

Birth and fate of hot-Neptune planets

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ABSTRACT

This paper presents a consistent description of the formation and the subsequent evolution of gaseous planets, with special attention to short-period, low-mass hot-Neptune planets characteristic of μ Ara-like systems. We show that core accretion, including migration and disk evolution, and subsequent evolution, taking irradiation and evaporation into account, provides a viable formation mechanism for this type of strongly irradiated light planets. At an orbital distance $a \approx 0.1$ AU, this revised core accretion model leads to the formation of planets with total masses ranging from $\sim 14 M_{\oplus}$ ($0.044 M_J$) to $\sim 400 M_{\oplus}$ ($1.25 M_J$). The newly born planets have a dense core of $\sim 6 M_{\oplus}$, independent of the total mass, and heavy element enrichments in the envelope, $M_{Z,env}/M_{env}$, varying from 10% to 80% from the largest to the smallest planets. We examine the dependence of the evolution of the born planet on the evaporation rate due to the incident XUV stellar flux. In order to reach a μ Ara-like mass ($\sim 14 M_{\oplus}$) after ~ 1 Gyr, the initial planet mass must range from $166 M_{\oplus}$ ($\sim 0.52 M_J$) to about $20 M_{\oplus}$, for evaporation rates varying by 2 orders of magnitude, which corresponds to 90% to 20% mass loss during evolution. The presence of a core and heavy elements in the envelope affects the structure and the evolution of the planet appreciably and yields $\sim 8\%$ – 9% difference in radius compared to coreless objects of solar composition for Saturn-mass planets. These combinations of evaporation rates and internal compositions translate into different detection probabilities and thus into different statistical distributions for hot-Neptunes and hot-Jupiters. These calculations provide an observable diagnostic, namely a mass-radius-age relationship to distinguish between the present core-accretion-evaporation model and the alternative colliding core scenario for the formation of hot-Neptunes.

Key words. planetary systems: formation – stars: individual: μ Ara

1. Introduction

Since the discovery of the first exoplanet by Mayor & Queloz (1995), planet hunters have been discovering planets with smaller and smaller masses. With the discovery of objects in the range of 10 – $20 M_{\oplus}$, i.e. 0.03 – $0.06 M_J$ ¹ (Butler et al. 2004; McArthur et al. 2004; Santos et al. 2004), a new step in the quest for Earth-like planets has been taken. While representing an extraordinary achievement from the observational side, theorists still struggle to understand the structure and the origin of these light giant planets. Are they composed essentially of ices and rocks, possibly with a thin atmosphere, like our ice giants Uranus and Neptune? Or do they originate in larger gaseous planets with a large gaseous envelope and a relatively small central rocky core? The answer to these questions requires an understanding of their formation process.

Current planet formation scenarios, based either on the core accretion model or on gravitational instability, can more or less explain the presence of relatively massive planets with masses $\geq 100 M_{\oplus}$ (or even larger in the case of the gravitational instability scenario) at various orbital separations, but they do not

necessarily predict the formation of a large number of lighter planets (Boss 2001; Ida & Lin 2005; Papaloizou & Nelson 2005).

In the framework of the core accretion model (Pollack et al. 1996), the general expectation is to find planets that are either less massive or more massive than the newly discovered Neptune-like planets, for these lie within the domain of critical mass (~ 10 – $20 M_{\oplus}$) above which runaway accretion of gas begins. Similarly, Ida & Lin (2004) suggest a possible deficit of intermediate mass planets (~ 10 – $100 M_{\oplus}$, i.e. ~ 0.03 – $0.3 M_J$) with orbital separation $a < 3$ AU. In contrast, using N -body simulations of colliding cores in a protoplanetary disk, Brunini & Cionco (2005) find that Neptune-like planets close to their host-star can form easily as a by-product of planetary formation. If their scenario is correct, these planets should have an ice-rock composition with only a thin atmosphere. They also predict that a large population of these “hot cores” should be discovered in the near future.

As an alternative to this colliding core scenario, and given the close orbital distance of the Neptune-like planets discovered up to now, one cannot exclude the possibility that they formed initially as larger giant planets which have undergone

¹ $1 M_J = 318 M_{\oplus}$.

atmospheric evaporation during their lifetime (Baraffe et al. 2005). Within this picture, formation and evolution are strongly correlated: a correct understanding of light planet properties thus requires a consistent description of the planet formation and evolution in order to interpret present-day observations.

This paper is a first attempt to derive such a consistent picture from the planet formation to its subsequent evolution. We apply the core accretion models (cf. Sect. 2) developed recently by Alibert et al. (2005a) to the 14 M_{\oplus} ($0.044 M_J$) planet (modulo $\sin i$) orbiting around the G-star μ Ara at an orbital distance $a = 0.09$ AU (Santos et al. 2004). We focus on μ Ara-like planets because it is still reasonable to apply the Alibert et al. (2005a) formation model at this orbital distance, whereas it is no longer the case at the location of the two other Neptune-like planets that are located at $a < 0.05$ AU from their parent star (Butler et al. 2004; McArthur et al. 2004). Indeed, for $a \lesssim 0.1$ AU, the description of the inner part of the disk, including tidal and magnetic interactions with the star, is too crude to provide a reliable formation scenario.

Adopting the main characteristics of the newly born planets as predicted by the Alibert et al. (2005a) formation model (core mass, heavy element content), we follow the later evolution of these planets, according to Baraffe et al. (2004, 2005), taking into account irradiation and evaporation effects due to the vicinity of the parent star. We examine the sensitivity of the results on the evaporation rate by exploring a range of different rates and present our results in Sect. 4. Predictions and uncertainties of our scenario are discussed in Sect. 5.

