The X-ray spectrum of the supernova remnant 1E 0102.2–7219

A. P. Rasmussen1, E. Behar1, S. M. Kahn1, J. W. den Herder2, and K. van der Heyden2

1 Columbia Astrophysics Laboratory, 550 West 120th Street, New York, NY 10027, USA
2 University of Utrecht & Space Research Organization of The Netherlands, Sorbonnelaan 2, 3584 CA Utrecht, The Netherlands

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Abstract. In this letter we present the soft X-ray (5–35 Å) spectrum of the supernova remnant (SNR) 1E 0102.2–7219 in the Small Magellanic Cloud, acquired by the reflection grating spectrometers (RGS) aboard ESA’s XMM-Newton Observatory. Because the RGS features a large dispersion angle, spatial–spectral confusion is suppressed even for moderately extended (Δθ ∼ 2′) sources. Consequently, these data, along with the spectrum of N132d (Behar et al. 2001), provide what are probably the most detailed soft X-ray spectrum of entire SNRs. The diagnostic power of performing spectroscopy using groups of emission lines from single ions is demonstrated. In particular, the bright Lyman and helium series lines for light elements (C vi, O vii, O viii, Ne ix & Ne x) show peculiar ratios, where the values [1s − np]/[1s − (n + 1)p] are systematically weaker than expected for electron impact excitation close to ionization equilibrium, indicating nonequilibrium ionizing (NEI) conditions in the source. The well known temperature diagnostics $G(T_e) = (i + f)/r$ of helium-like triplets (O viii & Ne ix) confirm this suggestion, with values that are inconsistent with ionization equilibrium. The temperatures implied are well above the maximum emission temperature $T_e$ for each ion, and consistent with a purely ionizing plasma. The density diagnostics $R(n_e) = f/i$ meanwhile, are consistent with the low density limit, as expected.

Key words. atomic processes – line: formation – ISM: individual objects: 1E 0102.2-7219 – galaxies: magellanic clouds – X-rays: ISM

1. Introduction

The supernova remnant 1E 0102.2–7219 (E0102) is a bright, oxygen–rich remnant located in the Small Magellanic Cloud (SMC), approximately 60 kpc distant. Although it is young (~1000 years old) and clearly very energetic, it has no bright emission lines of Fe nor significant continuum above 5 keV. It has received substantial attention recently, with the availability of high quality Chandra data (Gaetz et al. 2000; Hughes et al. 2000) as well as Hubble Space Telescope data (Blair et al. 2000). Its ionization structure in terms of spatial stratification was clearly seen for the first time with Chandra (Gaetz et al. 2000), and details of the outgoing blast wave’s kinematics and emission spectrum were used to argue that a large fraction of E0102’s energy is spent toward cosmic ray acceleration (Hughes et al. 2000). It was also observed with the High Energy Transmission Grating Spectrometer (HETGS) aboard Chandra to explore the spatially resolved line of sight velocity structures to isolated emission lines in the spectrum, which help to elucidate the initial geometry and mass distribution of the initial supernova event, as well as probe the kinetics of various spectrally resolved components making up the SNR structure (Flanagan 2000).

In our work, we concentrate on the high resolution X-ray spectroscopy afforded by the RGS (den Herder et al. 2001) aboard XMM-Newton (Jansen et al. 2001), which has nearly nominal spectral resolution, even for moderately extended objects such as E0102.

2. Observation and data analysis

E0102 was observed early in XMM-Newton’s Calibration phase, during revolution 0065 (16 April, 2000) for a total of 42.8 ksec. All three EPIC (Turner et al. 2001, Struder et al. 2001) instruments and both RGS (den Herder et al. 2001) instruments were operated simultaneously. Here, our primary focus is on the high resolution X-ray spectrum of E0102, and we restrict attention to the RGS data.

