

The dependency of AGN infrared colour-selection on source luminosity and obscuration

An observational perspective in CDFS and COSMOS

H. Messias^{1,2}, J. M. Afonso¹, M. Salvato^{3,4}, B. Mobasher⁵, and A. M. Hopkins⁶

¹ Centro de Astronomia e Astrofísica da Universidade de Lisboa, Observatório Astronómico de Lisboa, Tapada da Ajuda, 1349-018 Lisboa, Portugal
e-mail: hmessias@oal.ul.pt

² Departamento de Astronomía, Av. Esteban Iturra 6to piso, Facultad de Ciencias Físicas y Matemáticas, Universidad de Concepción, 4009 Casilla, Chile

³ Max Planck for Extraterrestrial Physics, Giessebachstrasse 1, 85748 Garching, Germany

⁴ Excellence Cluster, Boltzmann Strasse 2, 85748 Garching, Germany

⁵ University of California, 900 University Ave., Riverside CA 92521, USA

⁶ Australian Astronomical Observatory, PO Box 915, North Ryde NSW 1670, Australia

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ABSTRACT

Aims. This work addresses the AGN IR-selection dependency on intrinsic source luminosity and obscuration, in order to identify and characterise biases that could affect conclusions in studies.

Methods. We study IR-selected AGN in the *Chandra* Deep Field South (CDFS) survey and in the Cosmological Survey (COSMOS). The AGN sample is divided into low and high X-ray luminosity classes and into unobscured (type-1) and obscured (type-2) classes by means of X-ray and optical spectroscopy data. Specifically in the X-ray regime, we adopt the intrinsic luminosity taking the estimated column density (N_{H}) into account. We also take the opportunity to highlight important differences resulting from adopting different methods of assessing AGN obscuration.

Results. In agreement with previous studies, we also find that AGN IR-selection efficiency shows a decrease with decreasing source AGN X-ray luminosity. For the intermediate-luminosity AGN population ($43.3 \leq \log(L_{\text{X}} [\text{erg s}^{-1}]) \leq 44$), the efficiency also worsens with increasing obscuration (N_{H}). The same sample also shows an evolution with cosmic time of the obscured fraction at the highest X-ray luminosities, independently of the adopted type-1/type-2 classification method.

Conclusions. We confirm that AGN IR-selection is genuinely biased towards unobscured AGNe, but only at intermediate luminosities. At the highest luminosities, where AGN IR-selection is more efficient, there is no obscuration bias. We show that type-1 AGNe are intrinsically more luminous than type-2 AGNe only at $z \lesssim 1.6$, thus resulting in more type-1 AGN being selected when the IR survey is shallower. Based on this and other studies, we conclude that deep hard-X-ray coverages, high-resolution IR imaging, or a combination of IR and radio data are required to recover the lower luminosity obscured AGN population. In addition, wide IR surveys are needed to recover the rare powerful, obscured AGN population. Finally, when the *James Webb* Space Telescope comes online, the broad-band filters $2.0 \mu\text{m}$, $4.4 \mu\text{m}$, $7.7 \mu\text{m}$, and $18 \mu\text{m}$ will be essential for disentangling AGN from non-AGN dominated SEDs at depths where spectroscopy becomes impractical.

Key words. galaxies: active – galaxies: evolution – galaxies: nuclei – galaxies: statistics – infrared: galaxies – X-rays: galaxies

1. Introduction

It is believed today that there is a significant fraction of obscured active galactic nuclei (AGN) that still remain unidentified at high-energy spectral-bands even in the current deepest surveys (the strongest evidence coming from X-ray studies, e.g., Comastri et al. 2001; Ueda et al. 2003; Gilli 2004; Worsley et al. 2004, 2005; Treister & Urry 2005; Martínez-Sansigre et al. 2005; Draper & Ballantyne 2009; Burlon et al. 2011; Ajello et al. 2012; Moretti et al. 2012). With an incomplete demography of actively accreting super-massive black holes, current galaxy evolution models accounting for AGN feedback (e.g., Granato et al. 2004; Springel et al. 2005; Croton et al. 2006; Hopkins et al. 2006; Bower et al. 2006; Somerville et al. 2008) probably remain poorly constrained. As a result, alternative means of finding such objects by different spectral regimes ought to be developed.

If high dust column densities are the driver for such extreme obscuration of direct light from the AGN accretion region

(instead of just obscuring circumnuclear gas clouds), then infrared (IR) wavelengths, at which the obscuring dust emits (e.g., Nenkova et al. 2008; Hönig & Kishimoto 2010), are an obvious choice in the search for such extreme objects. Hints of AGN activity at IR wavelengths can be revealed through spectroscopy diagnostics (Laurent et al. 2000; Armus et al. 2007; Veilleux et al. 2009) or photometric techniques. The latter encompass the selection of AGN-like sources by means of¹ colour–colour constraints (de Grijp et al. 1985; Miley et al. 1985; Lacy et al. 2004, 2007; Hatziminaoglou et al. 2005, 2010; Stern et al. 2005; Assef et al. 2010, 2013; Hony et al. 2011; Jarrett et al. 2011; Donley et al. 2012; Mateos et al. 2012; Messias et al. 2012), red power-law spectral energy distribution (SED) fitting (Alonso-Herrero et al. 2006; Donley et al. 2007), excess IR emission unmatched by pure star-forming SEDs (Daddi et al. 2007), or large

¹ For a more in-depth discussion on the different diagnostics, please consult Donley et al. (2008) and Messias et al. (2012).

optical-to-IR flux ratios ($f_{24}/f_R > 1000$), in addition to bright IR flux cuts and/or extremely red optical-to-near-IR colour cuts (Dey et al. 2008; Fiore et al. 2008; Polletta et al. 2008; Donley et al. 2010). Finally, the IR spectral regime can be combined with other wavebands in order to improve AGN selection efficiency (e.g., Martínez-Sansigre et al. 2005; Donley et al. 2005; Richards et al. 2009; Edelson & Malkan 2012).

For AGN IR-selection to be possible, the circumnuclear dust thermal emission has to dominate the IR SED, thus revealing the features targeted by the techniques mentioned above. Such conditions, however, are frequently not found when studying galaxies hosting low-luminosity AGN, where the host galaxy light dominates instead (Treister et al. 2006; Cardamone et al. 2008; Donley et al. 2008, 2012; Eckart et al. 2010; Petric et al. 2011). High resolution imaging can probe the very centre of a system and avoid this problem (e.g., Höning et al. 2010; Asmus et al. 2011), but it is only applicable to galaxies in the very local Universe. Also, there is evidence that IR selection is biased towards unobscured AGN (Miley et al. 1985; Stern et al. 2005; Donley et al. 2007; Cardamone et al. 2008; Eckart et al. 2010; Mateos et al. 2013). This comes as a shortcoming, given that the main goal of IR-selection is to recover the obscured AGN population missed by even the deepest high-energy surveys. On top of that, by missing low-luminosity AGN (e.g., Stern et al. 2005; Eckart et al. 2010; Mateos et al. 2013), IR-selection misses an evolution phase where AGN are believed to spend most of their life spans (e.g., Hopkins et al. 2005, 2006; Fu et al. 2010). Although currently in a low-luminosity phase, these AGN are believed to have induced strong feedback effect in the past in a quasar phase (e.g., Lipari et al. 2005; Lípari & Terlevich 2006; Hopkins et al. 2008; Maiolino et al. 2012) and/or to do so at later times (for instance, induced by galaxy merger events, e.g., Hopkins et al. 2008; Lípari et al. 2009). It is therefore important to understand what the limitations are of each of the AGN selection techniques used in different spectral regimes. Such an exercise would provide deeper knowledge of the incompleteness affecting current AGN demography studies.

