

# Electrostatic instability in electron-positron pairs injected in an external electric field

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**Abstract.** Motivated by the particle acceleration problem in pulsars, we numerically investigate electrostatic instability of electron-positron pairs injected in an external electric field. The electric field is expected to be so strong that we cannot neglect effects of spatial variation in the 0th order distribution functions on the scale of the plasma oscillation. We assume that pairs are injected mono-energetically with 4-velocity  $u_0 > 0$  in a constant external electric field by which electrons (positrons) are accelerated (decelerated). By solving linear perturbations of the field and distribution functions of pairs, we find a new type of electrostatic instability. The properties of the instability are characterized by  $u_0$  and the ratio  $R$  of the braking time-scale (determined by the external electric field) to the time-scale of the plasma oscillation. The growth rate is as large as a few times the plasma frequency. We discuss the possibility that the excited waves prevent positrons from returning to the stellar surface.

**Key words.** instabilities – plasmas – stars: pulsars: general

## 1. Introduction

Waves in homogeneous plasmas are well described by the linear perturbations that have the Fourier-harmonic dependence of the form  $\exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)]$ . The properties of various wave modes have been extensively studied for various physical situations. Plasma instabilities, such as the two-stream instability, the Weibel instability (Weibel 1959), and many others have been recognized as important processes in astrophysics as well as in laboratory situations. For inhomogeneous plasmas, the Fourier-harmonic dependence is not assured in a strict sense. If the wavelength is short enough compared with the scale of the inhomogeneities, we may neglect effects of the spatial gradient of the distribution functions of particles, and carry out the Fourier-harmonic expansion. We call this treatment the local approximation hereafter.

When a static electric field exists in a plasma, it accelerates particles and leads to inhomogeneous velocity distributions of particles. Wave properties in an electric field were studied in geophysical researches, adopting the local approximation (e.g. Misra & Singh 1977; Misra et al. 1979; Das & Singh 1982). In many high-energy astronomical phenomena, electric field is expected to be so strong that the local approximation is not adequately applied. Such a situation typically appears in the magnetosphere of pulsars.

A spinning magnetized neutron star provides huge electric potential differences between different parts of its surface as a result of unipolar induction (Goldreich & Julian 1969). A part of the potential difference will be expended as an electric field along the magnetic field somewhere in the magnetosphere. Although a fully consistent model for the pulsar magnetosphere has yet to be constructed, several promising models have been considered. Among them, the polar cap model (Sturrock 1971; Ruderman & Sutherland 1975) assumes that an electric field  $E_{\parallel}$  parallel to the magnetic field lines exists just above the magnetic poles. The electric field accelerates charged particles up to TeV energies, and resultant curvature radiation from these particles produces copious electron-positron pairs through magnetic pair production. These pairs may provide gamma-ray emission by curvature radiation or synchrotron radiation as well as coherent radio emission and a source for the pulsar wind.

The localized potential drop is maintained by a pair of anode and cathode regions. In the cathode region the space charge density  $\rho$  deviates from the Goldreich-Julian (GJ) density  $\rho_{\text{GJ}} \approx -\Omega B_z / 2\pi c$  negatively, where  $\Omega = 2\pi/T$  is the angular velocity of the star and  $B_z$  is the magnetic field strength along the rotation axis. On the other hand,  $\rho$  deviates positively for the anode. Outside the accelerator the electric field is screened out. In the polar cap model, especially for space charge limited flow model (Fawley et al. 1977; Scharlemann et al. 1978; Arons & Scharlemann 1979), where electrons can freely escape from the stellar surface, i.e.,  $E_{\parallel} = 0$  on the stellar surface, the formation

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mechanism of a static pair of anode and cathode, which can sustain enough potential drop for pair production, is a long-standing issue. Current flows steadily along the magnetic field line so that the charge density is determined by the magnitude of the current and field geometry with suitable boundary conditions. Good examples for space charge limited flow are in Shibata (1997). When  $\rho_{\text{GJ}} < 0$  and the electron density ( $n \propto B/v \simeq B/c$ , where  $B$  is the magnetic field strength) is larger than the GJ number density ( $n_{\text{GJ}} = |\rho_{\text{GJ}}/e| \propto B_z$ , where  $-e$  is the electronic charge) on the stellar surface, a cathode is provided on the stellar surface. The cathode accelerates electrons. When the field lines curve away from the rotation axis,  $n$  deviates  $n_{\text{GJ}}$  negatively so much more for “away” curvature, which enhances the cathode. Hence electrons continue to be accelerated, and potential drop becomes large enough to produce pairs. The mechanism of the electric field screening, i.e., a way to provide an anode, has been considered to be provided by pair polarization. Although most papers take it for granted that copious pair production can instantly screen the field, recently Shibata et al. (1998, 2002) casted doubt on this issue; the electric field screening is not an easy task as considered usually.

Shibata et al. (1998, 2002) investigated the screening of electric fields in the pair production region. They found that the thickness of the screening layer is restricted to be as small as the braking distance  $l_E = m_e c^2 / |eE_{\parallel}|$  for which decelerating particles become non-relativistic, where  $m_e$  is the electron mass. If the above condition does not hold, too many positrons are reflected back and destroy the negative charge region (cathode). In order to screen the electric field consistently, huge number of pairs should be injected within the small thickness  $l_E$ . The required pair multiplication factor per one primary particle is enormously large and cannot be realized in the conventional pair creation models. Thus, some other ingredients are required for the electric field screening.

In the previous studies of the screening, pairs were assumed to accelerate or decelerate along the 0th order trajectories determined by  $E_{\parallel}$ . However, if an electrostatic (longitudinal) instability occurs, the excited waves may produce effective friction. Friction on particles change the charge polarization process. Thus, instability in the presence of an external electric field may have a relevance to this problem, which motivates us to make an exploratory study in this paper. Various instability mechanisms outside the accelerator have been studied for pair plasmas along with a primary beam in relation to coherent radio emission mechanisms (Hinata 1976; Cheng & Ruderman 1977; Asséo et al. 1980, 1983; Lyubarskii 1992). However, plasma instability inside the accelerator has not been studied. The Lorentz factor of the primary beam ( $\Gamma \simeq 10^6$ – $10^7$ ) is much larger than that of electron–positron pairs ( $\gamma \simeq 10^2$ – $10^3$ ). In such a case, it is difficult to induce the two-stream instability. However, it is not clear whether pairs stably flow in the electric field  $E_{\parallel}$  or not. For typical pulsar parameters, the braking distance is  $l_E \simeq 10^{-2}$  cm, while the length-scale of plasma oscillation is  $(m_e c^2 / 4\pi e^2 n_{\text{GJ}})^{1/2} \simeq 1$  cm (Shibata et al. 1998, 2002), where  $n_{\text{GJ}} = |\rho_{\text{GJ}}/e|$ . Particles are accelerated or decelerated in a period that is shorter than the typical time scale of plasma oscillation. Therefore, the distribution function is not uniform on

the scale we consider. The local approximation is not adequate to deal with plasma oscillation.