The data were processed using custom software, originally developed for the analysis of RGS ground calibration data, which is nearly identical in function to the RGS branch of the Science Analysis System (SAS). Telemetered

1 A separate letter on the EPIC observation of E0102 is included in this volume (Sasaki et al. 2000).
CCD events were read in, frame by frame for each CCD node, and were offset corrected on a pixel by pixel basis using median readout maps, compiled from about 40 DIAGNOSTIC (den Herder et al. 2001) images per CCD chip. This process nearly eliminates flickering pixels from the dataset. Gain and CTI corrections were performed to align the signal/energy scale across all CCD readouts. Then, event reconstruction was performed on connected pixels containing significant signal, and the composite event signals were calculated by summing up signals from individual pixels.

The standard event grade combinations used were those which fall within a 2 × 2 pixel region, where two pixels diagonally opposed to one another within the 2 × 2 were not grouped into composites. The event coordinates were then mapped into focal plane angular coordinates (dispersion and cross-dispersion)². Using the star tracker attitude history updates for the revolution, the coordinates of the source, and a preliminary boresight axis, aspect corrections were applied to the focal plane event angular coordinates³.

Events were extracted in the dispersion-pulseheight plane with masks utilized by the response matrix generator. Surviving events were then windowed in the focal plane, where background subtraction was performed using background sampling regions off to one side of the illumination pattern in the cross-dispersion direction⁴. The size of the spatial extraction was 58'' in the cross-dispersion direction, which comfortably includes the entire spectrum. A spectrum file was created by computing the background corrected countrates in each dispersion channel. Finally, a response generator was used to produce an observation specific response matrix that provided an array of nominal wavelength/energy values corresponding to each channel in the spectrum file. Line fluxes were estimated by comparing an emission model, folded through the response matrix, directly to the spectrum in the usual manner. This procedure was performed for first (m = −1) and second (m = −2) orders for each spectrometer.

To reduce the contamination from sporadic background rate fluctuations in which the RGS counting rate doubles from the quiescent rate of about 1 ct s⁻¹ RGS⁻¹, a set of good time intervals (GTIs) were chosen to filter out the high background data. This reduced the effective exposure time from 37.9 to 29.7 ksec for both RGS instruments.

The windowed background contribution to the (m = −1) total countrate for this extraction was nearly flat, at 2.3 × 10⁻³ ct s⁻¹ chan⁻¹ or, equivalently, 2.3 × 10⁻³ ct s⁻¹ Å⁻¹ at 15 Å.⁵

3. The soft X-ray spectrum of 1E 0102.2−7219

Using the analysis procedure described above, we generated the RGS spectra shown in Figs. 1 and 2. The spectrum is dominated by a small number of emission lines, the brightest of which are prominent transitions of highly stripped light ions of C, O, Ne and Mg. Nitrogen, which is cosmically less abundant than oxygen by a factor of a few, is absent in spectrum (Nvii Lyα is expected at 24.7 Å). Only very weak Fe L lines are detected, which are dwarfed by the strong transitions of the light, even Z elements. We detect bright lines from the Lyman series of C vii, O viii, Ne x and Mg xii, and from the helium series of O vii, Ne ix, Mg xii and Si xiii. Most of these ions are represented by several emission lines in the spectrum, clearly resolved and measurable in this sparse spectrum. Single ion spectroscopy permits independent measurements of astrophysical quantities that affect line production, such as electron temperature and density, or opacity within the object and in other intervening material.

To synthesize the appropriate RGS response for E0102, we need to quantify the angular distribution of the X-ray emission, which is convolved with the other quantities contributing to the line spread function. As a preliminary approximation, we used a public Chandra image (ObsIds 1231 & 1423) as an energy independent