In this work, we focus on the AGN IR-selection dependency on source intrinsic luminosity and obscuration by adopting an observational point of view.

We present the sample adopted in this work in Sect. 2. In Sect. 3 we summarise the results obtained in Messias et al. (2012, Paper I henceforth). The dependency of the IR selection criteria efficiency on luminosity and obscuration is addressed in Sect. 4. We present a discussion of the different effects responsible for the completeness of IR criteria in Sect. 5. We propose different strategies for applying IR-selection criteria depending on sample characteristics in Sect. 6. In Sect. 7, we propose a new criterion based on the filter-set of *James Webb* Space Telescope (JWST) that is different from the criterion presented in Paper I. The conclusions are presented in Sect. 8.

Throughout this paper we use the AB magnitude system², assuming a Λ CDM model with $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$, $\Omega_M = 0.3$, $\Omega_\Lambda = 0.7$. X-ray luminosity (L_{XR}) corresponds to the 0.5–10 keV energy range.

2. The sample

The sample used in this work has already been described in detail in Sect. 4.1 of Paper I. Briefly, for the purpose of AGN identification and obscuration assessment, we focussed on a galaxy sample with available deep X-ray or optical-spectroscopy data in the *Chandra* Deep Field South (CDFS, Giacconi et al. 2002) and in the Cosmological Survey (COSMOS, Scoville et al. 2007). Only sources with reliable photometry (magnitude error below 0.36, i.e., a flux error smaller than a third of the source flux) in the K_s and IRAC bands are considered. The X-ray data come from Xue et al. (2011, the 4Ms exposure, instead of the 2Ms exposure presented in Luo et al. 2008 adopted in Paper I) in CDFS and Brusa et al. (2010) in COSMOS. The same works provide the optical counterparts, whose IR photometry was extracted from the MUSIC (Santini et al. 2009, in CDFS) and Ilbert et al. (2009, in COSMOS; see also McCracken et al. 2010) catalogues. Optical spectroscopy in CDFS has been compiled by Santini et al. (2009) in the MUSIC catalogue with extra spectroscopy data analysis coming from Silverman et al. (2010), while the 10k zCOSMOS-bright catalogue (Lilly et al. 2009) has been considered in the COSMOS field. Detailed photometric redshifts estimates for those X-rays sources with no available spectroscopy were compiled by Xue et al. (2011) in CDFS and provided by Salvato et al. (2011) in COSMOS.

X-ray and spectroscopic data are considered for identifying AGN and separating this population into unobscured (type-1) and obscured (type-2) objects. The spectroscopic classification comes from Santini et al. (2009) and Silverman et al. (2010) in CDFS, and Lilly et al. (2009) and Bongiorno et al. (2010) in COSMOS. In the X-ray, we follow the criteria proposed by Szokoly et al. (2004) where an obscured AGN is considered to have a 0.5–10 keV luminosity $\log(L_{\text{XR}}[\text{erg s}^{-1}]) > 41$, while an unobscured AGN is considered to have $\log(L_{\text{XR}}[\text{erg s}^{-1}]) > 42$. The X-ray obscuration classification is based on the column density (N_{H}) estimate, where sources with $\log(N_{\text{H}}[\text{cm}^{-2}]) \leq 22$ are considered unobscured (A_1), and obscured when $\log(N_{\text{H}}[\text{cm}^{-2}]) > 22$ (A_2). However, by estimating N_{H} via X-ray flux ratios (see Paper I), we are inducing a bias toward type-2 objects at the highest redshifts (Akylas et al. 2006; Donley et al. 2012, and Paper I). We have thus applied a statistical correction to account for this bias. The correction method is described in detail in the appendix of Paper I. Its performance is shown for CDFS and COSMOS independently and compared against the hardness-ratio (HR, a common alternative) in Appendix A of this paper. The X-ray classification was considered over the optical when the two disagree.

Table 1 details the number of AGN considered in each field, and indicates how they separate into unobscured (A_1) and obscured (A_2) AGN, and into high-luminosity (A_{H} , $\log(L_{\text{XR}}[\text{erg s}^{-1}]) \geq 43.5^3$) and low-luminosity (A_{L} , $\log(L_{\text{XR}}[\text{erg s}^{-1}]) < 43.5^4$) AGN. Although upper and lower-limits are considered, about 20% (in CDFS) and 26% (in COSMOS) of the AGN do not have any obscuration estimate mostly due to the soft band (0.5–2 keV) being about six times more sensitive than the hard band (2–8 keV). The intrinsic (obscuration-corrected) X-ray luminosity and redshift distributions are shown for CDFS and COSMOS in Figs. 1 and 2, respectively, for the X-ray AGN sample, highlighting the type-1 and type-2 AGN components.

² When necessary the following relations are used: $(K, [3.6], [4.5], [5.8], [8.0])_{\text{AB}} = (K, [3.6], [4.5], [5.8], [8.0])_{\text{Vega}} + (1.841, 2.79, 3.26, 3.73, 4.40)$ (Roche et al. 2003, and <http://spider.ipac.caltech.edu/staff/gillian/cal.html>).

³ The boundary $\log(L_{\text{XR}}[\text{erg s}^{-1}]) = 43.5$ was chosen so that both populations have a reasonable statistical sample.

⁴ The lower luminosity limit depends on the obscuration nature of the source, as described in the previous paragraph.