Properties of a pair plasma in such a strong electric field have not been studied. Such studies may bring us a new key to understanding astrophysical phenomena. One of our purposes is to examine if electrostatic instability makes the screening easier. As the first step toward this purpose, in this paper we investigate electrostatic instability of pairs injected in an external electric field. Investigations of electrostatic waves, when we cannot adopt the local approximation, may be important not only for pulsars but also for other high-energy astronomical phenomena. Since an analytical treatment is difficult in this case, we simulate electrostatic waves numerically in idealized situations. In Sect. 2 we mention the two-stream instability without an electric field for comparison. In Sect. 3 we describe the situation we consider. The most simplified physical condition is adopted; the electric field, injection rate, injection energy are constant. In Sect. 4 we explain our numerical method. Our method can treat only linear waves. Numerical results are summarized in Sect. 5. Our results show a new type of plasma instability due to electric field. Section 6 is devoted to summary and discussion.

## 2. Two stream instability with local approximation

First of all, for reference, we consider one-dimensional (1-D) homogeneous flows of electrons and positrons in the absence of electric field. The pair-distributions are functions of the 4-velocity  $u = \beta / \sqrt{1 - \beta^2}$ , where  $\beta = v/c$ . For simplicity, we assume that the distribution functions are expressed by the step function  $\Theta(u)$  as

$$f_- = C [\Theta(u - u_3) - \Theta(u - u_4)] \quad \text{for electrons,} \quad (1)$$

$$f_+ = C [\Theta(u - u_1) - \Theta(u - u_2)] \quad \text{for positrons,} \quad (2)$$

where  $u_4 > u_3 \geq u_2 > u_1$  with constant  $u_i$ . Electrons homogeneously distribute between  $u_3$  and  $u_4$  in  $u$ -space, while positrons similarly distribute between  $u_1$  and  $u_2$  (see Fig. 1). As will be seen in the next section, the distributions in an external electric field that we will consider are similar to the above distribution functions. In the linear perturbation theory, the dispersion relation for electrostatic waves (Baldwin et al. 1969) is given by

$$D(k, \omega) = 1 + \sum_a \frac{4\pi q_a^2}{\omega m_a} \int d^3u \frac{\beta}{\omega - kv} \frac{\partial f_a}{\partial u} = 0, \quad (3)$$

where  $q_a$  and  $m_a$  denote charge and mass of the particle species  $a$ . Solutions of the dispersion relation usually yield a complex frequency. The imaginary part of  $\omega$  corresponds to the growth rate of waves,  $\omega_i$ . A positive growth rate  $\omega_i > 0$  implies an exponentially growing wave, while a negative  $\omega_i$  does an exponentially damped wave. Adopting the distribution functions (1) and (2), we obtain

$$\begin{aligned} \tilde{\omega} + \beta_1 / (\tilde{\omega} - \tilde{k}\beta_1) - \beta_2 / (\tilde{\omega} - \tilde{k}\beta_2) + \beta_3 / (\tilde{\omega} - \tilde{k}\beta_3) \\ - \beta_4 / (\tilde{\omega} - \tilde{k}\beta_4) = 0, \end{aligned} \quad (4)$$

where the subscripts  $i$  denote corresponding values to  $u_i$ ,  $\tilde{\omega} = \omega/\omega_p$ ,  $\tilde{k} = kc/\omega_p$ , and  $\omega_p^2 = 4\pi e^2 C/m_e$ . The above equation

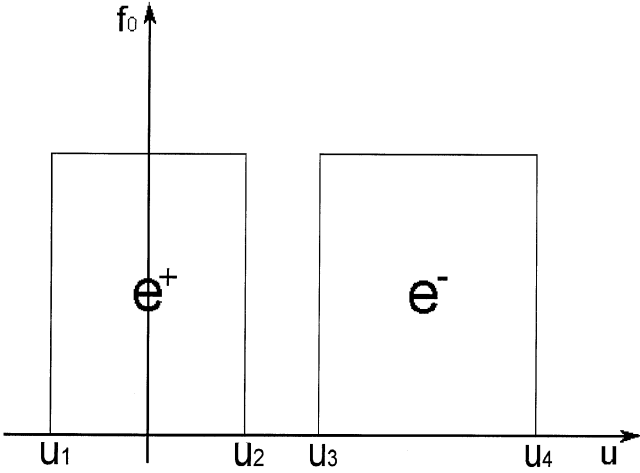


Fig. 1. Distribution function of the toy model in Sect. 2.

is reduced to a quartic one. Although it is messy to obtain the solutions analytically, we can numerically confirm that  $\tilde{\omega}$  has complex values, for a given  $\tilde{k}$ . The solutions obey the usual two-stream instability properties; the larger the difference in velocities of electron and positron flows is, the smaller the maximum growth rate and the corresponding  $\tilde{k}$  become.

When  $u_2 = u_3$ , electrons and positrons distribute continuously, though the average velocity is different. In this case, the dispersion relation is reduced to a quadratic equation, and we obtain

$$\tilde{\omega} = \tilde{k}(\beta_1 + \beta_4)/2 \pm \frac{1}{2} \sqrt{\tilde{k}^2(\beta_4 - \beta_1)^2 + 4(\beta_4 - \beta_1)}. \quad (5)$$

Apparently,  $\tilde{\omega}$  is real. Therefore, even though the velocities of the two flows are different, instabilities are not excited in homogeneous pair plasmas, as long as electrons and positrons distribute continuously in  $u$ -space. This is because the absolute values of their charges and masses are the same for electron-positron pairs. As will be shown in the next section, the distribution of pairs injected in an external electric field is similar to the above distribution locally. However, we will show that an electric field induces instability.

### 3. Pair injection in an external electric field

In a strong magnetic field as in the pulsar polar caps, transverse momenta of relativistic electrons and positrons are lost during a very short time via synchrotron radiation. These particles move along the magnetic field lines and their distribution functions are spatially 1-D. In this section we consider 1-D distribution functions of electron-positron pairs injected in an external electric field that is parallel to magnetic field lines. As the conventional theories for the two-stream instability implicitly assume, we neglect the toroidal magnetic field due to the global current of plasma. Only within this treatment the 1-D approximation is adequate. In order to simplify the situation, we assume the external electric field  $E_0$  is constant. In pulsar models, there exists a primary beam which produces current flow. The external electric field is determined by the complicated combination of the beam current, injected pair plasma, and GJ density. The

constant  $E_0$  requires that the charge density of the beam, pairs, and GJ density cancels out, which may be an artificial situation. As will be discussed in Sect. 6, the approximation of constant  $E_0$  is justified for a smaller rate of pair injection, which may be realized for actual pulsar parameters.

Anyway, we depart from actual pulsar physics, and deal with plasma physics in an idealized situation hereafter. We adopt the 1-D approximation and assume the existence of the background charge which leads to constant  $E_0$ . In our treatment we totally neglects effects of the existence of the background on development of waves, and consider the behaviour of pair plasma only. Pairs are assumed to be injected between  $z = 0$  and  $z_M$  at a constant rate  $\dot{n}_0$ . In our calculation the pair injection is monoenergetic with 4-velocity  $u = u_0 > 0$ . Let us start from assuming the steady state of flows of electron-positron pairs. The distribution function  $f_0(z, u)$  satisfies the Boltzmann-Vlasov equation

$$v \frac{\partial f_0}{\partial z} + \frac{qE_0}{m_e c} \frac{\partial f_0}{\partial u} = \dot{n}_0 \delta(u - u_0), \quad (6)$$

for  $0 < z < z_M$ . Hereafter we assume  $E_0 < 0$  for definiteness.