2. Formation model

The adopted formation model is an extension of the core accretion model for giant gaseous planets developed by Pollack et al. (1996). The present model includes the effect of migration and of disc evolution on the planet formation. Details can be found in Alibert et al. (2005a). The model successfully describes the formation of Jupiter-like planets on timescales that are consistent with typical disk lifetimes (Alibert et al. 2004). When applied to the formation of Jupiter and Saturn, the model yields core masses and heavy element contents in the envelope in agreement with recent interior structure models for Jupiter and Saturn (Saumon & Guillot 2004). Moreover, the model predicts surface compositions in agreement with both in situ abundance measurements by the *Galileo* probe for Jupiter and with Earth-based measurements for Saturn (Alibert et al. 2005b).

We employ this formation model to determine the properties of an ensemble of planets that can form eventually at 0.1 AU of a solar type star, like the planet found around μ Ara. We performed a large number of simulations, similar to those described in Alibert et al. (2005a, see their Sect. 3.2), exploring different initial parameters (orbital separation, dust-to-gas ratio in the disc, photoevaporation rate, disc mass). The initial disk profile is assumed to be a power law with a gas surface density $\Sigma = \Sigma_0(r/5.2 \text{ AU})^{-3/2}$, with $\Sigma_0 = 100, 300, 500, 700 \text{ g/cm}^2$. The surface density of planetesimals is $\Sigma_p = f_{D/G}\Sigma$, where the dust-to-gas ratio $f_{D/G}$ is equal to 1/30 for temperatures below 150 K, and 1/120 otherwise. These values reflect the high metallicity of the central star in the μ Ara system. Different

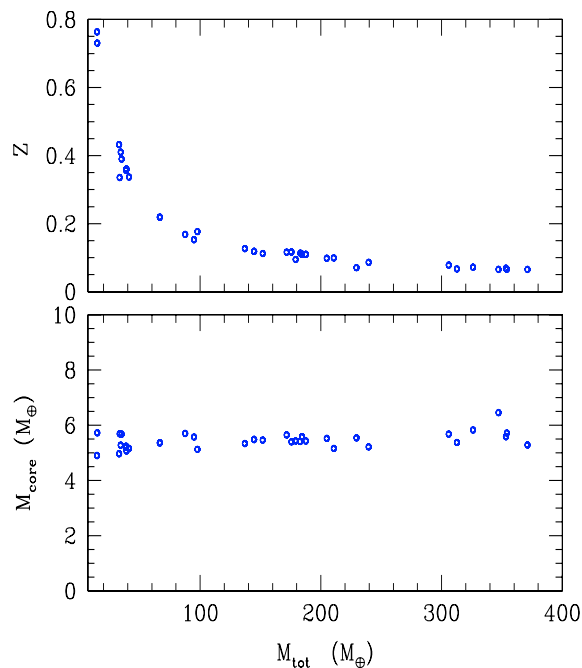


Fig. 1. Properties of planets forming at 0.1 AU from their sun, based on a set of simulations using different initial conditions (see text, Sect. 2). *Lower panel:* core mass as a function of total mass. *Upper panel:* metallicity in the envelope Z_{env} , defined as the ratio of heavy element mass in the envelope $M_{Z,\text{env}}$ to the total envelope mass M_{env} , as a function of total mass.

initial orbital separations of the planet are adopted: $a_{\text{ini}} = 0.2, 0.3, 0.4, 0.6, 1, 1.3, 1.6, 1.9, 2.2, 2.5, 3, 3.5, 4, 4.5, 5, 6, 7$ AU. The evolution of the disk's gas surface density is calculated according to the α prescription (Shakura & Sunyaev 1973), with $\alpha = 2 \times 10^{-3}$, including a photoevaporating term (see Alibert et al. 2005a). Different values for the total photoevaporation rate are assumed: $10^{-9} M_{\odot}/\text{yr}$, $2 \times 10^{-9} M_{\odot}/\text{yr}$, $4 \times 10^{-9} M_{\odot}/\text{yr}$, $8 \times 10^{-9} M_{\odot}/\text{yr}$, and $15 \times 10^{-9} M_{\odot}/\text{yr}$. Finally, we assume a time delay between the beginning of disk evolution and the planet-formation process. We adopt different time delays: 0 Myr, 0.5 Myr, 1 Myr, 1.5 Myr, 2 Myr, 3 Myr, 4 Myr, 6 Myr, and 8 Myr. The simulations include migration with a migration rate for low mass planets (type I migration, see Ward 1997) based on the results of Tanaka et al. (2002), reduced by a constant factor equal to 0.01 (see Alibert et al. 2005a). As shown in Alibert et al. (2005b) for the cases of Jupiter and Saturn, a variation of the type I migration rate does not affect the final structure of the planet. Each simulation produces a planet that eventually falls onto the central star, and we focus on the properties of planets ending their formation around 0.1 AU, whatever their final mass. The results are summarised in Fig. 1 which displays the core mass M_{core} and the mass fraction of heavy elements in the envelope $Z_{\text{env}} = M_{Z,\text{env}}/M_{\text{env}}$ as a function of the total mass of the planet. The value $M_{Z,\text{env}}$ is the amount of mass deposited by the infalling planetesimals in the envelope, whereas M_{core} is the mass of solids that reach the central solid core of the planet directly. The mass of the core depends on some poorly known quantities, such as the characteristic size of the infalling planetesimals (assuming

here a size of 100 km), and their internal properties (e.g. tensile strength, for which we use values relevant for water ice). Note, however, that the *total content of heavy elements* is much less sensitive to these quantities. As shown in Sect. 4.2, the effect of evaporation depends mainly on the mean heavy element enrichment of the planet and not on their precise distribution in the planet. Finally, we note that in the formation calculations we do not take into account the modifications of opacity and equation of state due to changes of the chemical composition in the envelope (see Sect. 5.2).