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² The dispersion coordinates are based on the known geometry of the RGS within XMM-Newton (den Herder et al. 2001).
³ Based on attitude history updates of the star tracker system, the spacecraft pointing was extremely steady, drifting only 0.1'' and 0.2'' (rms) in the dispersion and cross-dispersion axes, respectively, over the observation. The respective peak-to-peak attitude swings were only 0.5'' and 0.7''
⁴ The target was ~1'' off-axis in cross-dispersion, so the spectrum illuminated the RGS focalplane camera on one side of the CCD array. Consequently, background sampling was performed in an asymmetric manner.
⁵ Also equivalent to 1.4 × 10⁻⁴ ct s⁻¹ per resolution element. This is higher than the typical background level because of the enlarged extraction volume for this target.
Fig. 2. Detail of the 8–20 Å region of the RGS data. First and second order extractions are plotted separately (m = −1 in black, m = −2 in red) to facilitate line identification. The data from the two spectrometers have been averaged for each order extraction. The higher spectral resolution and resilience to source extent in is clearly seen in m = −2, where some line complexes blended in m = −1 are resolved.

Fig. 3. Illustration of the line profile used for estimating line fluxes. The plot compares the point source line spread function for RGS1, the approximate monochromatic line profile based on E0102’s angular distribution (derived from the Chandra image), and an heuristic wavelength broadening template function that is applied in addition to the angular distribution.

distribution, characterized by ∼20′′ half power width (HPW) and ∼33″ FWHM. The image was collapsed along the dispersion axis according to the roll of XMM-Newton during the observation. This one dimensional distribution was used as input to the response generator. Comparing the prediction to the brightest, isolated emission line (O VIII Lyα), we see that the prediction is far narrower than the data, indicating a velocity distribution resolved by the RGS (see Fig. 3). Further accurate modeling requires coupling a line of sight velocity distribution to the angular distribution, but for the time being we are able to place a lower limit to the ejecta’s expansion, \( v_{\text{exp}} \geq 1350 \text{ km s}^{-1} \), using the square velocity profile model of an expanding shell. This lower limit is consistent with the broadening seen in all of the lines. Any contribution from a high velocity (\( v_s \sim 6500 \text{ km s}^{-1} \)) blast wave is difficult to see in this profile because it accounts for only ∼10% of the light (Hughes et al. 2000) and is coincident with the broad scatter distribution off of the gratings.

Spectral modeling was performed by applying this velocity distribution to all lines. While there is already evidence from Chandra that different velocity distributions are present for different charge states (Flanagan 2000), we use this distribution for the purpose of estimating line fluxes. Estimates of the line fluxes are provided in Table 1, according to the current response matrices. As indicated below, there is a known instrumental oxygen feature not included in these response matrices that we treat separately. The instrumental response and effective area are described briefly in a separate article (den Herder et al. 2001).

We attempt to correct, at least partially, for effects of intervening absorption and the known oxygen edge referred to above. Figure 4 shows the correction function utilized to estimate line fluxes from the source corrected for these effects. We adopted a neutral Galactic column of \( N_H = 8 \times 10^{20} \text{ cm}^{-2} \) from other estimates toward the SMC (e.g., Blair et al. 2000), and also an instrumental oxygen photoelectric absorption profile characterized by \( \tau_{\text{max}} = 0.2 \). The curve was used to correct the nominal line fluxes listed in Table 1 and emission line ratios for
Table 1. Estimates of line fluxes at the telescope aperture. Wherever possible, line fluxes were determined using the two spectrometers separately and the 90% confidence limits are also listed. For each emission line or complex, the RGS1 fluxes are given in the first row, followed by the RGS2 derived fluxes. Systematic differences between the spectrometers may be seen by the discrepancies in derived flux values. Because the calibration of the RGS instruments is ongoing, these flux values are uncertain by ~±10%. Also, the absolute flux in the O vii Kα is systematically underestimated by about 20%, due to the presence of an instrumental oxygen absorption edge nearby. The largest discrepancy seen (~30%) is in the flux values for the Mg xi, is about twice as large as expected. The top part of the table gives the total line intensities in the Kα, Kβ and Kγ transitions for each ion; the bottom part gives the breakdown between the resonance (r), intercombination (i) and forbidden (f) transitions for the helium like species O viii, Ne ix and Mg xi. All fluxes are in units of 10^{-4} phot cm^{-2} s^{-1}.