Then, injected positrons ( $q = e > 0$ ) will be decelerated as

$$u = u_0 - (t - t_{\text{inj}})/t_E, \quad (7)$$

where  $t_E = m_e c / |eE_0|$ , and  $t_{\text{inj}}$  is the injection time. The value of  $t_E$  represents the time-scale in which the Lorentz factor of decelerating particles decreases by one. Positrons will be turned back following the trajectory

$$z/l_E = \gamma_0 - \gamma + z_{\text{inj}}/l_E, \quad (8)$$

where  $\gamma = \sqrt{u^2 + 1}$ ,  $\gamma_0 = \sqrt{u_0^2 + 1}$ ,  $l_E = ct_E$ , and  $z_{\text{inj}}$  is the injection point.

Electrons ( $q = -e < 0$ ) will continue to be accelerated as

$$u = u_0 + (t - t_{\text{inj}})/t_E, \quad (9)$$

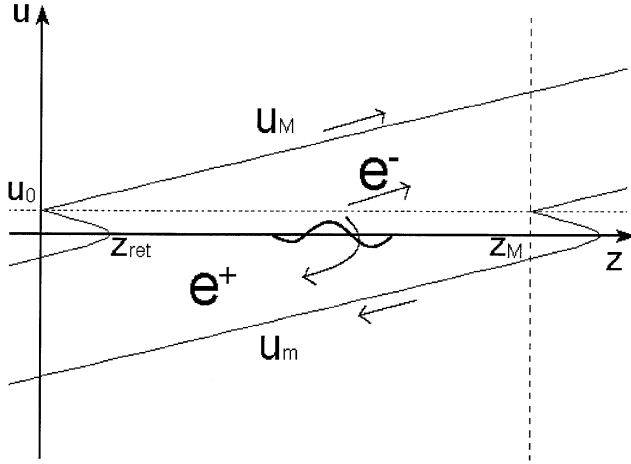
$$z/l_E = \gamma - \gamma_0 + z_{\text{inj}}/l_E. \quad (10)$$

In our model electrons and positrons distribute in the 2-D phase space (spatially 1-D) as is illustrated in Fig. 2. In the phase space the trajectory of electrons injected at  $z = 0$  provides the maximum 4-velocity  $u_M = u_M(z)$  at  $z$ , while  $u$  of positrons injected at  $z = z_M$  corresponds to the minimum 4-velocity  $u_m = u_m(z)$  at  $z$ . The region enclosed by the curves in Fig. 2 is composed from the family of trajectories of pairs. As long as pairs are uniformly injected at a constant rate, the distribution function is constant because of the Liouville's theorem. The distribution functions between  $z = z_{\text{ret}} = (\gamma_0 - 1)l_E$  (see Fig. 2) and  $z_M$  are the same as in Fig. 1 with  $u_1 = u_m$ ,  $u_4 = u_M$ , and  $u_2 = u_3 = u_0$ . In this region the distribution functions are expressed as

$$f_{0-} = n_0 [\Theta(u - u_0) - \Theta(u - u_M(z))] \quad \text{for electrons,} \quad (11)$$

$$f_{0+} = n_0 [\Theta(u - u_m(z)) - \Theta(u - u_0)] \quad \text{for positrons,} \quad (12)$$

where  $n_0 = t_E \dot{n}_0$ . It is easily confirmed that  $f_{0-}$  and  $f_{0+}$  satisfy the Boltzmann-Vlasov Eq. (6). Electrons and positrons distribute continuously. The charge density given by Eqs. (11)



**Fig. 2.** Distributions of electrons and positrons in the phase space. Electrons (positrons) are in the region  $u \geq u_0$  ( $u \leq u_0$ ). The electric field  $\mathcal{E}$  (schematically shown as the central corrugation in the figure) generates the disturbances  $F$  at the injection of pairs ( $u = u_0$ ),  $u = u_M$ , and  $u = u_m$ . The arrows schematically show the transports of  $F$  (see text).

and (12) is not strictly zero so that our approximation of constant electric field is not self-consistent, unless the background charge cancels the total charge. If the background does not play such a role, our treatment is correct only when  $n_0$  is small enough. The quantitative condition for  $n_0$  will be discussed in Sect. 6. As was discussed in Sect. 2, if we neglect the electric field and adopt the local approximation, no wave instability is generated for this continuous distribution. However, in our consideration the time-scale  $t_E$  is too short (or  $l_E$  is too short) to adopt the local approximation.

In the region  $0 < z < z_{\text{ret}}$  the pair distribution has separate two streams. Since this region is peculiar in our idealized model, we do not consider the waves in this region hereafter.

#### 4. Numerical method

We consider linear perturbations of the distribution function and electric field as

$$f = f_0(z, u) + f_1(z, u, t), \quad (13)$$

$$E_z = E_0 + E_1(z, t), \quad (14)$$

where  $|f_1| \ll f_0$  and  $|E_1| \ll |E_0|$ . Since the unperturbed distributions of pairs are inhomogeneous, we cannot carry out a Fourier-harmonic expansion of the perturbations. Therefore, we solve time development of the perturbations rather than obtain the linear modes. As we have seen in the previous section, our idealized situations lead to the simple distribution function  $f_0$ , which makes numerical computations easier.

We directly solve the perturbations  $f_1$  and  $E_1$  from the linearly perturbed Boltzmann-Vlasov equation

$$\frac{Df_1}{Dt} \equiv \frac{\partial f_1}{\partial t} + v \frac{\partial f_1}{\partial z} + \frac{qE_0}{m_e c} \frac{\partial f_1}{\partial u} = -\frac{qE_1}{m_e c} \frac{\partial f_0}{\partial u}, \quad (15)$$

where  $Dt$  is the differential along the 0th order trajectory. The Ampère-Maxwell law is written by

$$\frac{\partial E_1}{\partial t} = -4\pi j, \quad (16)$$

where  $j$  is the perturbed current density. Initially  $E_1$  depends on only  $z$ , and the magnetic field  $B_x = B_y = 0$ . Then, the Faraday law ensures that  $B_x$  and  $B_y$  remain zero all the time. The component  $B_z$  does not affect time developments of  $E_z$  and  $f_1$ .