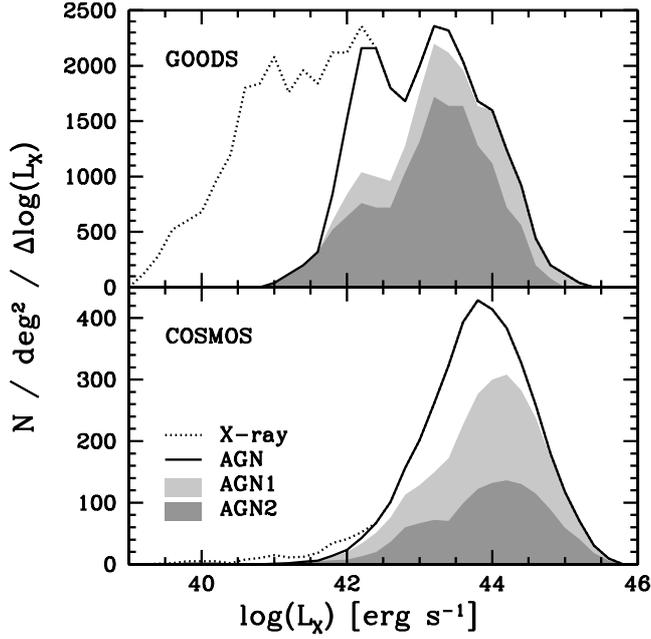


Fig. 1. Source density distribution with intrinsic X-ray luminosity distribution for CDFS (*upper panel*, ~ 140 arcmin 2) and COSMOS (*lower panel*, 1.8 deg 2) samples (note the y -axes are different). The distributions were obtained with a moving bin of width $\log(L_X) = 0.6$, with measurements taken each $\log(L_X) = 0.2$. The overall X-ray population is represented by the dotted line, the AGN by the solid line. The AGN population is further separated into the type-1 (light shaded region, $\log(N_H[\text{cm}^{-2}]) \leq 22$), type-2 (dark shaded region, $\log(N_H[\text{cm}^{-2}]) > 22$), and unknown-type (white region) subpopulations. The regions do not overlap. The unknown-type AGN are those for which data was not enough to allow for an obscuration determination.

Table 1. Sample.

Field	N_{AGN}	Obscuration ^a		Luminosity ^b	
		A_1	A_2	A_H	A_L
[$K_s + \text{IRAC}$]					
CDFS	218	44	129	69	149
COSMOS	1423	543	515	961	457
[$K_s + \text{IRAC} + \text{MIPS}_{24\mu\text{m}}$]					
CDFS	171	35	106	61	110
COSMOS	842	381	322	600	238

Notes. While reliable photometry is required in the upper group of rows – a magnitude error below 0.36 – in $K + \text{IRAC}$ bands, in the lower group of rows we also require reliable $24\mu\text{m}$ photometry. ^(a) The sample is separated into unobscured (A_1 , $\log(N_H[\text{cm}^{-2}]) \leq 22$ or spectroscopy information) and obscured (A_2 , $\log(N_H[\text{cm}^{-2}]) > 22$ or spectroscopy information) AGN. ^(b) The sample is separated into high-luminosity (A_H , $\log(L_{\text{XR}}[\text{erg s}^{-1}]) \geq 43.5$) and low-luminosity (A_L , $\log(L_{\text{XR}}[\text{erg s}^{-1}]) < 43.5$) AGN.

3. AGN IR-selection criteria

In Paper I, we have presented an efficiency analysis of different colour–colour diagnostics based on X-rays, optical, mid-IR (MIR), millimetre and radio samples. Three of the tested AGN selection criteria, proposed in the literature, consider purely IRAC photometry (Lacy et al. 2004, 2007; Stern et al. 2005; Donley et al. 2012, referred to henceforth as L07, S05, and D12, respectively), while the two new criteria proposed in Paper I

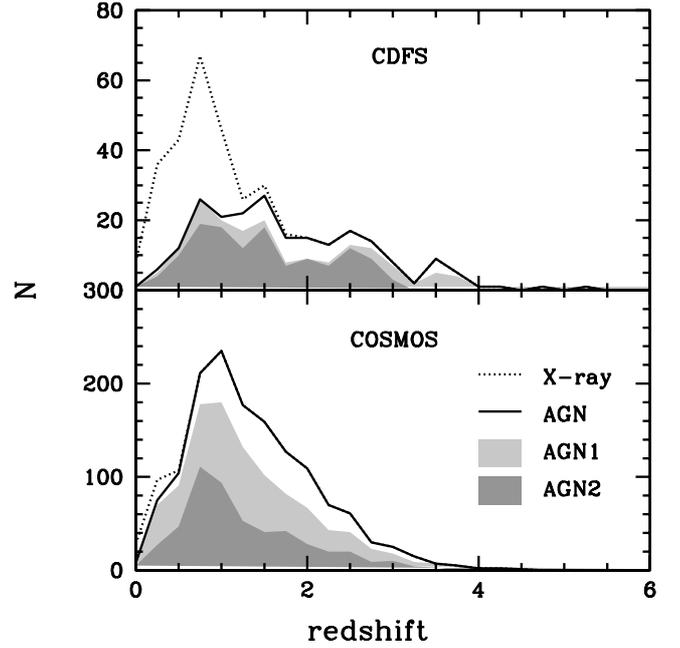


Fig. 2. Redshift distribution for CDFS (*upper panel*) and COSMOS (*lower panel*) samples (note the y -axes are different). The histograms are cumulative, and no moving bin was applied. Line and region coding as in Fig. 1.

consider $K_s + \text{IRAC}$ (KI) and $K_s + \text{IRAC} + \text{MIPS}_{24\mu\text{m}}$ (KIM) photometry. The colour–colour constraints for KI and KIM are as follows:

$$\text{KI} \equiv \begin{cases} K_s - [4.5] > 0 \\ [4.5] - [8.0] > 0 \end{cases} \quad (1)$$

$$\text{KIM} \equiv \begin{cases} K_s - [4.5] > 0 \\ [8.0] - [24] > 0.5 \\ [8.0] - [24] > -2.9 \times ([4.5] - [8.0]) + 2.8. \end{cases} \quad (2)$$

These two criteria were developed with the goal of achieving maximum efficiency (select more AGN sources with less contamination by non-AGN sources) using a minimum number of filters in order to optimize telescope observing time. They were especially designed for deep fields and took the JWST wavelength coverage into account. The considered filters – K_s , $4.5\mu\text{m}$, $8.0\mu\text{m}$, and $24\mu\text{m}$ (or $2.0\mu\text{m}$, $4.4\mu\text{m}$, $7.7\mu\text{m}$, and $18\mu\text{m}$ during JWST science mission, see Sect. 7) – are believed to be the key to tackling the photometric degeneracy between AGN sources and high-redshift dusty starbursts.

In Paper I, we show that, overall, KI recovers ~ 50 – 60% of the total AGN sample (its completeness, C), and ~ 50 – 90% of the KI-selected sample reveals AGN activity at X-rays and/or optical spectral regimes (its reliability, \mathcal{R}). KIM is less complete than KI, recovering ~ 30 – 40% of the total AGN sample, but equally (or more) reliable with ~ 75 – 90% of the KIM-selected sample revealing AGN activity. The \mathcal{R} values should be regarded as lower limits, given that the X-rays and optical spectral regimes themselves do not provide a complete sample of AGN sources. This also means that C values should be used for comparison between criteria and not assumed as real values.

