB normalisation. Their calibration also matches those of the recent XLF obtained with deep soft X-ray surveys by XMM and *Chandra*.

Table 4 shows the estimates of the CTK fraction for the combinations of XLFs and N_H distributions shown in Fig. 6. We report the flux of the CTK contribution at the peak and the fraction of CTK objects (assuming that all CTK are in the N_H range 10^{24} – 10^{25} cm^{-2}) needed to produce this flux, and the corresponding χ^2_{red} . With the XLF and N_H distribution used by G07 and T09 and with the BAT templates, the amount of CTK allowed by the synthesis is less than in the papers we refer to, confirming that BAT templates produce higher CXB flux. Even with the XLF and N_H distribution of U14, we obtain a smaller CTK percentage. The fit to the CXB data is not good regardless of combination of XLF and N_H distribution considered: the BAT templates and the previous models together cannot adequately represent the data.

6.3. Fitting the N_H distribution

To achieve a better representation of the CXB spectrum, we adjusted the N_H distribution directly to the data. We performed several fits with different assumptions to investigate possible systematic effects: we used the XLF of U14 or U03, we fixed the maximum N_H of the distribution at 10^{25} cm^{-2} , as done by T09, or at 10^{26} cm^{-2} , as in G07 and U14 (the implications of this choice