We set up grids along the 0th order trajectories of pairs in the 2-D phase space ( $s = z/l_E, u$ ). Following the Lagrangian method we follow time evolution of  $f_1$  in these grids from Eq. (15). For  $s_{\text{ret}} \leq s \leq s_M$ , Eq. (15) is rewritten as

$$\frac{DF_-}{D\tau} = \mathcal{E}(s) [\delta(u - u_0) - \delta(u - u_M(s))], \quad (17)$$

$$\frac{DF_+}{D\tau} = -\mathcal{E}(s) [\delta(u - u_m(s)) - \delta(u - u_0)], \quad (18)$$

where we introduce dimensionless values  $F = f_1 l_E$ ,  $\tau = t/t_E$ , and  $\mathcal{E} = l_E n_0 E_1 / |E_0|$ . At the injection of pairs ( $u = u_0$ )  $F$  obtains a finite value in proportion to the perturbed electric field  $\mathcal{E}$ . Then, the value of  $F$  is propagated along the 0th order trajectory (see Fig. 2), i.e.,  $F$  is conserved along the characteristics. The disturbance  $F_+$  initially propagates forward, and then turns back at a distance  $\gamma_0 - 1$  from the injection point, while  $F_-$  simply propagates forward. For  $|u| < u_0$ ,  $F_+(u, s)$  is originated from inner injection positions between  $s - \gamma_0 + 1$  and  $s$ . On the other hand, for  $|u| > u_0$ ,  $F_+(u, s)$  is originated from the outer injection positions  $> s$ . The values of  $F$  on the trajectories of the pairs injected at  $z = 0$  and  $z = z_M$  are special and they will continue to be changed by the electric field during propagation.

On the other hand, the evolution of electric field is calculated by the Eulerian method;

$$\frac{\partial \mathcal{E}}{\partial \tau} = R^2 \left[ \int du \beta_- F_- - \int du \beta_+ F_+ \right], \quad (19)$$

where  $R = \omega_p t_E$  with the plasma frequency  $\omega_p = \sqrt{4\pi e^2 n_0 / m_e}$ . The parameter  $R$  is the ratio of the braking time-scale to the typical time-scale of the plasma oscillation. In our case  $R \propto t_E^{3/2} \propto |E_0|^{-3/2}$ . In the pulsar polar cap model electric field is so strong that  $R$  is much smaller than one. Since the charge conservation is assured by the Boltzmann-Vlasov equation, the Gauss law,

$$\frac{\partial \mathcal{E}}{\partial s} = R^2 \left[ \int du F_+ - \int du F_- \right], \quad (20)$$

is automatically satisfied, if the law is initially satisfied. The configuration of  $\mathcal{E}$  is determined by the charge density that is an integral of  $F_+ - F_-$ . Since the propagations of  $F_+$  and  $F_-$  are complex, it is difficult to predict the behaviour of  $\mathcal{E}$  intuitively.

We have ascertained that results obtained from our numerical code satisfy the Gauss law. In addition we have checked our code by reproducing two stream instability in the absence of electric field, using the distribution functions in Sect. 2.

**Table 1.** Parameters and initial conditions in computation.

	$R$	$u_0$	$s_M$	$s_i$	$k_i$	$k_\Delta$
RUN1	0.1	10	300	120	0.1	1.0
RUN2	0.1	300	1500	500	0.1	0.2
RUN3	0.1	3	500	420	1.0	0.2
RUN4	0.01	100	1500	200	0.01	1
RUN5	0.01	30	1500	1320	0.05	0.01
RUN6	0.01	3	2000	1820	0.05	0.01

## 5. Results

We have simulated electrostatic waves from various initial conditions and parameter values. We are interested in a parameter region  $R < 1$ . In this region the typical wavelength of plasma oscillation  $\sim l_p = c/\omega_p$  is longer than the braking distance  $l_E = Rl_p$ . We give an initial disturbance in a spatially limited region. As will be shown below, when  $Ru_0 \geq \sim 1$ , we find an absolute instability in which disturbance grows in amplitude but always embraces the original region, where the initial disturbances of  $F$  and  $\mathcal{E}$  are given. The condition  $Ru_0 \geq 1$  means that the distance injected positrons move forward before they turn back,  $l_E(\gamma_0 - 1) \sim l_E u_0$ , is larger than the length-scale of the plasma oscillation  $l_p$ . On the other hand, for  $\sim 0.1 \leq Ru_0 \leq \sim 1$ , we find a convective instability in which disturbance grows while propagating away from the original region. The waves excited from the convective instability propagate backward. Empirically, the results do not largely depend on the spatial size of the pair injection region  $s_M = z_M/l_E$ , which determines the minimum 4-velocity  $u_m(z)$ . In this section we show some examples of the instabilities found in our simulations. The parameters and initial conditions are summarized in Table 1.

The initial conditions are taken to satisfy the Gauss law. Given the parameters  $R$ ,  $u_0$ , and  $s_M$ , we set the initial values of the disturbances  $F$  and  $\mathcal{E}$  as

$$\mathcal{E}(s) = \mathcal{E}_i(\cos(k_i(s - s_i)) - 1), \quad (21)$$

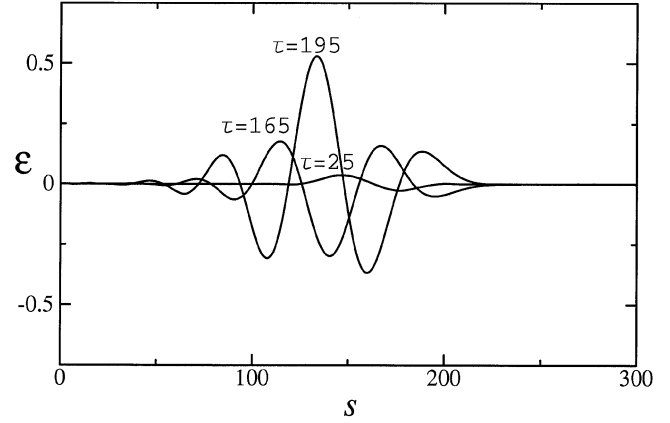
$$F_-(s, u) = \frac{(k_i - k_\Delta)\mathcal{E}_i}{2R^2} \sin(k_i(s - s_i))\delta(u - u_0), \quad (22)$$

$$F_+(s, u) = -\frac{(k_i + k_\Delta)\mathcal{E}_i}{2R^2} \sin(k_i(s - s_i))\delta(u - u_0), \quad (23)$$

for  $s_i \leq s \leq s_i + 2\pi/k_i$ .

The initial disturbances are confined within a small spatial region of one wavelength ( $2\pi/k_i \ll s_M$ ). In the other region there is no disturbance. The initial perturbation of  $\mathcal{E}(s)$  has a single sign with a form of a cosine curve. On the other hand,  $F_-$  and  $F_+$  have the form of a sine curve, satisfying the Gauss law. The parameter  $k_\Delta$  induces asymmetry of the charge density of electrons and positrons. The total charge density ( $\propto F_+ - F_-$ ) does not depend on  $k_\Delta$ , and also has a form of a sine curve with  $k_i$ . We have tried various values of  $k_\Delta$  in our simulations and find that the ratio of  $F_-$  to  $F_+$  is settled as time passes irrespective of  $k_\Delta$ . When instabilities occur, growing wave modes end up dominating other modes. Therefore, results do not largely depend on the initial conditions.