Compared to the Lacy et al. (2007, L07), Stern et al. (2005, S05), and Donley et al. (2012, D12) criteria, the most significant improvement by KI and KIM is achieved at $1 < z \leq 2.5$. At these

redshifts, KI (with $C = 40 \pm 8\%$ and $\mathcal{R} = 53 \pm 12\%$ ⁵) and KIM (with $C = 26 \pm 7\%$ and $\mathcal{R} = 64 \pm 20\%$) are more reliable than L07 ($\mathcal{R} = 29 \pm 5\%$) and S05 ($\mathcal{R} = 27 \pm 6\%$), and KI is more complete than D12 ($C = 19 \pm 5\%$). At $z \lesssim 1$, although our sample did not allow for a conclusive answer, a larger yet shallower WISE sample (likely dominated by $z \lesssim 1$ sources) compiled by [Assef et al. \(2013\)](#) has distinguished KI and KIM among the best criteria. At higher redshifts ($z \gtrsim 2.5$, where criteria using $< 8 \mu\text{m}$ wavebands fail), KIM is believed to be the best criteria purely based on a model analysis.

Overall, L07 is the most complete criteria ($C \sim 70\text{--}80\%$), but also the least reliable ($\mathcal{R} \sim 30\text{--}55\%$), while at the other extreme, D12 is always the most reliable ($\mathcal{R} \sim 75\text{--}100\%$, although affected by low statistical significance), but the least complete ($C \sim 25\text{--}40\%$). S05 selects a comparable number of AGN ($C \sim 45\text{--}65\%$) to KI, but with less reliability ($C \sim 40\text{--}85\%$). KI and KIM are thus believed to be the best alternative, with KI more complete, yet contaminated by $z \gtrsim 2.5$ non-AGN sources. This effect is expected not to affect KIM, making this criterion the best alternative in deep surveys, unless a flux cut is adopted.

4. Selection of type-1/2 and low-/high-luminosity sources

The next step in evaluating the AGN IR-criteria is to characterize the selected AGN population in terms of luminosity and obscuration. Previous studies have claimed that IR colour–colour criteria are biased toward unobscured systems (broad-line AGN or type-1 AGN; [Stern et al. 2005](#); [Donley et al. 2007](#); [Cardamone et al. 2008](#); [Eckart et al. 2010](#); [Mateos et al. 2013](#)) and tend to select the most luminous objects, missing many low-luminosity ones ([Treister et al. 2006](#); [Cardamone et al. 2008](#); [Donley et al. 2008, 2012](#); [Eckart et al. 2010](#)). These tendencies are also assessed in this section.

For the purpose of estimating AGN obscuration, there are many alternatives in the literature, each with its own bias(es). As a result, results will eventually be different in each work. In Appendix A we discuss the bias affecting different approaches depending on survey characteristics and redshift. The analysis presented in Appendix A and in Paper I, and the limitations of the HR method ([Eckart et al. 2006](#); [Messias et al. 2010](#); [Brightman & Nandra 2012](#)) justify our choice of adopting a statistically corrected N_{H} estimate (described in Paper I) to assess X-ray obscuration. We believe this is the most reliable approach by allowing for such a large AGN sample throughout a wide redshift range.

Given the occasional small number statistics we are dealing with in this section, we estimate the statistical error as $\pm 0.5 + \sqrt{N} + 0.25$, where the negative sign refers to the lower error and the positive sign to the upper error⁶. Some advantages of this alternative over the traditional \sqrt{N} is that the upper error does not tend to zero for $N \rightarrow 0$, and it retrieves a non-zero lower error for $N = 1$. For large N , both errors tend to the traditional assumption, \sqrt{N} .

4.1. AGN IR-selection dependency on luminosity

We again emphasize that the aim of IR colour–colour criteria is the selection of galaxies with an IR SED dominated by

⁵ Errors indicated refer to Poisson statistical uncertainty.

⁶ This alternative is proposed by the Collider Detector at Fermilab Statistics Committee as described in http://www-cdf.fnal.gov/physics/statistics/notes/pois_eb.txt

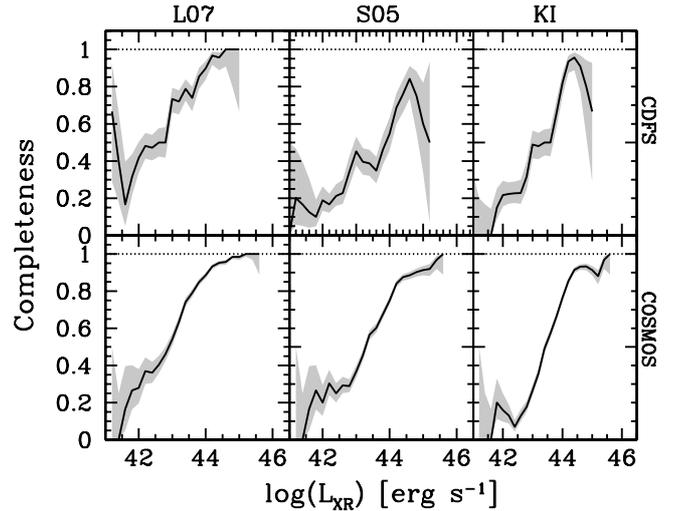


Fig. 3. AGN completeness for L07, S05, and KI criteria depending on source X-ray luminosity. The light-grey regions show the associated statistical error. A moving bin is used as described in Fig. 1.

AGN light. However, low-luminosity AGN will often not dominate the IR emission of a galaxy, making their IR selection unlikely. This is clearly seen in Fig. 3, where the completeness of the L07, S05, and KI AGN selection criteria increases significantly with source luminosity, in agreement with previous work ([Treister et al. 2006](#); [Cardamone et al. 2008](#); [Donley et al. 2008](#); [Eckart et al. 2010](#)). If, as indicated by previous work, type-1 AGN tend to be more luminous than type-2 AGN (see the discussions in [Treister et al. 2009](#); [Bongiorno et al. 2010](#); [Burlon et al. 2011](#); [Assef et al. 2013](#), and references therein), this will then lead to a higher fraction of type-1 objects among IR-selected AGN samples. However, it is important to stress that this would not necessarily imply a higher sensitivity of IR criteria toward type-1 AGN, but would mostly be a natural result of luminosity dependence.

4.2. Obscuration dependency on luminosity and its evolution with redshift

As just discussed, a possible natural luminosity-obscuration relation may influence the conclusions. In addition, the type-2 fraction has been reported to increase with redshift ([Hasinger 2008](#); [Treister et al. 2009](#); [Bongiorno et al. 2010](#) but see the analysis by [Lusso et al. 2013](#) even though limited to type-1 objects). Here, too, we briefly address these results. Figure 4 shows the variation in the type-2 fraction with intrinsic luminosity, where the fraction presented is $A_2/(A_1 + A_2)$, with A_1 and A_2 being the numbers of type-1 and type-2 sources, respectively. For improved display of the results, the CDFS and COSMOS samples have been considered together as one (see Appendix A for their separate behaviours). While the top left panel considers the whole AGN sample, the remaining panels consider three redshift intervals with an equal number of AGN: $z < 0.89$ (top right), $0.89 \leq z < 1.57$ (bottom left), and $z \geq 1.57$ (bottom right). The dark-grey shaded regions indicate a crude estimate of the sample completeness for the CDFS and COSMOS X-ray coverage. These are estimated by assuming the intrinsic luminosity of a source at $z = 0.89, 1.57, \text{ and } 3$, yielding an observed flux equal to the survey sensitivity. The luminosity range of the regions reflects the column density range $0 \leq \log(N_{\text{H}}[\text{cm}^{-2}]) \leq 24$.