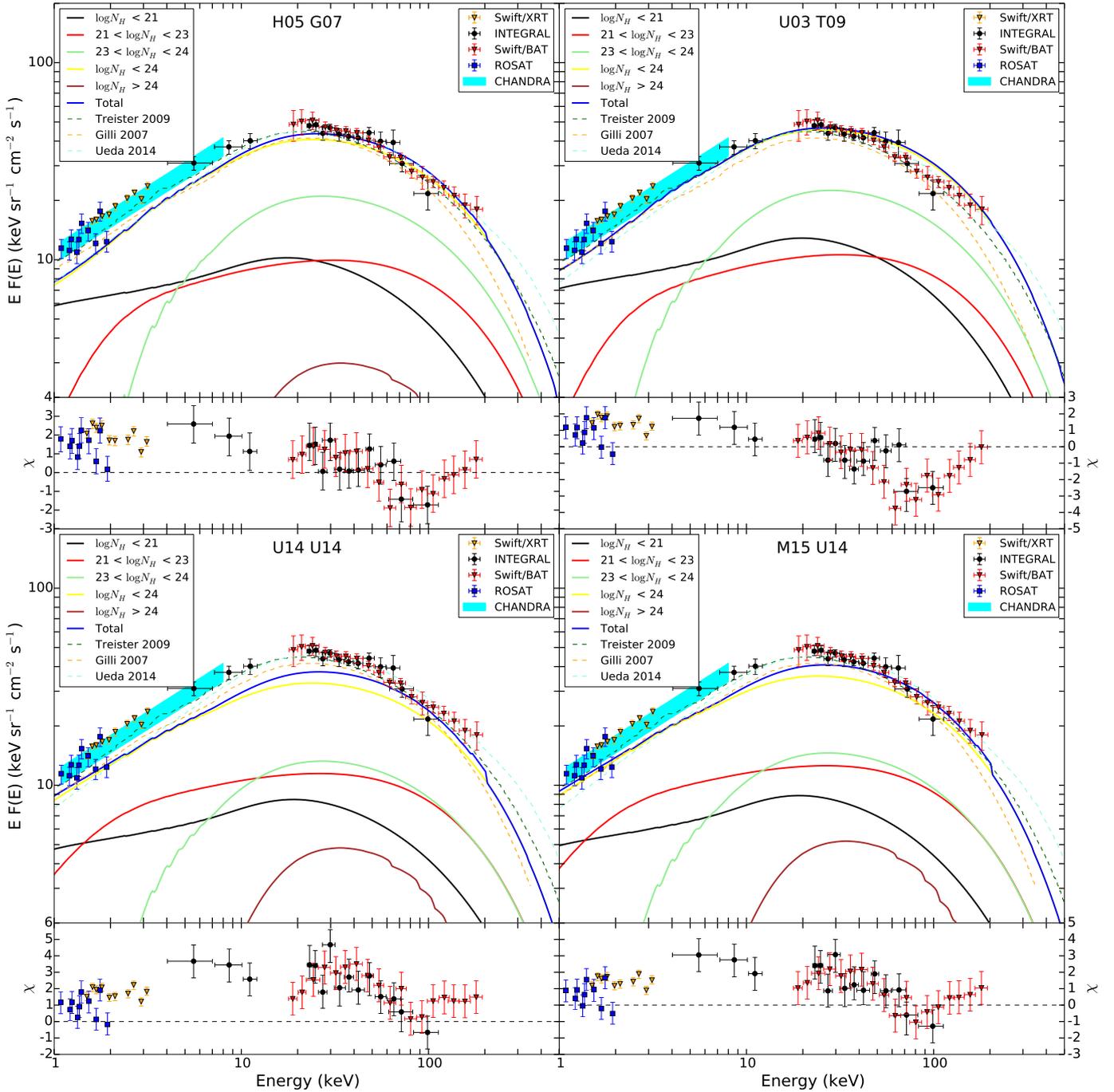


Fig. 6. Synthesis of the CXB (thick blue line), based on BAT spectral templates for different XLFs and N_{H} distributions. The title of each panel quotes the papers from which the XLFs and N_{H} distributions are taken. The data from *Swift*/XRT (orange triangles), ASCA SIS (green squares), INTEGRAL (black points), *Swift*/BAT (red squares), HEAO1 (grey triangles), ROSAT (blue triangles), and *Chandra* (cyan region) are shown. Each panel shows the spectra and the difference between the data and the synthesis.

are discussed later), and we used the BAT templates based on samples defined according to N_{H} or Seyfert type (see Sect. 3).

There are several sources of uncertainty over the CXB normalisation and synthesis. We improved the uncertainty on the spectral templates by using average hard X-ray spectra. The integrated XLF varies by 15% for different assumptions and by 13%, taking 1σ statistical uncertainties of the XLF into account. The CXB normalisation between the various datasets varies by 10–15% and the CXB synthesis flux produced with the U14 XLF falls 10–20% below the *Chandra* CXB data.

In addition, we investigated the fraction of absorbed AGN which still escape detection. We simulated spectra with N_{H} uniformly distributed into 6 linearly spaced bins in the range 10^{23} – 10^{24} cm^{-2} (corresponding to our MOB sources definition), with the spectral index $\Gamma = 1.72$ derived for the MOB sources (Table 2), and convolving them with the *Chandra* matrix response assuming the total exposure of the Deep *Chandra* Surveys used by U14. We then looked for the deabsorbed luminosity required to be detected by *Chandra*, and integrated U14 XLF from to the lower limit ($\log L_{\text{x}} = 42$) to this luminosity

Table 3. Comparison of Compton-thick estimates with previous works using BAT spectral templates derived from the samples based on N_{H} .

Paper	XLF ^a	N_{H}^b	Templates	CTKR ^c	Datasets ^d	Flux ^e	χ_{red}^2
G07	H05	G07	G07 BAT	24 ÷ 26	ASCA SIS, HEAO1	10 <0.3	>2
T09	U03	T09	T09 BAT	24 ÷ 25	XMM, <i>Chandra</i> , BAT, INTEGRAL	4 <1	>2
U14	U14	U14	U14 BAT	24 ÷ 26	ASCA SIS, BAT, INTEGRAL	9 ± 4 9.5 ± 0.5	1.74

Notes. For each paper we report both the CTK flux estimated in the original paper and the one estimated with the BAT templates, together with the χ_{red}^2 of the fit. ^(a) XLF used in the corresponding paper. ^(b) N_{H} distribution used in the corresponding paper. ^(c) $\log(N_{\text{H}})$ range of CTK AGN considered in the synthesis. ^(d) Datasets used to compare with the CXB synthesis. ^(e) Flux at the peak of the CTK component in the synthesis, in unit of $\text{keV cm}^{-2} \text{s}^{-1} \text{Str}^{-1}$.