First we describe the results for  $R = 0.1$  (the calculations RUN1–RUN3) and see the behaviour of the linear perturbations

**Fig. 3.** Electrostatic waves for RUN1 for  $\tau = 25, 165$ , and  $195$ .

for various values of the parameter  $u_0$ . In Figs. 3 and 4 we plot electric field  $\mathcal{E}$  for RUN1 for which  $Ru_0 = 1$ . In this calculation, the initial disturbance exists from  $s_i = 120$  to  $s_i + 2\pi/k_i \simeq 180$ . Since positrons will turn the direction of motion after  $\tau \sim 10$ , we must follow the disturbance much longer than that. As is illustrated in Fig. 3, at  $\tau = 25$  the disturbance  $\mathcal{E}$  remains in the originally disturbed region. As time passes, the amplitude around the original region of the disturbance grows, and the wave packet spreads backward little by little. In the forward region  $s > \sim 200$ , we do not observe any growing wave. Although particles move almost at the light velocity, the disturbances remain around the original region and the wave packet does not spread at the light velocity. In order to show the growth of the amplitude, in Fig. 5 we plot the time evolution of  $E_M$  that is the electric field for the maximum amplitude. The maximum electric field  $E_M$  oscillates over positive and negative regions. Initially  $E_M$  changes complicatedly because of the initial conditions we artificially set. As time passes,  $E_M$  smoothly grows while oscillating. The period of the oscillation of  $E_M$  is  $\simeq 20t_E = 2/\omega_p$ . The growing time  $t_i$ , where  $|E_M| \sim \exp(t/t_i)$ , is  $\simeq 50t_E = 5/\omega_p$ .

Let us look into the the behaviour on a shorter time scale for RUN1. At a fixed position  $s$ , the local electric field  $\mathcal{E}(s)$  grows while oscillating. However, when we see spatio-temporal behaviour, we notice that the spatial pattern propagates backward while changing their amplitude. As is shown in Fig. 4, waves propagate from  $s \simeq 200 \simeq s_i + 2\pi/k_i$  backward with a growing amplitude. The amplitude becomes maximum around  $s_i = 120$ , and then the amplitude declines while propagating backward. This decline leads to the confinement of the wave packet. Even though waves pass the disturbed region many times, waves exist only in a spatially limited region. In the wave packet of  $\mathcal{E}(s)$  there are multiple peaks and bottoms, and the most prominent one of them corresponds to  $E_M$ . If we define the “phase velocity” as the velocity of peaks (or bottoms), the phase velocity ( $\simeq -2.8c$ ) turns out to be faster than the velocity of light. As peaks propagate backward, the peak or bottom associated with  $E_M$  alternates one after another, so that the position of  $E_M$  hangs around the original region. When we define the group velocity by averaging the velocity of the position of  $\mathcal{E} = E_M$  for a longer time scale than the oscillation period, the group

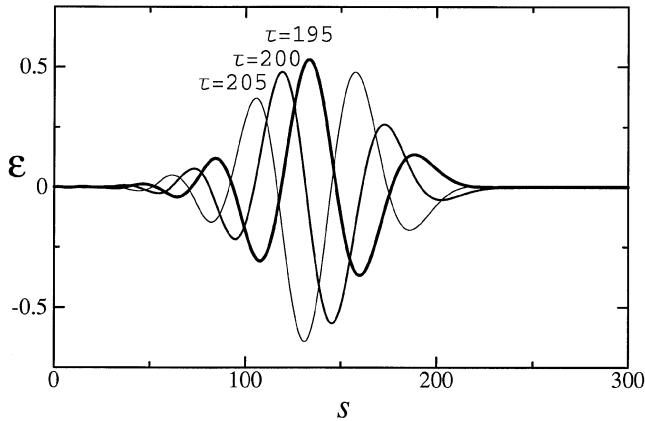


Fig. 4. Electrostatic waves for RUN1 around  $\tau = 200$ .

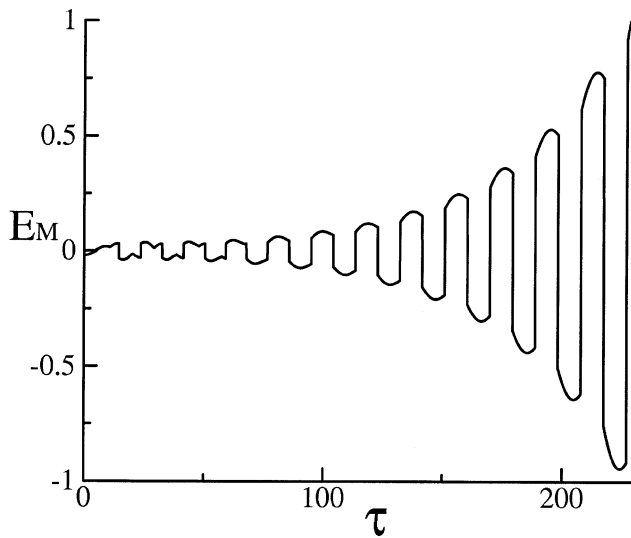


Fig. 5. Time evolution of  $E_M$  for RUN1.

velocity turns out to be almost zero. The wavelength  $\lambda$  is about  $60l_E \approx 2\pi l_p$  which is almost the same as the initial wavelength of the disturbance. Even if we start from another  $k_i$ , the growing mode dominates others and the final wavelength is the same as this result,  $60l_E$ . The final wavelength of growing waves is unchanged for different initial conditions. Figure 6 shows charge density distributions at  $\tau = 195$ . The number density of electrons (positrons) has opposite (same) sign of the charge density in Fig. 6. The phases of number densities of electrons and positrons are the same. The amplitude of the positron density is always larger than that of the electron density. The difference in the number densities of positrons and electrons is proportional to the total charge density which satisfies the Gauss law.

Next we discuss the results of RUN2 ( $Ru_0 = 30 > 1$ ). The initial disturbance ranges from  $s_1 = 500$  to  $s_1 + 2\pi/k_i \approx 560$ . In Fig. 7 we show electric fields at several epochs. The wave profiles are not so simple compared to the case of RUN1 ( $Ru_0 = 1$ ). There are waves propagating both forward and backward. This may be because the distance positrons move forward before they returns ( $\sim 300l_E$  from their injection point) is longer than the typical wavelength  $2\pi l_p \approx 60l_E$ . Though the properties of the waves are complex, we can see that there is an instability in

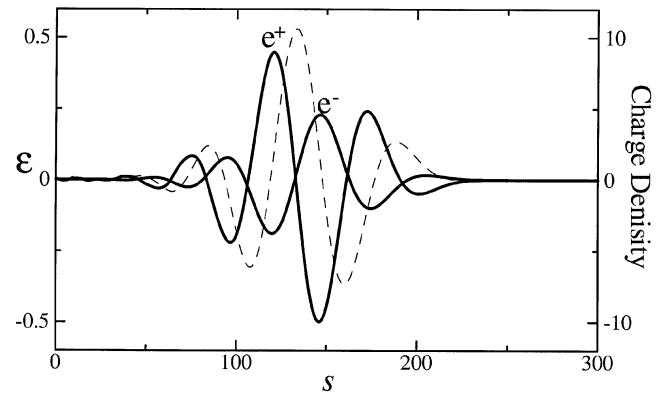


Fig. 6. Charge-density distributions for  $\tau = 195$  in RUN1. The dashed line is the electric field at that time.