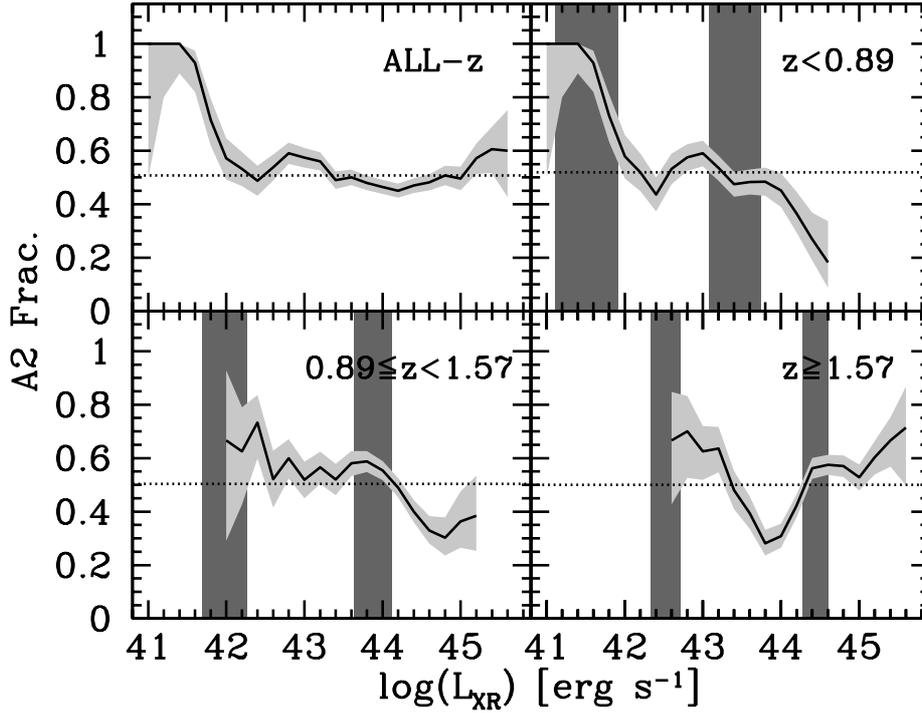


Fig. 4. Variation in obscured fraction with source X-ray luminosity in CDFS and COSMOS. The ratio is $A_2/(A_1 + A_2)$, where A_1 and A_2 are the numbers of type-1 and type-2 sources, respectively. Here, the two samples are considered together as one. The *top left panel* considers the whole AGN sample, while the other panels consider, respectively, $z < 0.89$ (*top right*), $0.89 \leq z < 1.57$ (*lower left*), and $z \geq 1.57$ (*lower right*) AGN. A moving bin is used as described in Fig. 1. Light-grey shaded regions show the associated statistical error, while dark-grey shaded regions show tentative completeness levels for the CDFS (at low luminosities) and COSMOS (at high luminosities) samples accounting for column densities of up to $\log(N_{\text{H}}[\text{cm}^{-2}]) = 24$ (see text). The horizontal dotted line shows the overall A_2 fraction in each case, $A_2^{\text{TOT}}/(A_1^{\text{TOT}} + A_2^{\text{TOT}})$.

Overall, we observe a more or less constant type-2 fraction with luminosity at $\log(L_{\text{XR}}[\text{erg s}^{-1}]) \gtrsim 42$ of ~ 0.5 . However, when separating the sample into redshift bins, one observes that luminous AGN ($\log(L_{\text{XR}}[\text{erg s}^{-1}]) \gtrsim 44$) are mostly unobscured up to ~ 1.6 , while the opposite is observed at higher redshifts. A positive increase in the type-2 fraction at these luminosities is also observed if the HR is used instead of N_{H} to assess unobscured/obscured types (see Sect. A) and is in agreement with the literature. Due to sample incompleteness, we do not attempt to measure how the obscuration–luminosity relation evolves with redshift down to lower luminosities. However, based on the evolution at the highest luminosities, we know it has to change, either in its slope or its scaling factor.

4.3. AGN IR-selection dependency on obscuration

Now, assuming the efficiency of IR criteria is clearly dependent on source luminosity, as observed in Fig. 3, the dependency of the type-2 fraction on luminosity (Fig. 4) should dictate the type-2 fraction observed in an AGN sample selected via any AGN IR-criteria. In other words, the IR criteria should present the same type-2 fraction observed in the highest luminosity bins of a given sample. In our case, based on Fig. 4, that would mean a fraction of ~ 0.5 for IR criteria, but less than 0.5 if a shallower sample (limited to low-redshift sources) would be adopted. Does our sample confirm this pure-luminosity dependence or does it nevertheless imply a bias toward type-1 sources, as defended in the literature?

Figure 5 helps to clarify this point. We recall that we consider the corrected N_{H} and $L_{\text{X}}^{\text{INT}}$ values as adopted in Paper I. That assumption triggers the trend observed in the top left panel in

Fig. 4 and repeated in Fig. 5 for reference. In Fig. 5, one observes at least S05 and KI criteria being biased toward type-1 AGN at intermediate luminosities ($43.3 \lesssim \log(L_{\text{X}}[\text{erg s}^{-1}]) \lesssim 44$), given that the type-2 fraction for S05- and KI-selected samples is lower than observed for the whole sample in this luminosity range. At the highest luminosities, the results are consistent with both types being selected with equal efficiency, since the type-2 fraction is unchanged. Limiting the study to sources also with reliable photometry in the MIPS₂₄ band, the S05 criterion still shows a significant bias toward type-1 AGN.

This agrees with the findings of Treister et al. (2009), who noticed a lack of IR excess emission in intermediate-luminosity obscured AGN, even though their analysis is mainly spectroscopically based (which could induce a disagreement with our type-1/type-2 population demography). Also, Mateos et al. (2013), using their IR-selection criterion, show a higher type-1 completeness compared to that observed for type-2 objects at $43 \lesssim \log(L_{\text{X}}[\text{erg s}^{-1}]) \lesssim 44$, while at higher luminosities the type-2 population is likely to be poorly represented.