The simulations predict that planets reaching a total mass in the range 14–400 M_{\oplus} (~ 0.04 – $1.25 M_J$) form with essentially the same core mass $M_{\text{core}} \simeq 6 M_{\oplus}$ (cf. Fig. 1). The heavy element content in the envelope is found to increase substantially with decreasing total mass. The envelope metallicity mass fraction Z_{env} varies from 80% for a 14 M_{\oplus} planet to 10% for masses $> 100 M_{\oplus}$. Note that our calculations *do not* take into account the probability of occurrence of the initial parameters leading to the formation of these planets. Therefore, the number of light planets that can actually form by this extended core accretion mechanism and its fraction compared to the number of more massive planets cannot be inferred from these calculations.

3. Evolutionary models

3.1. Irradiation and evaporation effects

Using the initial conditions provided by the planet formation model described in Sect. 2, core mass and heavy element enrichment for a total planet mass, we calculate the subsequent evolutionary sequences for different values of these initial planetary masses. Evolutionary models take into account irradiation effects from the parent star on the planet atmospheric and internal structure, as described in Baraffe et al. (2003) and Chabrier et al. (2004). The incident stellar flux received by the planet atmosphere is determined from the orbital and stellar parameters characteristic of the μ -Ara system ($a = 0.09$ AU, $m_{\star} = 1 M_{\odot}$, $R_{\star} \simeq 1 R_{\odot}$, $T_{\text{eff}\star} \simeq 5800$ K, cf. Santos et al. 2004). Evaporation effects are also included, as described in Baraffe et al. (2004), using the energy-limited escape model derived by Lammer et al. (2003, hereafter L03) as our fiducial model. This model describes a hydrodynamic mass loss process due to the high energetic XUV flux of the parent star. We also consider lower evaporation rates. Indeed, L03 assume that the planet undergoes maximal energy-limited evaporation, thus providing an upper limit for escape rates. Their predicted escape rate for HD 209548b is ~ 100 times higher than the *lower limit* measured by Vidal-Madjar et al. (2003) for this planet. In a recent study, Yelle (2004) included a better treatment of atmospheric chemistry, a crucial ingredient for handling cooling processes, and found escape rates that are 20 or 100 times lower than the ones estimated by L03. Taking a two-dimensional, hydrodynamical energy deposition calculation into account instead of the single-layer heating model used in L03, Tian et al. (2005) find escape rates for HD 209458b that are at least ~ 16 times lower than L03. Finally, in a recent study, Jaritz et al. (2005) analyse Roche-lobe effects on expanded upper atmospheres of close-in giant planets. They conclude that in some cases planets

can undergo geometrical blow-off, rather than classical hydrodynamic blow-off², because the critical level where blow-off occurs cannot be reached before the exobase level reaches the Roche-Lobe. Jaritz et al. (2005) show that the transit planet OGLE-TR 26b is in such a configuration and find a 25 times lower escape rate than the maximal energy-limited mass loss calculated by L03. Given the large uncertainties in the escape rate illustrated by these different studies, we performed evolutionary calculations with 1, 1/20, and 1/100 times the escape rate based on the model of L03, corresponding to values ranging from 5×10^{12} g/s ($2.6 \times 10^{-8} M_{\oplus}/\text{yr}$) to 10^{10} g/s ($5.3 \times 10^{-11} M_{\oplus}/\text{yr}$), respectively, for planets older than 1 Gyr.

3.2. Models with a rocky core

All our previous evolutionary calculations were done for coreless planets (Baraffe et al. 2003, 2004, 2005; Chabrier et al. 2004). In order for the evolution to be consistent with our formation model, we have now included a central rocky core in our structure and evolution calculations. Instead of using a constant core density, as done in some exo-planet models (e.g. Bodenheimer et al. 2003), we have implemented the ANEOS equation of state (Thompson & Lauson 1972). This equation of state (EOS) describes the thermodynamic properties of different materials of planetary interest (ice, dunite, iron), derived from the Helmholtz free energy. Even though this EOS has physical limitations³, it gives a thermodynamic description of phase transitions and thermodynamic quantities relevant to the evolution of planets, as well as Rosseland opacities and electron conductivities. In the evolutionary code, we integrate the structure equations from the centre to the surface and at the core/envelope boundary, the EOS switches from ANEOS to the Saumon-Chabrier EOS (Saumon et al. 1995). At this boundary, a density jump is present due to the change in composition but continuity in pressure and temperature is enforced. In order to check our implementation of ANEOS, we compared the mass-radius relationships obtained for water ice and olivine (or dunite, Mg_2SiO_4) planets to the results displayed in Guillot (2005) and Guillot et al. (1996) based on the same EOS. We find an excellent agreement with these studies.

As suggested by the formation model (cf. Fig. 1), we adopt a core mass of 6 M_{\oplus} in the following independently of the initial planet mass. We assume that the core is made of dunite, as representative of rock. This material yields typical mean densities in the core ~ 6 – 7 g cm⁻³. We also performed comparative calculations with water ice cores, corresponding to a lower mean density ~ 3 g cm⁻³. Adopting ice or dunite for the core composition slightly changes the mass-radius relationship for planets of identical core and total mass. However, this change

² Classical dynamical blow-off occurs above a critical temperature for which the thermal velocity of atoms or molecules in the planetary upper atmosphere exceeds their escape velocity. In contrast, the geometrical blow-off, as defined by Lecavelier des Etangs et al. (2004), occurs when the upper atmosphere reaches the planet Roche Lobe.

³ E.g. electrons are treated classically, i.e. no degeneracy; see also the discussion in Sect. 3.3.4 of Guillot et al. (2004).

has no effect on the main conclusion of our paper so we adopt dunite cores in the following.

3.3. Heavy element enrichment in the envelope

As shown in Fig. 1, heavy element enrichment in the envelope due to accretion of planetesimals during the formation process can be significant, up to 80% in mass for the lightest planet formed; see Alibert et al. (2005a) for details on the calculation of the interaction between infalling planetesimals and the envelope, and the resulting heavy element enrichment. Under the conditions of temperature and pressure characteristic of planet interiors, the contribution to the EOS due to the interactions between particles is of the order of or even dominates the kinetic (perfect gas) contribution. However, an accurate treatment of the EOS of such an *interacting* multi-species fluid is elusive and approximations are necessary.