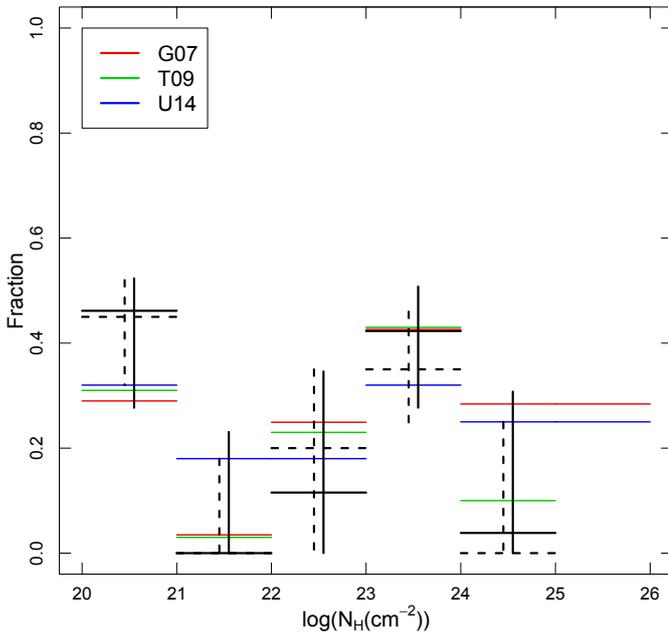


Fig. 7. Best fit N_{H} distribution with U14 XLF (continuous black lines) and U03 (dashed black lines) compared with N_{H} distribution from previous works. Error bars show the 1σ confidence region. To compare better with previous works, here the N_{H} distributions are renormalised up to $N_{\text{H}} = 10^{24} \text{ cm}^{-2}$.

threshold in order to estimate the AGN fraction which fails to be detected. We estimated that 11% of the mildly obscured AGN at redshift <1.3 have too faint spectra to be detected even in deep *Chandra* observations, adding an additional source of uncertainty to the XLF normalisation.

To account for these uncertainties in the fitting process, we introduced a scaling factor, which allows for a renormalisation of the synthesised spectrum on the data. The resulting factors vary from 1 to 1.3 for different XLFs.

Figure 7 shows the best fit N_{H} distributions using the XLF of U14 (continuous black lines) and U03 (dashed black lines), compared with the N_{H} distributions of G07, T09 and U14. The N_{H} distribution fit uncertainties are such that they are compatible with the N_{H} distributions published previously.

Table 5 shows the CTK fraction estimated for several XLFs and CTK bin sizes. Figure 8 shows the CXB synthesis obtained with the N_{H} distribution (best fit and 1σ upper limit for the CTK fraction) that corresponds to the first row of Table 5. All the above combinations are able to represent the data, providing

Table 4. Compton-thick estimates obtained using BAT spectral templates derived from the samples based on absorption, fitting only the CTK density, for various combination of XLFs and N_{H} distributions.

XLF	N_{H}	Flux ^a	f_{CTK}^b	χ_{red}^2
H05	G07	3.0 ± 0.5	24%	2.12
U03	T09	0 ^{+1.0} ₋₀	<5%	1.92
U14	U14	11.5 ± 0.5	47%	1.92
M15	U14	8.0 ± 0.5	47%	1.64

Notes. The datasets used in the fitting process are ROSAT, *Swift*/XRT, *Swift*/BAT, and INTEGRAL. ^(a) Flux at the peak of the CTK component in the synthesis, in unit of $\text{keV cm}^{-2} \text{s}^{-1} \text{Str}^{-1}$. ^(b) Fraction of CTK ($\log(N_{\text{H}}) > 24$) over all AGN (any N_{H} , CTK themselves included).

Table 5. Compton-thick estimates obtained by fitting the whole N_{H} distribution.