To explain this trend, Treister et al. (2009) invoke the effect of self-absorption based on an analysis using Nenkova et al. (2008) clumpy dust-torus models. However, gas may play a role as well. If one considers the scenario where gas is responsible for the bulk of the absorption of X-ray light (see discussion in the following section) or hypothesizes type-2 AGN as having intrinsically higher gas obscuration between the X-ray corona and the dust-torus than type-1 AGN, then one should expect weaker IR emission from type-2 AGN (considering the same amount of circumnuclear dust). Although we are unable to confirm which absorber is dominant in our sample, the gas hypothesis cannot be ruled out as an ingredient to produce this bias toward type-1

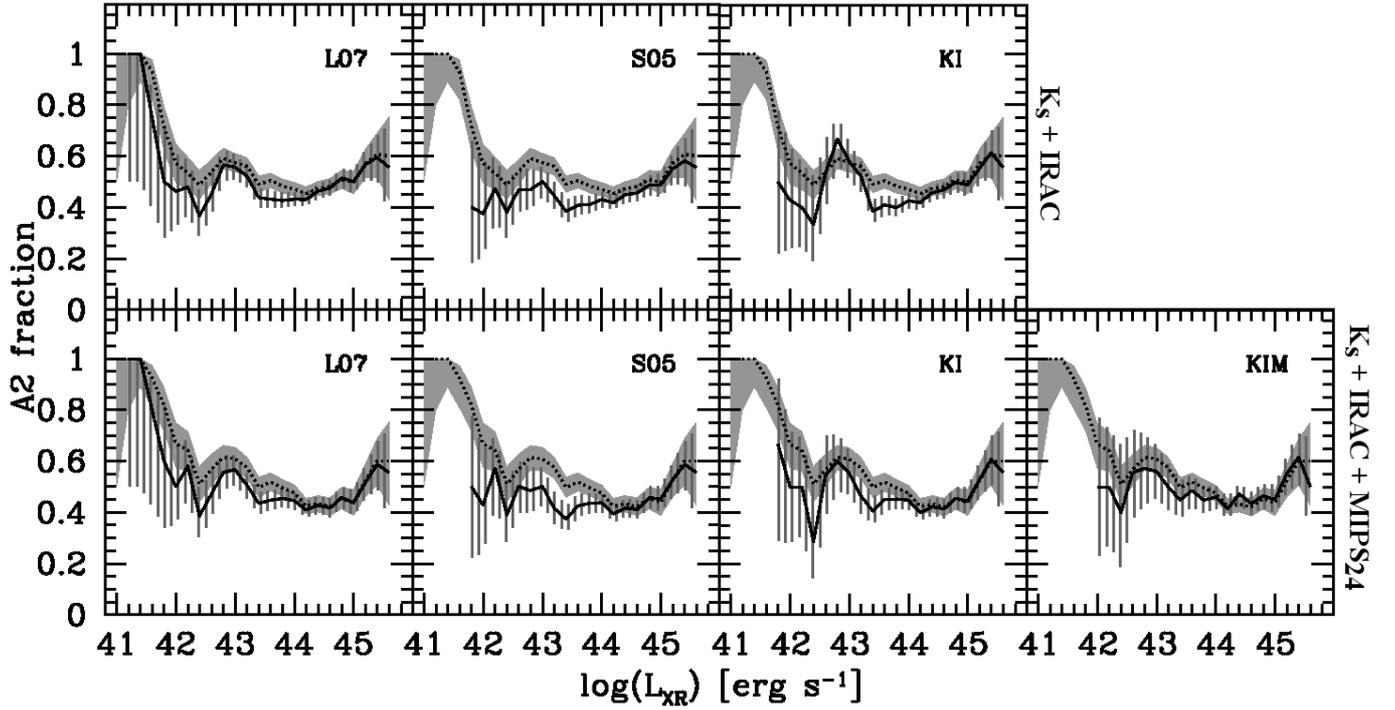


Fig. 5. Variation of the obscured fraction ($A_2/(A_1 + A_2)$) with source intrinsic X-ray luminosity (solid line) for L07 (left), S05 (middle left), KI (middle right), and KIM (right). The top row considers sources with reliable photometry in the $K_s + IRAC$ bands, while the bottom row considers sources with reliable photometry in the $K_s + IRAC + MIPS_{24}$ bands. The CDFS and COSMOS samples are considered together. The shaded and hatched regions show the associated statistical uncertainty. The dotted line refers to the obscured fraction observed in the whole sample (Fig. 4). A moving bin is used as described in Fig. 1.

Table 2. AGN-type selection comparison.

Sample	Criterion	CDFS						COSMOS					
		A_1^a	A_2^a	\mathcal{F}_2^b	A_H^a	A_L^a	\mathcal{F}_H^b	A_1^a	A_2^a	\mathcal{F}_2^b	A_H^a	A_L^a	\mathcal{F}_H^b
K_s+IRAC	[none]	44	129	0.75 ^(0.03) _(0.03)	69	149	0.32 ^(0.03) _(0.03)	543	515	0.49 ^(0.02) _(0.02)	961	457	0.68 ^(0.01) _(0.01)
	L07	35	78	0.69 ^(0.04 0.02) _(0.05*0.01)	62	83	0.43 ^(0.04 0.02) _(0.04*0.03)	470	376	0.44 ^(0.02 0.02) _(0.02*0.02)	866	245	0.78 ^(0.01 0.02) _(0.01*0.02)
	S05	24	44	0.65 ^(0.06 0.09) _(0.06*0.09)	39	41	0.49 ^(0.05 0.09) _(0.05*0.13)	413	304	0.42 ^(0.02 0.03) _(0.02*0.04)	749	168	0.82 ^(0.01 0.04) _(0.01*0.03)
	D11	14	26	0.65 ^(0.07 0.08) _(0.08*0.16)	24	23	0.51 ^(0.07 0.13) _(0.07*0.11)	277	194	0.41 ^(0.02 0.05) _(0.02*0.04)	514	56	0.90 ^(0.01 0.02) _(0.01*0.04)
	KI	28	55	0.66 ^(0.05 0.02) _(0.05*0.01)	52	48	0.52 ^(0.05 0.04) _(0.05*0.02)	392	289	0.42 ^(0.02 0.02) _(0.02*0.01)	759	118	0.87 ^(0.01 0.02) _(0.01*0.03)
$K_s+IRAC+$ $MIPS_{24\mu m}$	[none]	35	106	0.75 ^(0.03) _(0.04)	61	110	0.36 ^(0.04) _(0.04)	381	322	0.46 ^(0.02) _(0.02)	600	238	0.72 ^(0.02) _(0.02)
	L07	31	61	0.66 ^(0.05 0.03) _(0.05*0.01)	54	62	0.47 ^(0.05 0.02) _(0.05*0.02)	353	259	0.42 ^(0.02 0.01) _(0.02*0.01)	567	156	0.78 ^(0.01 0.01) _(0.02*0.01)
	S05	22	37	0.63 ^(0.06 0.08) _(0.07*0.06)	36	33	0.52 ^(0.06 0.08) _(0.06*0.11)	318	222	0.41 ^(0.02 0.01) _(0.02*0.03)	520	105	0.83 ^(0.02 0.02) _(0.02*0.02)
	D11	12	24	0.67 ^(0.07 0.04) _(0.08*0.15)	22	19	0.54 ^(0.08 0.13) _(0.08*0.05)	234	161	0.41 ^(0.03 0.02) _(0.02*0.02)	406	45	0.90 ^(0.01 0.01) _(0.02*0.02)
	KI	24	43	0.64 ^(0.06 0.01) _(0.06*0.00)	45	35	0.56 ^(0.05 0.03) _(0.06*0.01)	294	206	0.41 ^(0.02 0.01) _(0.02*0.00)	509	75	0.87 ^(0.01 0.01) _(0.01*0.01)
KIM	19	37	0.66 ^(0.06 0.05) _(0.07*0.03)	36	32	0.53 ^(0.06 0.05) _(0.06*0.07)	237	170	0.42 ^(0.02 0.03) _(0.02*0.03)	422	59	0.88 ^(0.01 0.01) _(0.02*0.01)	