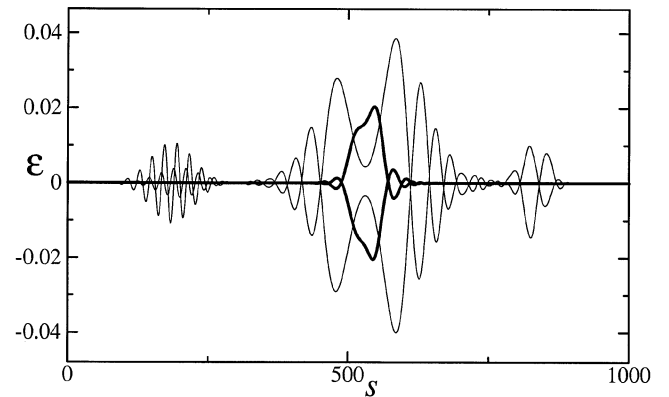


Fig. 7. Electrostatic waves for RUN2. The thick lines are for  $\tau = 80$  and 90. The thin lines are for  $\tau = 330$  and 340.

this case, too. The waves around the original region grow while diffusing both forward and backward. We note that a separate component of the disturbance appears around  $z < z_{ret}$  ( $s < 300$  in this case). This disturbance may be due to two stream instability, which grows faster than in the other region.

Although we do not show here, for a much larger value of  $Ru_0$ , absolute instabilities are found to occur in our simulation. However, we do not further pursue this issue because we need to calculate over a much wider region of  $s$  and resultant memory in computation becomes large for a large value of  $u_0$ .

In cases for  $Ru_0 = 0.3 < 1$  (the calculations RUN3), absolute instability does not occur, but convective instability propagating backward occurs (see Fig. 8). In RUN3 the initial disturbance is given from  $s = 420$  to  $\sim 426$  with a wavelength  $2\pi l_E/k_i \approx 6l_E$ . The disturbance of the electric field is seen to propagate backward, and before long characteristic waves grow. The wavelength of the growing wave ( $\approx 9l_E$ ) is slightly longer than the initial length and almost constant. As the disturbance propagates, the wave packet spreads and the number of waves in the packet increases. The growing time of  $E_M$  is about  $100t_E = 10/\omega_p$  (see Fig. 9). The phase velocity,  $v_{ph} \approx -1.09c$ , is faster than the velocity of light. The amplitude of each peak in the packet initially grows. As the peak approaches the head of the wave packet, the growth of the amplitude turns over to damping. This behaviour is similar to that in the absolute instability for RUN1. We obtain the group

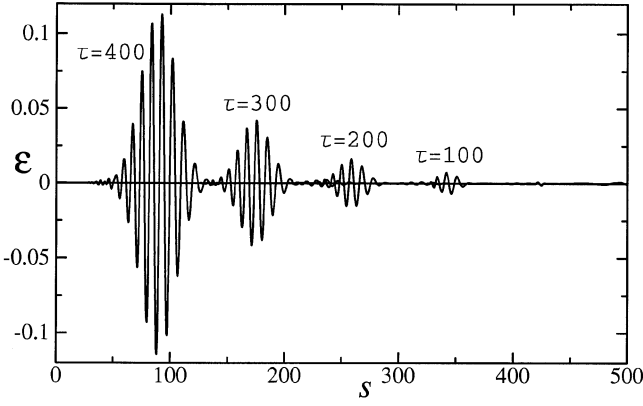
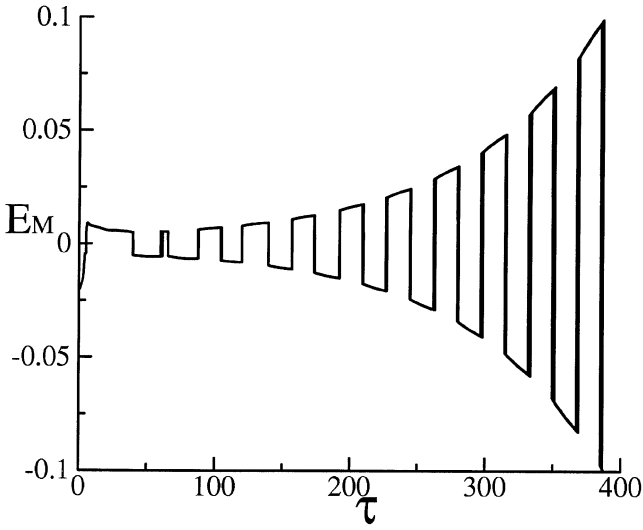
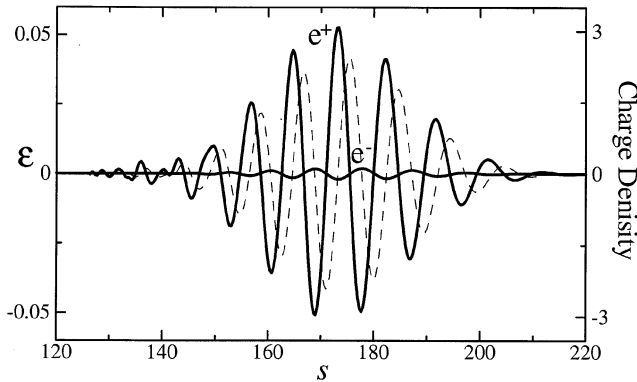


Fig. 8. Backward waves for RUN3.


 Fig. 9. Time evolution of  $E_M$  for RUN3.

 Fig. 10. Charge-density distributions for  $\tau = 300$  in RUN3. The dashed line is the electric field at that time.

velocity  $v_g \approx -0.85c$ . Figure 10 shows that the charge density is dominated by positrons. We have simulated for  $Ru_0 = 0.3$  with various initial conditions. However, in any case there is no sign of wave instability propagating forward.

We have investigated for  $R = 0.01$  also (the calculations RUN4–RUN6), and confirmed that the qualitative results are determined by the value of  $Ru_0$  (see Table 2). The absolute

**Table 2.** Rough values of the growing time, wavelength, and phase velocity. The characters “A” and “C” represent the absolute instability and convective instability, respectively.

	$Ru_0$	Type	$t_i\omega_p$	$\lambda/l_p$	$v_{ph}$
RUN1	1.0	A	5	6	-2.8
RUN3	0.3	C	10	0.9	-1.1
RUN4	1.0	A	5	8–10	-2.8
RUN5	0.3	C	10–30	1	-1.1

instability and convective instability are induced for  $Ru_0 = 1$  and  $Ru_0 = 0.3$ , respectively. For  $Ru_0 = 0.03$  (RUN6), however, we do not find any instability. A smaller value of  $R$  means lower density of pair plasma for a given value of the external electric field. In such a low density plasma, particles tend to be less affected by forces from other particles compared to the external electric field. Therefore, too small value of  $R \ll 1/u_0$  makes the plasma stable. From the above results, we may conclude that electrostatic instability is mainly determined by the parameter  $Ru_0$ . The wavelength and the growing time are a few or ten times the typical scales of the plasma oscillation,  $l_p$  and  $1/\omega_p$ , respectively.