XLF	CTKR	Templates	SF ^a	Flux ^b	f_{CTK}	χ_{red}^2
U14	24 ÷ 25	BAT N_{H}	1.3	0.4 ^{+2.9} ₋₀	<25%	0.99
U14	24 ÷ 25	BAT R11	1.3	0 ⁺³ ₋₀	<22%	1.10
U14	24 ÷ 26	BAT N_{H}	1.3	0.5 ^{+2.9} ₋₀	<36%	0.99
U03	24 ÷ 25	BAT N_{H}	1	0 ^{+2.1} ₋₀	<12%	1.17

Notes. The datasets used for the fit are ROSAT, *Swift*/XRT, *Swift*/BAT, and INTEGRAL. We tested different combination of XLFs, $\log(N_{\text{H}})$ range of the CTK AGN, and templates (based on spectra stacked according to N_{H} or R11 definition). We report the scaling factor found, Flux of the CTK component, CTK fraction and χ_{red}^2 . ^(a) Scaling factor. ^(b) Flux at the peak of the CTK component in the synthesis, in unit of $\text{keV cm}^{-2} \text{s}^{-1} \text{Str}^{-1}$.

acceptable χ_{red}^2 . Table 5 shows that the scaling factor is always 1.3 with U14 and 1 with U03 XLF. We consider that such scaling factors are still acceptable, considering the uncertainties in the data, XLF parameters and the unresolved sources.

7. Discussion

7.1. Stacked BAT spectra

The unified model assumes that different classes of AGN can be explained by the observer line of sight crossing an anisotropic absorbing medium or not doing so (Antonucci 1993; Urry & Padovani 1995). This does not explain why MOB sources feature