<table>
<thead>
<tr>
<th>Ion</th>
<th>Transition</th>
<th>Kα</th>
<th>Kβ</th>
<th>Kγ</th>
</tr>
</thead>
<tbody>
<tr>
<td>C vi</td>
<td>0.94 \pm 0.16</td>
<td>0.69 \pm 0.17</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>O vii</td>
<td>0.52 \pm 0.13</td>
<td>2.02 \pm 0.19</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>O viii</td>
<td>3.07 \pm 0.33</td>
<td>3.49 \pm 0.22</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>Ne ix</td>
<td>2.44 \pm 0.21</td>
<td>1.68 \pm 0.17</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>Ne x</td>
<td>3.04 \pm 0.17</td>
<td>0.87 \pm 0.13</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>Mg xi</td>
<td>2.44 \pm 0.21</td>
<td>1.08 \pm 0.23</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>Mg xii</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td></td>
</tr>
</tbody>
</table>

a O vii Kα fluxes are underestimated here by about 20%.

b Discrepancy in Mg xii Kα fluxes is currently not understood.
c Kα, Kβ and Kγ represent the 1–2, 1–3 and 1–4 transitions, respectively.

individual ions were estimated. These are displayed in the lower two plots of Fig. 4.

The estimated emitted line ratios have not been corrected for any additional intervening absorption, e.g., contributions from the SMC. Any such column density would systematically affect the line ratios at long wavelength, which may be reflected by the low value for the C vi α/β ratio. As shown in the figure, the predicted intraseries ratios α/β and β/γ for helium-like and hydrogen-like ions are dependent on T_e, but approach their minimum values in the limit of high temperature. Our ratio estimates, compared to the current APEC (Smith et al. 1999) collisional ionization equilibrium (CIE) emission model, suggest electron temperatures T_e that are significantly higher than the maximum line emission temperatures T_m in CIE. Consistent temperature estimates are obtained for Ne x line ratios, which yield 0.6 ≤ T_e ≤ 1.0 keV. In other cases a self consistent T_e cannot be obtained in the APEC CIE model, given the estimated emission ratios. For example, O viii, derived T_e(α/β) and T_e(β/γ) values are inconsistent by a factor of 2.5 or so. For O viii, the APEC curves do not intersect the line ratio confidence limits.

We performed sample line ratio calculations using HULLAC (Bar-Shalom et al. 1998) in which the plasma is underionized as compared to CIE, in order to simulate the purely ionizing case. These ratios were within ~10% of the APEC ratios for the O viii case for both α/β and β/γ. For O vii however, the HULLAC line ratios deviate from the APEC CIE values and asymptotically
Fig. 5. Derivation of the $R$ (density) and $G$ (temperature) line ratio diagnostics for O\textsc{vii} (left) and Ne\textsc{ix} (right). The data were fit using three line features, where we have used the heuristic velocity distribution function derived from O\textsc{viii} Ly $\alpha$ as a template to describe each (see Fig. 3). One parameter adjusted the ensemble line position (relative line positions were fixed), and the three line intensities were allowed to vary to fit the data. Individual contributions from the $f$, $t$, and $r$ lines are shown. The $\chi^2$ values are 1.4 and 0.9 for the O\textsc{vii} and Ne\textsc{ix} line ratios, respectively.

Comparing the O\textsc{vii} line ratios to the HULLAC values, we obtain a self consistent temperature range of $0.35 \leq T_e \leq 0.7$ keV. Interestingly, the line ratios for O\textsc{viii} remain low as compared to either the CIE (APEC) or the ionizing (HULLAC) cases.