Since our goal is to explore the effect of a heavy-element contamination on the planet structure and evolution, we treat this contribution to the EOS as follows. The presence of heavy elements with a mass fraction Z in the envelope is mimicked by an equivalent helium fraction $Y_{\text{equiv}} = Y + Z$ in the H/He Saumon-Chabrier EOS, where Y is the genuine helium mass fraction in the envelope. This procedure has been discussed in Chabrier et al. (1992) for models of Jupiter and Saturn and in Chabrier & Baraffe (1997), who demonstrate its validity for solar metallicity i.e. for $Z \ll 1$. It is clear that for metal enrichment as high as obtained by the formation model, such an approximation becomes extremely crude. Since the heavy element enrichment will dominate the *structure* of the planet (the thermal contribution from the solid core is small) and since within first order the different contributions to the EOS scale with the density, this approximation, however, is good enough to give a semi-quantitative description of the effect of an increase of Z on the thermodynamic properties of the envelope material, and thus on the mechanical properties (mass-radius relationship and, hence, mean density) of our planets.

4. Results

We followed the evolution of planets of different initial masses, m_i , in the range $\sim 18\text{--}175 M_{\oplus}$ (0.055 to $0.55 M_J$). The initial interior structures M_{core} and Z_{env} for the evolutionary models are the ones obtained from the formation model. We adopt an initial radius that is arbitrarily large but lies well within the Roche lobe radius of the planet. Work is in progress to determine the final radius of the newborn planet better once accretion has terminated in order to use it as a more accurate initial condition for the evolutionary models. It should be stressed, however, that no planet-formation model can accurately determine the final planet radius at the end of the gas accretion phase. Indeed, the calculation of this final radius is a daunting problem that depends crucially on the accretion process, which is not expected to be spherical, and on the ability of the accreting planet to radiate away its thermal energy, which requires a correct description of the (accretion) radiative shock. According to the results of the formation model, we assume that all planets have a rocky (dunite) core mass of $6 M_{\oplus}$ and a heavy-element enrichment

corresponding to their mass (see Fig. 1). Evaporation rates are varied between 1 and 1/100 times the rate given by L03. We focus on sequences that, for a fixed evaporation rate, reach a mass close to a Neptune-mass ($17 M_{\oplus}$ or $0.053 M_J$) within a few billion years, the inferred age for μ -Ara (Santos et al. 2004; Baraffe et al. 2005). Table 1 summarises the different evolutionary sequences presented below with their inputs and parameters.

4.1. Evolution with maximal escape rate

In the case of the maximal evaporation rate (rate from L03), the initial masses must be greater than about $0.5 M_J$ ($160 M_{\oplus}$) to produce a μ -Ara like Neptune-mass planet after a few billion years of evolution, and $\geq 0.3 M_J$, about a Saturn-mass, to reach this limit after about 100 Myr. Figure 2 shows evolutionary sequences of planets with initial mass $m_i = 0.3 M_J$ and $0.52 M_J$. The results for $m_i = 0.52 M_J$ ($166 M_{\oplus}$) illustrate the significant effect of the presence of a core and of the envelope metal enrichment on the planet evolution. Both effects yield an increased average metallicity and thus an increase in the mean density of the planet. In order to highlight the effect of the presence of a central core and heavy element enrichment on the structure of a planet, Table 2 compares for *constant mass* evolution, i.e. without evaporation, the radius of a $0.3 M_J$, i.e. Saturn-mass, coreless gaseous planet of solar composition and the one of a $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = 0.1$ planet of same mass. The effect of this internal composition translates into a $\sim 8\%$ – 9% difference in the radius for this planet mass, whereas for Jupiter-mass or larger planets, the effect is $\lesssim 5\%$. Inferring the presence of significant heavy-element enrichment in the interior of exoplanets should thus be in reach for transit planets that are smaller than about a Saturn-mass. Figure 3 displays the interior pressure and density profiles of an $m_i = 0.52 M_J$ planet, with or without a core and/or heavy element enriched envelope.

Since the evaporation rate is inversely proportional to the mean planet density, $\dot{m} \propto (G\rho_p)^{-1}$ (see Baraffe et al. 2004, Eq. (1)), they are affected by the presence of a core and an increase in Z_{env} . For the sequence with $m_i = 0.52 M_J$ and with the structure predicted by the formation model ($M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = 0.1$, solid line in Fig. 2), the sequence reaches masses $< 20 M_{\oplus}$ ($0.06 M_J$) within ~ 6 Gyr with an escape rate $\approx 2 \times 10^{12}$ g/s ($10^{-8} M_{\oplus}/\text{yr}$) at this age (see Table 1). Without a core and heavy element enrichment, this mass domain is reached within ~ 1 Gyr. Figure 2 also reveals that, when adopting the maximal evaporation rate, planets with initial masses of $\sim 100 M_{\oplus}$ ($0.3 M_J$) reach the runaway phase described in Baraffe et al. (2004) and evaporate entirely within ~ 100 Myr regardless of the presence or not of a core and heavy element enrichment. We must also recall that the evaporation rates depend on the high energy flux from the star, which decreases exponentially with time. This decrease, combined with the rather low average density of planets at early ages, yields escape rates at the beginning of the evolution that are ~ 30 times higher than those obtained after 1 Gyr (see Baraffe et al. 2004).

Table 1. Properties of evolutionary sequences with different initial masses, escape rate prescriptions, core masses, and envelope metallicities, which can reach a mass close to the Neptune mass in more than 1 Gyr.