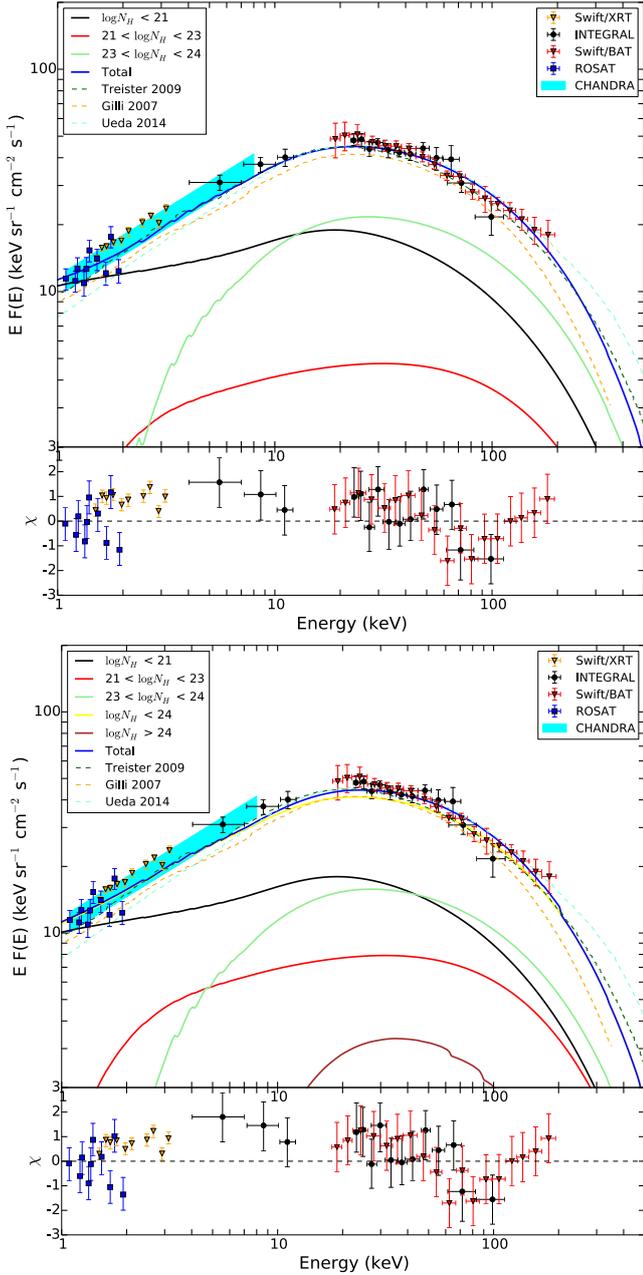


Fig. 8. *Top panel:* synthesis of the CXB spectrum and residuals (in unit of χ^2) obtained using the best fit N_{H} distribution derived with the selection of Table 5, row 1. *Bottom panel:* as in the top panel, but using the N_{H} distribution corresponding to the 1σ upper limit of the CTK fraction. Previously published CXB synthesis from T09, G07, and U14 (dotted lines) are also shown.

increased reflection. A clumpy absorber model can offer a solution, because obscuration and reflection could increase with the number of clumps. This geometry was put forward independently to explain high resolution infrared spectra of AGN (Ramos Almeida et al. 2011; Elitzur 2012).

The BAT spectra of Compton-thin AGN are modelled with the `pexrav` model with a cutoff energy fixed at 200 keV. There is a degeneracy between the cutoff energy and the reflection. Figure 9 shows the confidence contours as a function of E_c and R for the spectra of the LOB1 and MOB samples, respectively, and indicates that the stronger reflection observed in MOB sources is solid and not an effect of the fixed cutoff energy.

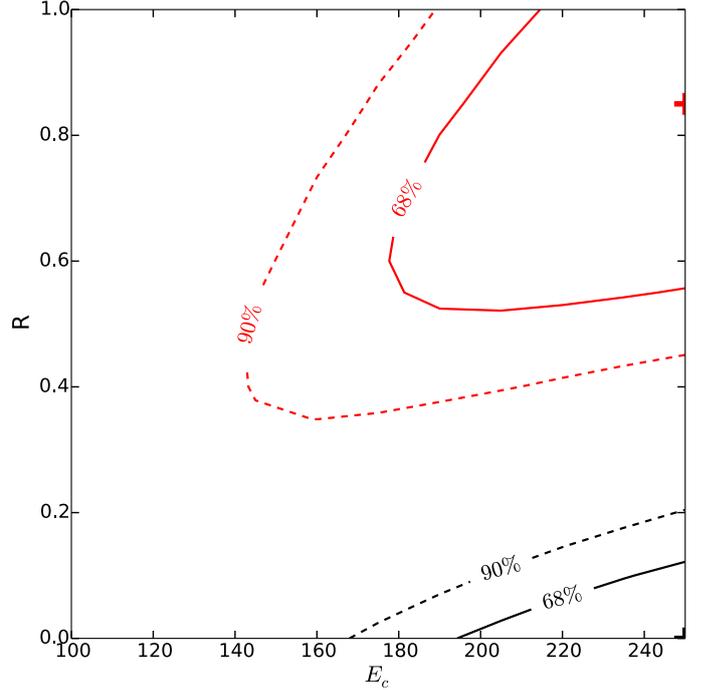


Fig. 9. Contour plot of the χ^2 as a function of E_c and R for the LOB1 ($10^{21} < N_{\text{H}} < 10^{22} \text{ cm}^{-2}$) spectrum (black) and the MOB ($10^{23} < N_{\text{H}} < 10^{24} \text{ cm}^{-2}$) spectrum (red). Continuous lines show the 1σ (68%) confidence region, dotted lines show the 90% confidence region. The crosses mark the best fit position.

To verify the effect of the fixed cutoff energy on the CXB synthesis, we also derived the best fit parameters for $E_c = 150 \text{ keV}$ and $E_c = 250 \text{ keV}$ and performed the synthesis with these templates. The bump at $\sim 30 \text{ keV}$ is reproduced well in all cases, and the templates contribute to the synthesis in the same way, because the spectral models remain a good representation of the data. Changing the cutoff energy does not significantly change the spectral index, and therefore the flux of the template in the energy band of the XLF (2–10 keV for U14) is not significantly different for these cases, leading to similar normalisations in the CXB synthesis.