The value  $R$  has been assumed to be smaller than 1 heretofore. We have also simulated for  $R \geq 1$  and found instabilities. The wave properties are as complicated as the example in RUN2, so that we do not report the details of the results in this paper. When  $R \geq 1$ ,  $E_0$  is so small that  $l_E \geq l_p$ . In the limit of  $E_0 = 0$  ( $R \rightarrow \infty$ ), the instability does not occur as was shown in Sect. 2. However, we have not tried the cases  $R \gg 1$ , because of poor computational capacity so far.

Judging from the backward spread of wave packets and the negative phase velocity, it is seen that returning positrons play a decisive role in the instabilities. The dominance of positrons in the charge density in comparison with electrons also suggests that the instabilities are due to returning positrons. It is remarkable that positrons pass the same region twice, forward and backward. The typical wavelength of plasma oscillation ( $2\pi l_p$ ) may resonate with the distance positrons move forward,  $(\gamma_0 - 1)l_E$ . As we have mentioned in Sect. 4, the excited electric field  $\mathcal{E}$  generates the disturbances of pairs,  $F(u)$ , at their injection. The value of  $F(u)$  is transported along the trajectories of pairs, conserving their value. Since  $F_+$  and  $F_-$  acquire the same value at their injection, the contribution to the charge density is almost canceled out as long as electrons and positrons move forward together at  $\sim c$ . When positrons turn around, the charge is polarized. As for positrons,  $F_+(u, s)$  at  $\tau$  conserves the information on the electric field  $\mathcal{E}(s')$  in the past ( $\tau' = \tau - (u_0 - u)$ ), where  $s = s' + \gamma_0 - \gamma$ . The displacement between  $s$  and  $s'$  conforms to the trajectories of positrons. Therefore, the charge density of positrons (except for  $u = u_m$ ) is proportional to a superposition of displaced  $\mathcal{E}(s')$  at different times. This superposition will increase the amplitude of the charge density in response to evolution of  $\mathcal{E}$ .

Since  $F_+(u \neq u_m)$  is constant along the characteristics, the value  $F_+$  remains to be finite even after positrons enter the region where  $\mathcal{E} \sim 0$ , while  $F_+(u_m)$  changes as long as  $\mathcal{E}$  exists. Our numerical results imply that  $F_+(u_m)$  cancels out the charge

density due to  $F_+(u \neq u_m)$  in the region where  $\mathcal{E} \sim 0$ . This process prevents the waves from spreading backward at the speed of light. The propagation of the disturbance by electrons is relatively simple. The contribution due to  $F_-(u_M)$  prevents the waves from spreading forward, as  $F_+(u_m)$  does. In RUN3 and RUN5 ( $Ru_0 < 1$ ), positrons turn back and move backward quickly before  $F_+(u_m)$  cancels out the charge density, so that growing waves propagate backward.

## 6. Conclusions and discussion

In this paper we have found a new type of instability in electron-positron pair flows injected in an external electric field, which is assumed to be spatially constant. The properties of the instability are characterized by the ratio  $R$  (the braking time-scale to the typical oscillation time-scale of the plasma  $1/\omega_p$ ) and 4-velocity  $u_0$  at injection. For  $Ru_0 > \sim 1$  absolute instability is induced, while convective instability propagating backward is excited for  $\sim 0.1 < Ru_0 < \sim 1$ . The growing time in amplitude is as short as a few times the time-scale  $1/\omega_p$ . The wavelength is also several times  $l_p = c/\omega_p$ . The instabilities are caused by returning positrons. For  $Ru_0 \ll 1$ , the pair plasma turns out to be stable. A small value of  $R$  implies that the plasma density is so low compared to the electric field  $E_0$ . For  $R \ll 1/u_0$  the collective interaction of the pair plasma is not important, so that each particle moves along the trajectory determined by  $E_0$  independently of other particles.

Growing electrostatic waves may work as frictional forces. In this paper we have treated waves as linear perturbations, following the propagation of disturbances in the distribution function and electric field. Our method does not allow us to follow processes of gaining or losing kinetic energy of each particle from the waves. The quasi-linear theory is not applied to deal with the reaction of particles as it is, because the disturbances do not have the Fourier-harmonic dependence. Thus, we consider the qualitative character of the effective reaction force from a numerical treatment as follows. The spatial averages of  $F$  and  $\mathcal{E}$  oscillate with time in our simulations. Therefore, the expectation values of  $F$  and  $\mathcal{E}$  can be considered to be zero. On the other hand, when waves grow or are attenuated, the spatial average of the cross term,  $\langle F\mathcal{E} \rangle$ , may have a finite value. As is the case with the quasi-linear theory, the 0th distribution function may change, following the 2-nd order order approximations of the Boltzmann-Vlasov equation:

$$\frac{\partial \langle f_0 \rangle}{\partial t} = -\left\langle \frac{qE_1}{m_e c} \frac{\partial f_1}{\partial u} \right\rangle \propto -\frac{\partial G(u)}{\partial u}, \quad (24)$$

where

$$G(u) = \frac{q}{|q|} \int ds F(u, s) \mathcal{E}(s), \quad (25)$$

and  $\langle f_0 \rangle = n_0$  independently of  $u$  in our case. In view of the Fokker-Planck approximation,  $G(u) \propto \langle \dot{u} \rangle f_0$ , where  $\langle \dot{u} \rangle$  is the average change of  $u$  due to the reaction force per unit time. Since  $f_0$  is constant in our simulation,  $G(u)$  is proportional to the reaction force.

We plot  $G(u)$  in Fig. 11 for  $\tau = 195$  in RUN1. The modulation pattern of  $G(u)$  does not change, but the amplitude grows

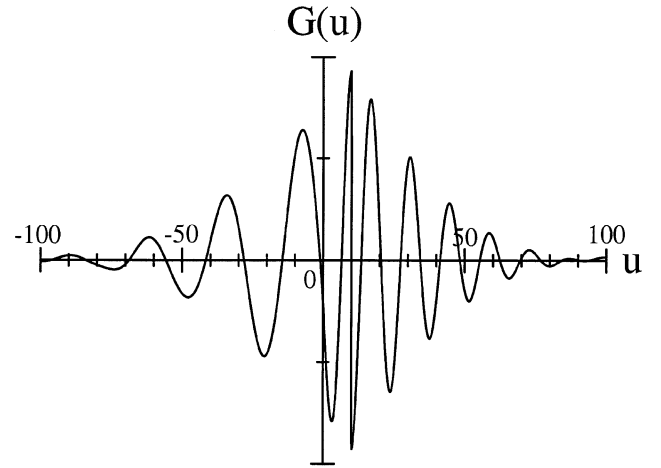


Fig. 11. The “average force”  $G(u)$  for  $\tau = 195$  in RUN1.

with time. Apparently, the modulation pattern is asymmetric for particles of  $u > u_0 = 10$  (electrons) and  $u < u_0$  (positrons). These qualitative behaviour is common for the other RUNs. As Fig. 2 and Eqs. (17) and (18) show, perturbations are generated at  $u = u_0$  and  $u = u_M$  (or  $u_m$ ). Figure 11 shows  $G(u)$  for a region around  $u = u_0$  only, and outside of this region  $G(u)$  has also significant value due to the disturbances generated at  $u = u_M$  and  $u = u_m$ . However, the modulation pattern of  $G(u)$  for such regions oscillates with time.