In spite of the angular extent of the source and the velocity broadening of the lines, we resolve the He$\alpha$ line complexes of O\textsc{vii} and Ne\textsc{ix} sufficiently to estimate contributions from the forbidden, intercombination and resonance transitions that provide robust diagnostics for the temperature and density of the emitting medium (Gabriel & Jordan 1969; Pradhan 1982). Some contamination from satellite line blending is present in the flux estimates of the intercombination ($i$) and forbidden ($f$) lines, but only at the 3 and 6% level for O\textsc{vii} and Ne\textsc{ix}, respectively (Pradhan & Shull 1981). These effects are smaller or comparable to the line flux confidence limits and are also taken into consideration in diagnostic line ratio calculations (Pradhan 1982). Figure 5 shows the heliumlike triplets of O\textsc{vii} and Ne\textsc{ix}, modelled using the known line wavelengths, and the line velocity profile adopted above (Fig. 3). While the density diagnostic $R$ ratios obtained were consistent with the low density limit at the 90% confidence level, the temperature diagnostic $G$ values are low. We measure at $0.55 \pm 0.03$ and $0.49 \pm 0.05$ for O\textsc{vii} and Ne\textsc{ix}, respectively. It should be noted here that these small values for $G$ are inconsistent with collisional equilibrium, and require underionization, strongly suppressing photons produced in recombination channels from O\textsc{viii} and Ne\textsc{ix} (Pradhan 1982). The electron temperatures corresponding to these ratios are approximately 0.35 and 0.65 keV for O\textsc{vii} and Ne\textsc{ix} respectively, in agreement with the 90% confidence limits derived from the resonance line ratio estimates above. In CIE conditions for these temperatures, the fractional population of these charge states would be only $\sim 2\%$ for both O\textsc{vii} and Ne\textsc{ix} (Arnaud & Rothenflug 1985). The fact that consistent values for $T_e$ are obtained for both O\textsc{vii} and Ne\textsc{ix} using all three available diagnostics, suggest that $K\alpha$ resonance line suppression effects (such as absorption or resonant scattering) are small.

4. Discussion

The Fe L lines that we do see in the SNR most likely trace the swept up ISM rather than the ejecta of the precursor. The Fe:O abundance ratio that we measure is about 1:900, compared to 1:15 or so in the SMC (Russell & Dopita 1992). With the exception of Fe, the composition of the SNR is dominated by products of He burning, the SN ejecta. The absence of emission from N is suggestive that any nitrogen in the progenitor had been either blown off or burned into other products.

Analysis of the heliumlike ion spectra for O\textsc{vii} and Ne\textsc{ix} yields self consistent pictures for these plasmas: There exist temperatures which simultaneously yield the line ratios seen ($G$, $\alpha/\beta$ & $\beta/\gamma$) provided that the neighboring charge states (O\textsc{viii} & Ne\textsc{x}) are underpopulated there. The presence of bright emission lines from the hydrogenlike ions must then indicate a different volume containing them. This is in fact consistent with the Chandra findings (Gaetz et al. 2000; Flanagan 2000) that emission from O\textsc{vii} and O\textsc{viii} appear to originate from different regions within the SNR. In the Chandra HETGS “spectrohelograms”, isolated line images show that the bright O\textsc{vii} emission occurs significantly inside of the region emitting in O\textsc{viii}. The inner, O\textsc{vii} region is presumably ejecta that has more recently encountered the inward moving shock than the outer O\textsc{viii} region.

The emission line pattern of the O\textsc{viii} Lyman series clearly requires better understanding; these ratios are better constrained and are consistent across RGS instruments, yet they are inconsistent with current electron impact excitation (EIE) models. Opacity effects to the Ly $\alpha$ flux should be negligible because of the consistency found in the O\textsc{vii} and Ne\textsc{ix} He series data.