As mentioned in Sect. 4, previous works (e.g. G07; U14) introduced a Gaussian dispersion on the spectral index of their templates to reproduce the variance of the observed spectral slopes. The effect of this on the synthesis is to increase the flux of the CXB at hard X-rays (G07). We checked the effect of adding a spectral index dispersion in our synthesis and noticed that in our case the effect is not as strong as the BAT spectra already measure the spectral shape in the range 15 to 150 keV. We therefore did not introduce a spectral index distribution, also because the BAT templates already average the contribution of many sources.

7.2. Contribution of Compton thick AGN

Figure 10 shows the contour plot of the fraction of MOB sources and of the flux at the peak of the CTK contribution for the various models listed in Table 5. The scaling factor added in the fitting procedure is taken into account. All the contour plots show the expected tendency that the fraction of MOB decreases in order to allow for a stronger CTK contribution. For all the combinations investigated, the best fit indicates a CTK flux at the peak less than $1 \text{ keV cm}^{-2} \text{ s}^{-1} \text{ Str}^{-1}$ and a 1σ upper limit of $2.1\text{--}2.9 \text{ keV cm}^{-2} \text{ s}^{-1} \text{ Str}^{-1}$ for the U03 and U14 XLFs

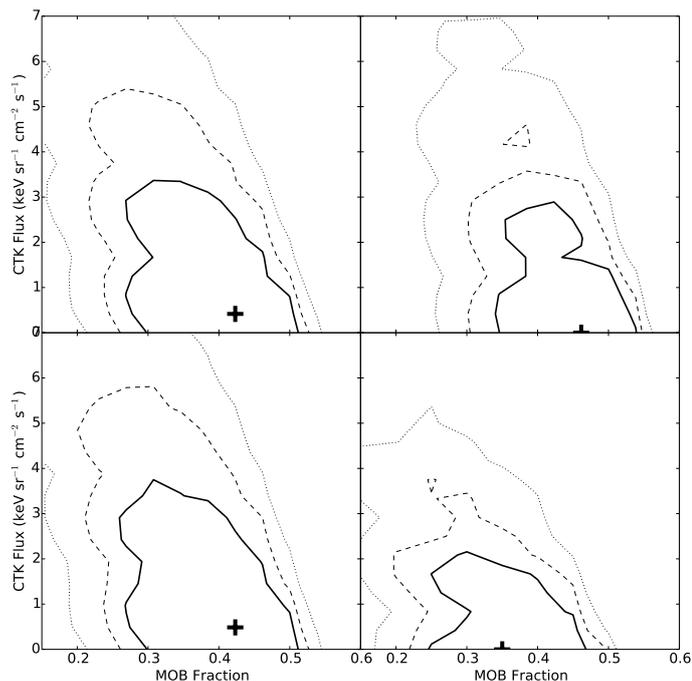


Fig. 10. Contour plot of MOB fraction versus the Flux of the CTK contribution in the CXB at 30 keV (peak of the integrated spectrum) for the different assumptions used in Table 5: *top left*: row 1, *top right*: row 2, *bottom left*: row 3, *bottom right*: row 4. Crosses indicate the best fit position. Continuous, dashed, and dotted lines represent the 68% (1σ), 90% and 99% confidence regions respectively.

respectively, corresponding to 4–6% of the CXB emission. These fluxes correspond to a CTK emission that is two (T09) to three times (U14 and G07) fainter than that estimated in previous works.

Our fluxes correspond to a maximum percentage of CTK AGN between 12% (for U03 XLF) and 21% (for U14 XLF), assuming that all CTK objects have $N_{\text{H}} < 10^{25} \text{ cm}^{-2}$. Since CTK objects are mostly undetected, it is not clear where the N_{H} distribution should end. In the CXB modelling, the maximum absorption of CTK sources is typically considered to be $N_{\text{H}} = 10^{25} \text{ cm}^{-2}$ (e.g. T09) or $N_{\text{H}} = 10^{26} \text{ cm}^{-2}$ (e.g. G07; U14). The CTK fraction thus depends on the N_{H} distribution of the CTK sources, which is unknown: previous works simply assumed it to be constant. Adding a separate spectral template for deeply obscured CTK sources ($25 < \log(N_{\text{H}}) < 26$) in our fitting procedure, we found that their fraction is basically unconstrained by the data. Fixing it to the same value as of mildly obscured CTK sources we get a CTK fraction between 21% (for U03 XLF) and 29% (for U14 XLF).