In the usual two-stream instability, the excited waves accelerate background fluid, and decelerate beam fluid. As is shown in Fig. 11, the direction of the reaction force depends on  $u$  even in the same species of particles. The amplitude of  $G(u)$  takes always the maximum value at  $u = u_0$ . If the effective reaction force grows enough, positrons (electrons) just injected ( $u \simeq u_0$ ) feel positive (negative) force as is shown in Fig. 11. Thus, the reaction force may make particles tend to stay around the regions of  $u = u_0$ . The integral of  $G(u)$  around  $u = u_0$  (roughly from  $u = -100$  to 10 for Fig. 11) for positrons is also positive. Such positrons are accelerated by the reaction force on average. However, the integral becomes negative all the time, if we include the contribution due to the disturbances generated at  $u = u_m$ , though  $G(u)$  for a large  $|u|$  oscillates with times. The returning positrons injected at  $z = z_M$  feel a negative reaction force on average. On the other hand, the absolute value of the integral for electrons is much smaller than those for positrons. Therefore, the reaction force does not work as usual “frictional” force between electrons and positrons. The particles just injected are most affected by the reaction force, and lose (or gain) their energy owing to waves. If the reaction force is strong enough, positrons just injected may have difficulty to turn back. If positrons suffer from such frictional force, the distribution function  $f_0$  should be largely altered. This may help to solve the problem of the electric field screening in the pulsar polar caps.

If excited waves grow enough to change trajectories of particles, the waves cannot be treated as the linear perturbations. In a strong electric field,  $|f_1|$  can be as large as  $f_0$  before  $|E_1| \sim |E_0|$  achieves. We may simplify the energy-loss process of particles due to perturbed electric field as follows; particles from  $u = u_0$



to  $u_0 + \Delta u$  lose their energy owing to the waves at the same rate, where  $\Delta u$  is the equivalent width of particles interacting the waves. Then, the growth rate of the field energy is roughly considered as the average energy loss rate of pairs, which means  $\omega_i E_1^2/4\pi \sim |\dot{\gamma}\Delta u|n_0 m_e c^2$ , where  $\dot{\gamma}$  is the temporal change of  $\gamma$  due to the reaction force. Here, we assume that the energy density of the waves attributed to induced particle motions is comparable or negligible to the energy density of the electric field  $E_1$ . When  $|\dot{\gamma}| > 1/t_E$ , the frictional force is sufficient to alter trajectories of pairs. The above condition is rewritten as  $\omega_i E_1^2/\omega_p^2 > m_e c|E_0|\Delta u/e$ . Assuming  $\omega_i \approx \omega_p \approx 10^8$ ,  $\Delta u = 50$ , and  $|E_0| \approx 10^5$  in esu (these values may be typical for the pulsar polar cap),  $|E_1|$  is needed to be larger than  $5 \times 10^3$  in esu to change the distribution of pairs. Even if  $|E_1| < |E_0|$ , the excited disturbances may change the 0th distribution of pairs. However, we need numerical simulations, which can deal with non-linear process, in order to check how the reaction force modifies the distribution function  $f_0$ .

As a first step to deal with behaviour of pairs in an electric field, in this paper we have assumed that the background charge distribution cancels out the modification of  $E_0$  due to injected electron-positron pairs. Of course, this simplification may not be appropriate for pulsars, while it makes computation easier. Inhomogeneous electric field might play an important role in plasma instability. Let us check whether our model can be used when the background charge density is constant for  $s > s_0$ . The charge density changes for  $s > s_0$  owing to the pair injection and electric field should be modified. The charge density decreases with distance as  $\propto \sim n_0 s$  for  $s > s_0$ . In this case the variation of  $\Delta E_0$  over the moving distance of injected positrons before they turn back  $I_E u_0$  is  $\sim (Ru_0)^2 E_0$ . Therefore, in the case of stable plasma ( $Ru_0 \ll 1$ ) the constant electric field is a good approximation even for the constant background. Although our simulations show a new possibility of plasma instability around pulsars, we need to simulate with an inhomogeneous electric field for  $Ru_0 \sim 1$  in order to conclude whether instability occurs in actual situations on pulsars. In any case, the condition  $Ru_0 > \sim 0.1$  is an necessary (but not sufficient so far) condition to induce the instability.

Let us consider implications for the pulsar polar cap. We suppose that the primary electron-beam is accelerated from  $z = 0$ , and its Lorentz factor becomes  $\Gamma$  at the pair production front (PPF) ( $z = L$ ). In this case the average electric field is  $|E_0| = \Gamma m_e c^2/eL$ . The braking time is expressed as  $t_E = m_e c/|eE_0| = L/c\Gamma$ . By curvature radiation an electron of  $\Gamma$  emits  $2e^2\Gamma/3r\hbar \equiv M$  photons per unit time, where  $r$  is the radius of curvature of the field line. We express the number density of the primary beam as  $n_b = f_b n_{GJ}$ . Assuming curvature gamma-rays immediately turn into pairs, the pair-injection rate is approximated as  $\dot{n}_0 \approx M f_b n_{GJ}$ . Adopting the average electric field, the ratio  $R$  becomes

$$R = \omega_p t_E = \sqrt{\frac{4f_b e^3 \Omega B L^3}{3m_e c^4 \hbar \Gamma^2}} \quad (26)$$

$$\approx 2 \times 10^{-5} f_b^{1/2} T_{0.3}^{-1/2} B_{12}^{1/2} L_4^{3/2} r_7^{-1/2} \Gamma_6^{-1}, \quad (27)$$

where  $\Gamma_6 = \Gamma/10^6$ , and  $T_{0.3}$ ,  $B_{12}$ ,  $L_4$ , and  $r_7$  are in units of 0.3 s,  $10^{12}$  G,  $10^4$  cm, and  $10^7$  cm, respectively. The average Lorentz factor of pairs is at most  $\hbar\Gamma^3/(2m_e c r) \approx 2\Gamma_6^3 r_7^{-1}$ . Therefore, we obtain

$$Ru_0 \approx 4 \times 10^{-5} f_b^{1/2} T_{0.3}^{-1/2} B_{12}^{1/2} L_4^{3/2} r_7^{-3/2} \Gamma_6^2. \quad (28)$$

Even for the large values of  $\Gamma$  and  $L$  we can suppose in pulsar models, we may expect  $10^{-4} < Ru_0 < 10^{-2}$  at most. The electric field in the polar cap may be too strong to induce the instability, compared to the pair-plasma density, while the approximation of constant  $E_0$  may be not so wrong in such cases. If the electric field is much smaller than the average one  $\Gamma m_e c^2/eL$  at PPF as in the model in Aron's group (Fawley et al. 1977; Scharlemann et al. 1978; Arons & Scharlemann 1979),  $Ru_0$  can be large enough to induce the instability. In such models, however, the pair polarization is not so important to achieve the screening, while there is possibility that the instability affect the radio emission process.

At present it is not clear that the electrostatic instability we have considered in this paper is an important process for the screening of electric field above the pulsar polar cap. However, there may be extreme environments (magnetars etc.) where  $Ru_0$  is as large as one. We expect that studies on the plasma instability in electric fields lead to opening a new approach to high-energy astrophysics.

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