Notes. While in the upper group of rows reliable photometry is required – a magnitude error below 0.36 – in K_s+IRAC bands, in the lower group of rows we also require reliable $24\mu m$ photometry. A_1 stands for AGN type-1, whereas A_2 for type-2 (X-ray or spectroscopic classifications). A_L refers to the sources having intrinsic luminosities of $\log(L_{XR}[\text{erg s}^{-1}]) < 43.5$, while A_H refers to those having $\log(L_{XR}[\text{erg s}^{-1}]) \geq 43.5$. ^(a) Number of AGN sources selected by the applied IR criterion. ^(b) AGN type fraction: $\mathcal{F}_2 = A_2/(A_1 + A_2)$ and $\mathcal{F}_H = A_H/(A_H + A_L)$. Higher \mathcal{F}_2 or \mathcal{F}_H values than those found for the whole sample (first row in each row group) imply a bias toward A_2 or A_H AGN, respectively. Numbers in parenthesis refer, respectively, to the statistical error and the photometric upper and lower error bars. ^(c) The first row in each group refers to the total number of sources of a given type with reliable K_s+IRAC (upper group) and $K_s+IRAC+24\mu m$ (bottom group) photometry.

AGN. Nevertheless, by basing their analysis mostly on optical spectroscopy, the analysis by Treister et al. (2009) is mostly unaffected by gas absorption (which affects X-rays), thus allowing that dust self-absorption can in principle be behind this type-1-bias in AGN IR-selection.

The general picture of the overall bias observed for each AGN IR-selection criterion is detailed in Table 2. It shows a clear bias towards more X-ray luminous sources and, at a lower level, towards type-1 objects. The latter is more noticeable in

S50 and KI criteria. D12, KI, and KIM are the criteria that show the highest high-luminosity fraction (i.e., a higher fraction of high-luminosity AGN).

5. Discussion on AGN IR-selection completeness

In Paper I, overall low completeness ($\leq 50\%$) was shown for the CDFS sample, especially for the S05, D12, KI, and KIM criteria at $z < 2.5$. At higher redshifts or brighter flux limits (as in

the COSMOS sample), one is restricted to more luminous objects, which are prone to being selected by IR AGN diagnostics (Treister et al. 2006; Cardamone et al. 2008; Donley et al. 2008; Eckart et al. 2010, and Sect. 4.1), resulting in high completeness levels. With decreasing redshifts, however, X-ray observations and optical spectroscopy are able to detect more low-luminosity AGN, sources that will probably not dominate the total IR SED of a galaxy, resulting either from IR-luminous obscured star-formation in the galaxy (Rigopoulou et al. 1999; Veilleux et al. 2009; Nordon et al. 2013) or from the complete absence of a dust torus (e.g., Ho 2008; Asmus et al. 2011; Burlon et al. 2011; Plotkin et al. 2012). The former plays a significant role, since IR-selected AGN have mostly been found in star-forming hosts (Hickox et al. 2009; Griffith & Stern 2010).

But one key reason that affects the completeness at all redshifts is the fact that the major source of obscuration of AGN light is gas. Short time variability for both flux and absorption column density (Elvis et al. 2004; Risaliti et al. 2007; Giustini et al. 2011) implies obscuration material at smaller radii than the dusty torus inner radius, which is set by the sublimation radius (R_{sub} , e.g., Suganuma et al. 2006; Nenkova et al. 2008). These dust-free gas clouds will only absorb X-ray emission and will not re-emit a continuum spectrum in the IR as dust does. Also, the dusty material, which absorbs UV/optical light, blocks both X-ray and UV/optical emission. X-ray column densities are therefore always higher than those producing the UV/optical obscuration, up to extreme ratios of two orders of magnitude (Maccacaro et al. 1982; Gaskell et al. 2007). These findings imply that dust-free clouds at $<R_{\text{sub}}$ frequently represent the bulk of the X-ray obscuration. As such, the AGN-induced dust emission will be relatively weak or non-existent especially in low-luminosity AGN (Ho 2008; Asmus et al. 2011; Plotkin et al. 2012), but high-luminosity cases also exist where the IR excess is not as extreme as expected (Comastri et al. 2011).

The observed low completeness levels *should not* be taken as the real completeness levels of AGN IR-selection criteria. At this stage it is impossible to estimate the incompleteness of the X-rays and optical criteria used to assemble our base control sample (Sect. 2). This result just means that, at lower redshifts, there is a population of low-luminosity AGN that will be successfully detected in the X-rays and optical regimes, but completely outshone by the host galaxy light in the IR regime.

6. Strategy for AGN IR-selection

With such a plethora of AGN IR-selection criteria, the astronomer faces a hard decision for which one to adopt. As shown by the many techniques and criteria in the literature, different methods will select either a heterogeneous AGN sample or a subset of it, and with different efficiencies. Based on the results from Paper I and this work, as well as those found in the literature, we propose different strategies depending on survey or sample characteristics to assess the IR AGN population.

If a spectroscopic redshift is available, the astronomer is advised to use:

- the $K_s - [4.5]$ colour or the Assef et al. (2013) criterion⁷ at $z < 1$;
- the $[4.5] - [8.0]$ colour at $1 < z < 2.5$;
- the $[8.0] - [24]$ at $z > 3$.

⁷ $W1 - W2 > 0.662 \exp\{0.232(W2 - 13.97)^2\}$, where $W1$ and $W2$ are, respectively, the $3.4 \mu\text{m}$ and $4.6 \mu\text{m}$ bands on board WISE.

On the other hand, if a spectroscopic redshift is not available and if a proper photometric redshift has not been estimated (as in, e.g., Salvato et al. 2009, 2011; Cardamone et al. 2010; Luo et al. 2010; Fotopoulou et al. 2012, where photometric redshift were tuned for AGN emission), the astronomer is advised to use:

- the Assef et al. (2013) criterion or the $K_s - [4.5]$ colour aided by a flux cut *a la* Assef et al. (2013) in samples where only WISE data is available or no deep $\geq 5 \mu\text{m}$ data is available;
- a criterion composed by $< 8 \mu\text{m}$ spectral bands in shallow or intermediate-depth coverages, such as L07, S05, D12, or KI, where KI is believed to be the best compromise between completeness and reliability;
- the KIM criterion for deep coverages or a criterion composed by $< 8 \mu\text{m}$ spectral bands aided by a flux cut *a la* Assef et al. (2013) if no deep $24 \mu\text{m}$ data is available;
- extreme optical-to-IR flux ratios (e.g., $f_{24}/f_R > 1000$) in addition to bright flux cuts and/or additional colour cuts (e.g., Fiore et al. 2008; Polletta et al. 2008), in case the goal is to select rare, extremely obscured AGN alone.