Initial mass	Escape rate	$M_{\text{core}}/M_{\oplus}$	Z_{env}	$\log t$ (yr)	M/M_{\oplus} (M_J)	R/R_J	\dot{M} (M_{\oplus}/yr)
166 M_{\oplus}	L03	0	0.02	7.0	166. (0.52)	1.44	3.90×10^{-7}
				8.0	137. (0.43)	1.28	3.32×10^{-7}
				9.0	32. (0.10)	1.25	1.18×10^{-7}
				9.03	20. (0.06)	1.40	2.45×10^{-7}
166 M_{\oplus}	L03	6	0.02	7.0	166. (0.52)	1.39	3.48×10^{-7}
				8.0	140. (0.44)	1.23	2.85×10^{-7}
				9.0	70. (0.22)	1.08	3.57×10^{-8}
				9.44	21. (0.07)	0.94	2.92×10^{-8}
166 M_{\oplus}	L03	6	0.1	7.0	166. (0.52)	1.33	3.09×10^{-7}
				8.0	143. (0.45)	1.18	2.47×10^{-7}
				9.0	86. (0.27)	1.05	2.69×10^{-8}
				9.80	18. (0.06)	0.83	1.07×10^{-8}
50 M_{\oplus}	L03/10	6	0.2	7.0	50. (0.15)	1.52	1.5×10^{-7}
				8.0	41. (0.13)	1.19	8.83×10^{-8}
				9.0	23. (0.07)	0.93	6.84×10^{-9}
				9.23	19. (0.06)	0.87	3.99×10^{-9}
33 M_{\oplus}	L03/20	6	0.4	7.0	33. (0.10)	1.31	7.30×10^{-8}
				8.0	28. (0.09)	1.08	4.79×10^{-8}
				9.0	20. (0.06)	0.82	2.72×10^{-9}
				10.0	15. (0.05)	0.64	2.02×10^{-10}
18 M_{\oplus}	L03/100	6	0.4	7.0	18. (0.06)	1.35	3.01×10^{-8}
				8.0	16. (0.05)	1.03	1.43×10^{-8}
				9.0	14. (0.04)	0.76	6.02×10^{-10}
				10.0	13. (0.04)	0.61	4.02×10^{-11}

4.2. Evolution with lower evaporation rates

We now consider the effect of different evaporation rates, below the L03 value, on the evolution of the planets. Since the present formation model (Sect. 2) can produce planets with masses smaller than $\sim 100 M_{\oplus}$ ($0.3 M_J$), we consider various objects below this limit. Figure 4 portrays the evolution of planets with initial masses of $50 M_{\oplus}$ ($\sim 0.15 M_J$) and $33 M_{\oplus}$ ($\sim 0.1 M_J$), for evaporation rates corresponding to 1/10 and 1/20 times the L03 value, respectively. For the corresponding planet densities, these rates correspond to $\dot{M} \lesssim 10^{12}$ g/s ($6.8 \times 10^{-9} M_{\oplus}/\text{yr}$) and $\lesssim 5 \times 10^{11}$ g/s ($2.7 \times 10^{-9} M_{\oplus}/\text{yr}$) after 1 Gyr, respectively (see Table 1). As mentioned previously, such 10 to 20 lower rates than the L03 maximum escape value are suggested by various calculations (Lecavelier des Etangs et al. 2004; Yelle 2004) and in particular by the recent multi-layer hydrodynamical calculations of Tian et al. (2005). The planets have a $6 M_{\oplus}$ core and a heavy element enrichment in the envelope of $Z_{\text{env}} = 20\%$ and $Z_{\text{env}} = 40\%$ in mass fraction for initial masses $50 M_{\oplus}$ and $33 M_{\oplus}$, respectively (Fig. 1). As seen in Fig. 4, for such rates, the planets reach a Neptune-mass within a few hundred of million years to a few Gyr, meaning that about 2/3 to 1/2 of their initial mass has been lost under the influence of the parent star's high energy flux.

If we adopt an escape rate corresponding to 1% of the L03 value, i.e. a reduction by a factor 100, in order for the planet to reach a Neptune-mass within ~ 1 Gyr, the initial mass must

be $m_i \simeq 18 M_{\oplus}$ ($0.056 M_J$), as illustrated in Fig. 5. This corresponds to a $\sim 20\%$ mass loss of the planet envelope. For this initial mass, our formation models predict an envelope enrichment of $Z_{\text{env}} \simeq 0.4$.

This abundance of heavy elements strongly depends on the treatment of planetesimal accretion (size of the planetesimals, mechanical destruction in the envelope, convection in the envelope, see Sect. 5.2). To test how sensitive our evolutionary models are to these issues, we performed a comparison calculation in which we assumed that all the accreted planetesimals sink through the envelope without mass loss and fall onto the core. In such a model, a planet with an initial mass of $18 M_{\oplus}$ is found to have a core mass of $\sim 11 M_{\oplus}$ surrounded by an envelope that is essentially composed of H and He. In that case, the evolutionary properties are very similar to the ones obtained with our nominal model (characterised by $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = 0.4$) for L03 evaporation rates divided by 100. The radius found in the former case is $\sim 0.55 R_J$ at 10 Gyr, instead of $0.6 R_J$ for the nominal model (solid curve in Fig. 5). The different evolutionary sequences are summarised in Table 1.