In the fitting procedure of the N_{H} distribution (Sect. 6.3) we added a scaling factor in order to account for various systematics effect. We did not introduce this scaling factor when fitting the Compton thick contribution alone (Sect. 6.2) because there we wanted to use exactly the same hypotheses as used in previous works, in order to verify the effect of the BAT templates on the CTK fraction estimation. We found that using these assumptions and the measured hard X-ray templates, does not provide a good fit to the CXB.

In the *Swift*/BAT catalogue of local AGN, [Burlon et al. \(2011\)](#) found that only 5.5% of them are classified as CTK ($\log(N_{\text{H}}) > 24$), while [Ricci et al. \(2015\)](#) using a larger sample of *Swift*/BAT sources, found a value of 7%. In the *Chandra*

south deep field this percentage goes up to 20% ([Brightman & Ueda 2012](#)). The discrepancy between the result of *Swift*/BAT and *Chandra* is related to the different sensitivities of the two instruments: [Burlon et al. \(2011\)](#) estimated that correcting for the bias against detection of very absorbed sources the intrinsic CTK AGN fraction over the total AGN is 20%, in agreement with [Brightman & Ueda \(2012\)](#). As most of these CTK objects have $N_{\text{H}} < 10^{25} \text{ cm}^{-2}$ this is consistent with our upper limit of 21%. We therefore conclude that a population of Compton-thick AGN larger than that effectively observed is not required to account for the CXB.

The CXB spectrum is dominated by low luminosity sources, but also by low redshift sources: 99% of the CXB flux is generated by AGN located at $z < 1.3$. As a consequence, the CXB cannot be used to constrain galaxy evolution at high redshift. It is as yet unclear if mergers of galaxies in the early Universe have triggered rapid black-hole growth, stellar formation, and obscuration ([Hopkins et al. 2006](#)), or if the evolution has been more linear ([Caplar et al. 2015](#)). The stronger reflection observed in mildly obscured sources locally should be probed at higher redshift to study how the quasar environment evolved ([Alexander et al. 2013](#)).

8. Summary and conclusions

We measured the averaged hard X-ray spectral properties of several samples of Seyfert galaxies by stacking BAT spectra. We found that mildly obscured sources ($10^{23} < N_{\text{H}} < 10^{24} \text{ cm}^{-2}$) feature a stronger reflection than less absorbed sources, suggesting that AGN are surrounded by a clumpy rather than by a donut shaped torus.

The stacked BAT spectra have been used to define spectral templates of Seyfert galaxies and to synthesise the diffuse cosmic X-ray background. We found that the strong reflection of mildly obscured sources contribute significantly to the bulk of the CXB emission, leaving little space for the contribution of Compton-thick sources, in contrast with the results of previous works. The percentage of Compton thick sources estimated from our synthesis is less than 21%, compatible with what is obtained from deep surveys.

We investigated possible systematic effects in the synthesis process attributable to assumptions in the modelling, using different XLF and spectral templates built on different samples. We also considered the effect of including or excluding the contribution of strongly obscured Compton thick sources ($10^{25} < N_{\text{H}} < 10^{26} \text{ cm}^{-2}$). In all cases, only 4–6% of the CXB flux at 30 keV can be attributed to Compton-thick sources.

We allowed a renormalisation factor on the absolute flux of the CXB synthesis to account for the uncertainties in the CXB measurements and in the XLF parameters. The Monitor Vsego Neba (MVN) X-ray astronomical experiment ([Revnivtsev et al. 2012](#)) will help to improve our knowledge of the CXB normalisation.

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