We consider charge exchange (CE) as a possible mechanism that might contribute to produce the observed flux ratios. The emission spectral signature associated with a CE reaction generally resembles that of recombination (Wise & Sarazin 1989), because in both mechanisms, an electron is injected into a high quantum level and then cascades. The flux ratios due to pure recombination of O\textsc{x} to O\textsc{viii} are about 4.7 and 2.6 for $\alpha/\beta$ and $\beta/\gamma$, respectively (using HULLAC). The same ratios for EIE in the high temperature limit, are 6.2 and 3.0. Weighting the contributions from CE and EIE appropriately, it may be possible to produce the observed values of $5.4 \pm 4$ and $2.3 \pm 3$, respectively. We cannot preclude the presence of CE reactions involving O\textsc{viii} in the emission line ratios of O\textsc{vii}, although the emission there appears generally consistent with extreme NEI conditions.
In CE reactions, the exchanged electrons are injected into specific high \((n, l)\) levels according to energy conservation. Spectra from such reactions have been observed extensively in tokamak and ion beam experiments, for example, in highly ionized \(\text{Ar}^{+18}\) (Rice et al. 1986) and \(\text{O}^{+8}\) (Bliman et al. 1985). In the latter work, CE reactions of \(\text{O}^{+8}\) with molecular \(\text{H}_2\) yielded values of \(\text{Ly}\alpha /\text{Ly} \beta \sim 4.2\) and \(\text{Ly} \beta /\text{Ly} \gamma \sim 3.1\) (40% relative error in each) and a preferential injection into \(n = 5\). Calculations performed for interactions with atomic hydrogen yield values with comparable variances, but all well below ratios predicted by EIE.

In order for CE to contribute significantly to the X-ray line emission from a SNR, low ionization species must come into close proximity with more heavily ionized metals (such as \(\text{O}^{1+}\)). Given that the CE and EIE rate coefficients are of order \(\gamma_{\text{CE}} \sim 10^{-6}\) and \(\gamma_{\text{EIE}} \sim 10^{-11} \text{cm}^3 \text{s}^{-1}\), respectively, CE can be important if the neutral fraction for hydrogen is greater than \(\sim 10^{-5}\) or so (Wise & Sarazin 1989). This is well above the neutral fraction expected for the hot ionized plasma where the X-ray emission is thought to originate. In the case of E0102, the amounts of H and He present in the ejecta are probably very low, so the metal ion to electron ratio should be extremely high as compared to solar abundance material. Consequently, CE is more likely to be important in such a plasma, particularly if inhomogeneities are present and mixing occurs between regions.

There is abundant evidence that the X-ray emitting media in SNRs are far from homogeneous. For example, \([\text{O} \text{iii}]\) emission is seen in many SNRs, including E0102 (Dopita et al. 1981) and some of the bright knots seen there correspond well to X-ray bright features (Gaetz et al. 2000). Efforts to numerically model shock-cloud interactions produce strong fragmentation, turbulent mixing action and complex filamentary structures (e.g., Xu & Stone 1995). These general predictions should apply also to interactions between ejecta clumps and the reverse shock. The material in E0102 may be comprised primarily of metals, and cooling rates there can be enormous, so radiative shock conditions may exist even at high \(T_e\). The survivability of ejecta clumps and filaments embedded in strong shocks would consequently improve, so interfaces between hot, tenuous media and warm, denser filaments could be prevalent.

At these interfaces, one expects a steady flux of high charge state ions from the hot medium. These ions are likely to charge exchange with the lower ionization states in the clouds, giving rise to X-ray emission lines. Since the charge exchange cross-section for the ions is considerably larger than the photoelectric absorption cross-section for the emitted line photons, the emitted line radiation should emerge and contribute to the observed X-ray spectrum of the remnant. This process should be important if the mean intercloud separation is smaller than the characteristic distance \(d\) an ion will move through the hot gas before it is collisionally excited:

\[
d = 1.0 \text{pc} \left(\frac{\gamma_{\text{EIE}}}{10^{-11}}\right)^{-1} T_{\text{keV}}^{1/2} A_{\text{amu}}^{-1/2} n_e^{-1}.
\]

The \textit{Chandra} image of E0102 (Gaetz et al. 2000) exhibits significant structure on spatial scales comparable to or less than this value. It therefore seems plausible that charge exchange at cloud interfaces plays a significant role in producing the anomalous line ratios that we observe in \(\text{O}^{1+}\).

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References