5. Predictions and uncertainties

5.1. Predictions

Our results predict that if the formation and evolution of a hot-Neptune, such as the planet around μ -Ara, proceeds as

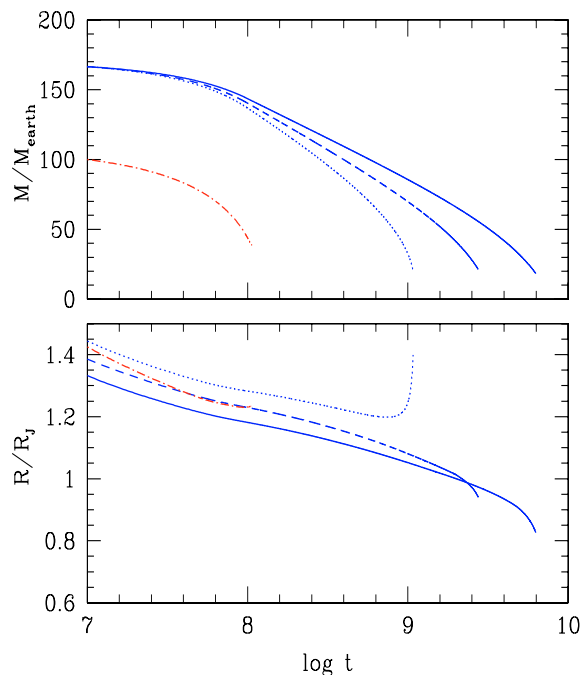


Fig. 2. Evolution of irradiated and evaporating planets at an orbital distance $a = 0.09$ AU from a G-type star. The escape rate of L03 is used. Sequences with initial mass $\sim 166 M_{\oplus}$ ($0.52 M_J$) are shown for different cases: $M_{\text{core}} = 0$ and metallicity in the envelope $Z_{\text{env}} = Z_{\odot}$ (dot); $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = Z_{\odot}$ (dash); $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = 0.1$ (solid). The (red) dash-dotted curve corresponds to an initial mass $\sim 100 M_{\oplus}$ ($0.3 M_J$), with $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = 0.15$, as suggested by Fig. 1.

Table 2. Effect of heavy element content on the radius of a $0.3 M_J$ ($\sim 100 M_{\oplus}$) at different ages. Evolution proceeds at constant mass, i.e. $\dot{M} = 0$. Case A corresponds to $M_{\text{core}} = 0$, $Z_{\text{env}} = 0.02$; Case B corresponds to $M_{\text{core}} = 6 M_{\oplus}$, $Z_{\text{env}} = 0.1$. Irradiation effects are included in both cases.

Age (Gyr)	R/R_J (case A)	R/R_J (case B)
0.5	1.19	1.09
1	1.14	1.05
5	1.06	0.98

described by one of the sequences displayed in Figs. 2–5, its radius should be greater than $\sim 0.6 R_J$, regardless of the escape rate adopted. Baraffe et al. (2005) reached similar conclusions for coreless evolutionary sequences and maximal escape rate. The present work confirms this prediction with more realistic planetary structures, consistent with the core accretion model scenario. It is important to understand the reason for such a large radius and thus to disentangle the various effects: evaporation, irradiation, and heavy-element enrichment. This is illustrated in Table 3, which displays a few values of the radius of Neptune mass planets evolved at constant mass with different Z_{env} and with or without irradiation effects included. We first recall that evaporation effects do not affect the evolution, and thus the mass-radius relationship of the planet, as long as this latter is not in the evaporation runaway phase (see

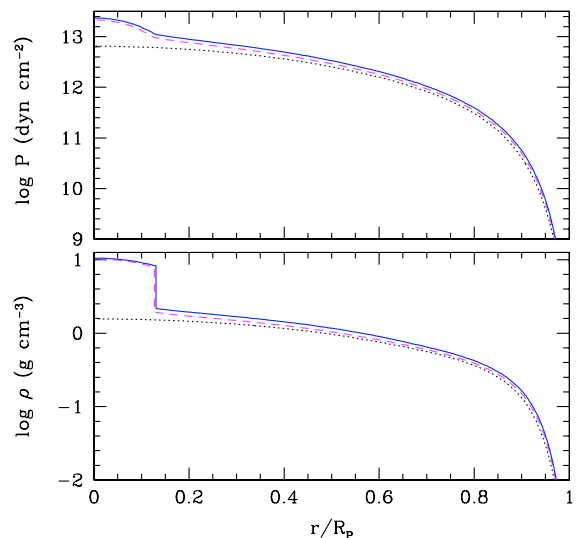


Fig. 3. Pressure (upper panel) and density (lower panel) radial profiles (in units of total radius) for a planet with mass $\sim 166 M_{\oplus}$ ($0.52 M_J$). The structures displayed correspond to an age of 1.5 Gyr. Different cases are shown: $M_{\text{core}} = 0$ and metallicity in the envelope $Z_{\text{env}} = Z_{\odot}$ (dot); $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = Z_{\odot}$ (dash); $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = 0.1$ (solid).

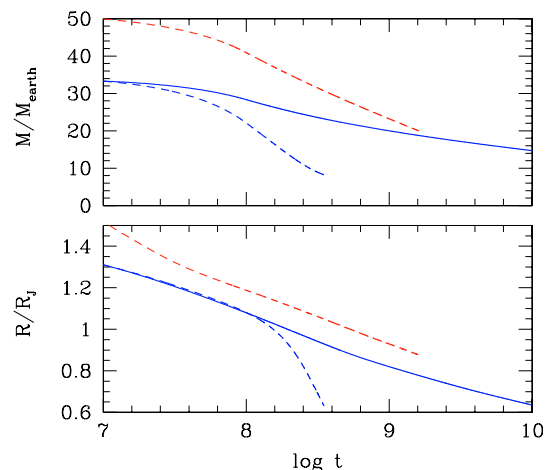


Fig. 4. Same as Fig. 2 for planets with initial mass $50 M_{\oplus}$ ($0.15 M_J$) and $33 M_{\oplus}$ ($0.1 M_J$) and escape rates $1/10$ (dash) and $1/20$ (solid) times the rates of L03. For both cases, $M_{\text{core}} = 6 M_{\oplus}$. For the $50 M_{\oplus}$ planet (upper dashed curve in both panels), $Z_{\text{env}} = 0.2$ and for the $33 M_{\oplus}$ planet, $Z_{\text{env}} = 0.4$ (cf. Fig. 1).

Baraffe et al. 2004). The values of radii given in Table 3 are thus also characteristic of radii obtained for evolutionary sequences including evaporation for same mass and age (if they are not in the runaway phase). As seen in the table (case 1 vs. case 2), an increase in heavy element from $Z_{\text{env}} = 0.1$ to $Z_{\text{env}} = 0.4$ yields a $\sim 15\%$ decrease in the radius of an irradiated Neptune mass planet at 5 Gyr, while for a given metal enrichment $Z_{\text{env}} = 0.4$, irradiation effects increase the radius by $\sim 20\text{--}30\%$ at a given age. Table 3 also shows that radii as large as $0.8 R_J$ after a few Gyr can be reached for an envelope metal fraction $Z_{\text{env}} = 0.1$ in the irradiated case. This envelope metallicity is predicted by our formation model if the initial planet mass is $> 150 M_{\oplus}$ ($\sim 0.6 M_J$). In order for such a high progenitor mass to reach a

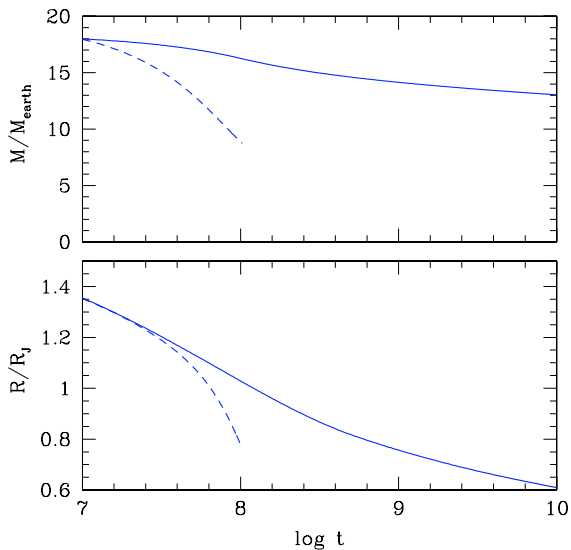


Fig. 5. Same as Fig. 2 for planets with initial masses $18 M_{\oplus}$ ($0.056 M_J$), $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = 0.4$ (see Fig. 1) but different escape rates: 1/20 (dash) and 1/100 (solid) times the rates of L03.

Table 3. Effect of heavy element content and of irradiation on the radius of a Neptune mass planet ($\sim 17 M_{\oplus}$) at different ages. Evolution proceeds at constant mass, i.e. $\dot{M} = 0$. All models have $M_{\text{core}} = 6 M_{\oplus}$. Case 1 corresponds to $Z_{\text{env}} = 0.1$, with irradiation effects (from a Sun at 0.09 AU); Case 2 is similar to case 1 with $Z_{\text{env}} = 0.4$. Case 3 is with $Z_{\text{env}} = 0.4$, without irradiation effects.

Age (Gyr)	R/R_J (case 1)	R/R_J (case 2)	R/R_J (case 3)
1	0.93	0.80	0.62
5	0.83	0.70	0.58

Neptune mass planet within a few gigayears, high escape rates are required (see Sect. 4.1 and Fig. 2). This analysis shows that the aforementioned $\sim 0.6 R_J$ lower limit for the radius of hot Neptunes essentially stems from the effect of irradiation on a planet that retains a substantial gaseous (H, He) envelope.

In contrast, if μ -Ara-like planets are hot cores with small gaseous envelopes, as suggested by Brunini & Cionco (2005), their radius should be significantly smaller, closer to the Neptune planet radius ($\sim 0.35 R_J$). Radius determinations of hot-Neptunes could thus distinguish between different formation scenarios. They may also provide information about the efficiency of the evaporation process, since measurements of radii larger than $\sim 0.8 R_J$ for planets older than a few Gyr would indicate high initial progenitor mass and thus high escape rates, as mentioned above. Evaporation rates also affect the time spent in the mass range $10\text{--}20 M_{\oplus}$ ($0.03\text{--}0.06 M_J$). Evolution of planets at the maximal evaporation rate proceeds rapidly, and the corresponding detection probability while the planet lies within this mass range is much smaller than for significantly reduced rates.

The internal composition also strongly affects the evolution of the planet. The sequence with initial mass $0.52 M_J$ ($166 M_{\oplus}$), with $M_{\text{core}} = 6 M_{\oplus}$, and $Z_{\text{env}} = 0.1$ (solid line in Fig. 2) spends 1.1 Gyr with a mass $< 30 M_{\oplus}$ ($0.094 M_J$). For

the same object without heavy element enrichment in the envelope (dashed line in Fig. 2), this time is reduced by a factor ~ 4 . Finally, for the same planet without a core and heavy element enrichment (dotted line in Fig. 2), the time is reduced by a factor ~ 15 . A planet with initial mass $1 M_J$, with the same $M_{\text{core}} = 6 M_{\oplus}$ and $Z_{\text{env}} = 0.1$, loses only 15% of its total mass after 10 Gyr, using the rates of L03 at an orbital distance $a = 0.09$ AU. Jupiter-like planets thus remain almost unaffected by evaporation at such orbital distances. Assuming the same formation probability for a $0.5 M_J$ and a $1 M_J$ planet, our model predicts for the high L03 evaporation rate a significantly lower detection probability for hot-Neptunes than for hot-Jupiters. Roughly 10 times smaller, comparing the 1.1 Gyr lifetime of a planet with mass $< 30 M_{\oplus}$ to the 10 Gyr lifetime of a Jupiter-mass planet. Note that without heavy element enrichment (in the core and in the envelope), this probability would even be significantly smaller.

5.2. Uncertainties and model improvements

In the following, we describe a number of assumptions used in the core accretion model and the evolutionary calculations, which need to be improved in the future.

A main uncertainty in the formation model stems from the treatment of the accreted matter and its contribution to the gravitational potential of the planet. As done in Pollack et al. (1996), the mass of accreted planetesimals is systematically added to the core mass in the gravitational potential calculation, whether they are destroyed in the envelope or fall onto the core (this is the so-called “sinking approximation”, see Pollack et al. 1996; Alibert et al. 2005a). Work is underway to include a more consistent treatment of the gravitational potential, taking the distribution of the accreted matter within the envelope into account. Note, however, that planetesimals are destroyed very deep in the envelope⁴, in regions close to the core/envelope interface.

Concerning evolutionary models, we have already discussed the assumption used for the treatment of heavy element enrichment in the envelope (see Sect. 3.3). A more consistent treatment of such enrichment may affect the envelope structure and, hence, the mean density of the planet and the evaporation rates. It is difficult to estimate the differences between an envelope structure based on the assumption of an effective helium fraction, as used here, and the one based on a consistent EOS. For values up to $Z \approx 10\%$ of heavy element enrichment in the envelope, however, we anticipate that a proper treatment of the equation of state should not drastically affect the present results.

Another important assumption stems from the irradiated atmosphere models used as an outer boundary condition for the interior structure. These models (Barman et al. 2001, 2005) include irradiation effects with up-to-date molecular and grain opacities, but have been computed for solar composition. Taking the increase of metallicity into account in atmospheric calculations up to levels inferred by the present formation

⁴ Deeper than in former calculations by Pollack et al. (1996), see Alibert et al. (2005a).

models is a complex task and a long-term project (see Chabrier et al. 2006, for preliminary results). We thus use solar composition atmospheric models here. For close-in planets, however, irradiation effects from the parent star yield planetary atmospheric structures that are radiative even at deep levels (Barman et al. 2001; Baraffe et al. 2003). Therefore, when the proto-planet accretes, the bulk of planetesimals either settle down to the core or are destroyed in the deep envelope. Only the smallest planetesimals may pollute the atmosphere. If convection in the interior is efficient, heavy elements will be mixed homogeneously, but should not pollute the outermost, irradiated, radiative surface layers. This is no longer true, of course, for non-irradiated planets, as measurements of the Jupiter atmosphere by the Galileo probe indicate abundances of CH_4 , H_2S , NH_3 three times solar. For hot-Neptunes and hot-Jupiters, we may thus expect the heavy element enrichment in the atmosphere to be less dramatic than in the convective envelope. This point is also important for the treatment of evaporation, since the model of L03 applies to hydrogen dominated upper atmosphere. The effect of heavy element contamination on evaporation rates still needs to be explored. Intuitively, one expects that an enrichment in heavy elements yields a less efficient evaporation process. However, the effect is certainly more complex, since a change in the chemical composition will affect the whole chemistry and cooling properties of the upper atmosphere. The effects of heavy element enrichment on atmosphere structures and evaporation process are thus important problems to study in the future.

6. Conclusion

The present study presents first consistent calculations between planet formation, within an improved version of the core accretion model, and subsequent evolution, including irradiation and evaporation. These calculations can explain, among others, the existence of hot-Neptune planets, like the one recently discovered around μ Ara. The formation model constructed by Alibert et al. (2005a) allows the existence of planets with initial mass ranging from $\sim 14 M_\oplus$ ($0.044 M_J$) to $\sim 400 M_\oplus$ ($1.25 M_J$). The planets end up having a dense core of $\sim 6 M_\oplus$, independent of the total mass, and heavy element enrichment in the envelope varying from 10% to 80% from the largest to the smallest mass. With maximal evaporation rates, as predicted by the models of L03, the progenitor of Gyr-old μ Ara-like planets has an initial mass $\sim 0.5 M_J$. For evaporation rates that are a factor 10 to 20 smaller, the progenitor has a mass in the range $\sim 0.1\text{--}0.15 M_J$ ($\sim 30\text{--}50 M_\oplus$), meaning the planet has lost 1/2 to 2/3 of its initial envelope. For planets already forming in the Neptune-mass range, $\lesssim 20 M_\oplus$, the L03 rate must be reduced by a factor 1/100 for the planets to survive to evaporation at 0.09 AU from their sun. In this latter case, the evaporation rates vary from $\sim 10^{11}$ g/s ($6 \times 10^{-10} M_\oplus/\text{yr}$), at an age of 1 Gyr, to $\sim 7 \times 10^9$ g/s ($4 \times 10^{-11} M_\oplus/\text{yr}$) at 10 Gyr. Our current knowledge of evaporation processes in exoplanet atmospheres is still too embryonic to favour or exclude any of these values, although recent hydrodynamical simulations favour the intermediate value (Tian et al. 2005). The range of escape rates

explored in the present calculations, however, should bracket the “real” solution.

Our calculations provide an *observable diagnostic* for such a core-accretion-irradiation scenario for the formation and evolution of hot-Neptunes, namely radii $\gtrsim 0.6 R_J$ for Neptune-mass planets. Also, the scenario with the maximal evaporation rate may provide a statistical signature in terms of the number of hot-Neptunes, which is expected to be much smaller than the number of hot-Jupiter-like planets. In the absence of detailed statistical analysis, a crude comparison of evolutionary timescales suggests 10 times less hot-Neptunes than hot-Jupiters at $a \simeq 0.09$ AU, for the L03 maximal escape rate. The detection of a larger fraction of hot-Neptunes at this orbital separation would thus favour scenarios with lower evaporation rates.

A complete understanding of the formation and evolution of light, Neptune-mass planets is still far from reach. The present attempt to derive a *consistent picture* from the planet genesis to its present conditions provides one possible alternative path for the birth and fate of these objects. It also points to several issues to be addressed for a better modelling of planet formation and of interior and atmospheric structures. Further improvement requires in particular (i) a better treatment of heavy element enrichment, both in the envelope and in the atmosphere and (ii) a better understanding of evaporation processes.

In parallel with such theoretical developments, observations of planets down to a few Earth-masses and located at orbital separations that are large enough ($a \gtrsim 1$ AU) to remain unaffected by evaporation would provide strong constraints on the formation scenarios of light planets. This is another appealing challenge for planet hunters.

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