7. Adapting KIM to the JWST filter set

Adapting KIM to planned JWST filters is not straightforward. Near-to-mid-IR filters used in KIM do have different responses from those of JWST. Also, the JWST filter set is richer. Preferably, the medium-band K_s is replaced by the $2.0 \mu\text{m}$ broadband JWST filter, allowing deeper flux levels to be reached. As for the *Spitzer*-IRAC $4.5 \mu\text{m}$ filter, the JWST $4.4 \mu\text{m}$ filter is the alternative. As for the $8 \mu\text{m}$ and $24 \mu\text{m}$ filters on board *Spitzer*, in Paper I, we have proposed the $10 \mu\text{m}$ and $21 \mu\text{m}$ filters as preliminary alternatives. However, using the $10 \mu\text{m}$ filter creates a degeneracy between high-redshift AGN and $z \sim 1$ highly obscured non-AGN starbursts in the $2-8 \mu\text{m}$ colour space. Although a morphology analysis could in principle correct this, we now propose filters $7.7 \mu\text{m}$ and $18 \mu\text{m}$ as the new alternatives for KIM filters $8 \mu\text{m}$ and $24 \mu\text{m}$ (Fig. 6). Besides preventing the $z \sim 1$ non-AGN contamination, these two filters are less affected by the telescope's thermal emission, thus allowing fainter exposures. The new colour constraints are the following:

$$\text{KIM}_{\text{JWST}} \equiv \begin{cases} [2.0] - [4.4] > 0 \\ [7.7] - [18] > 0.4 \\ [7.7] - [18] > -9 \times ([4.4] - [7.7]) + 2.2. \end{cases} \quad (3)$$

The possible disadvantage is slight contamination by highly obscured non-AGN galaxies at $z > 6$. Their existence, however, is unknown at this stage. Although $z > 6$ galaxies have been found already, they show low levels of metallicity ($\lesssim Z_{\odot}$, Dunlop et al. 2012a, 2013; Zitrin et al. 2012; Jiang et al. 2013), hence low or no dust content. These will appear much bluer in both $[4.4] - [7.7]$ and $[7.7] - [18]$ colours. But the rest-frame UV selection in these works, on the other hand, may be inducing a selection bias that misses a dust-rich population. For instance, gravitational lensing has recently revealed two dusty sources at $z \sim 5.7$ (Vieira et al. 2013), and large amounts of dust have indeed been found at $z > 6$, even though only in a QSO host-galaxy so far (Bertoldi et al. 2003; Gall et al. 2011; Valiante et al. 2011).

8. Conclusions

This work tests the dependency of AGN selection via IR-colour techniques on source luminosity and obscuration. For that purpose we assembled a deep IR AGN sample in the CDFS and

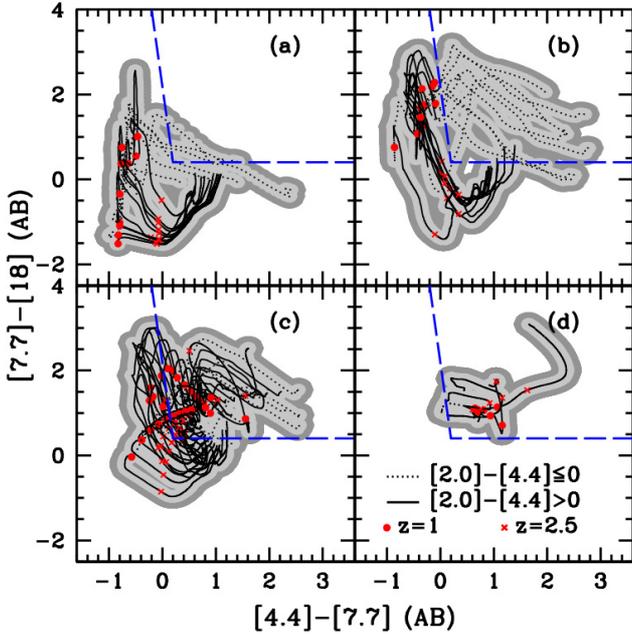


Fig. 6. Model colour tracks displayed in the proposed colour–colour space with JWST bands adapted from KIM criterion. Each panel presents a specific group: **a)** early/late, **b)** starburst, **c)** hybrid, and **d)** AGN. The dotted portion of the tracks refers to the redshift range where $[2.0] - [4.4] \leq 0$, and $[2.0] - [4.4] > 0$ when solid. Red circles and stars along the lines mark $z = 1$ and $z = 2.5$, respectively. The light and dark-grey regions show the photometric scatter due to a magnitude error of 0.1 and 0.2, respectively, in the bands considered (equivalent to $\sim 10\%$ and $\sim 20\%$ error in flux, respectively).

COSMOS fields and characterized it by X-ray luminosity and by obscuration as indicated by X-rays or optical spectroscopy.

We observed the already known strong bias toward high-luminosity AGN and showed that the bias toward type-1 (unobscured) sources is only significant at intermediate luminosities ($43.3 \lesssim \log(L_X[\text{erg s}^{-1}]) \lesssim 44$). At higher luminosities, IR criteria select with equal efficiency type-1 and type-2 AGNe, while at lower luminosities, IR criteria have high incompleteness levels, thus increasing the statistical error dramatically. As a result, AGN IR-selection is genuinely biased toward unobscured AGN, but only at intermediate luminosities. However, we show that type-1 AGN are intrinsically more luminous than type-2 AGN at $z \lesssim 1.6$, thus leading to more type-1 AGN being selected when the IR survey is shallower, as a result of the IR-selection dependency on luminosity (Sect. 4).

We explicitly show the reader that survey characteristics and methods used to assess AGN obscuration do matter. Disregarding this fact will induce large discrepancies when comparing of results (Appendix A).

These results strengthen the strategy where (i) to gather a complete or more reliable AGN sample, one should consider a multi-wavelength set of AGN criteria; (ii) deep X-ray observations are important for recovering the low-luminosity AGNe; and (iii) hard-X-ray observations (such as those with NuSTAR), IR-radio selection, or high-resolution IR imaging (e.g., with JWST) are the key to recovering the (highly-)obscured AGN, especially at low luminosities.

Especially for the AGN IR colour-selection, and considering our study in Paper I and here, as well as work in the literature, we propose a selection strategy that depends on the availability of a

reliable redshift measurement, survey depth, or target AGN population (Sect. 6).

Finally, we point out that when JWST comes online, the broad-band filters $2.0 \mu\text{m}$, $4.4 \mu\text{m}$, $7.7 \mu\text{m}$, and $18 \mu\text{m}$ will be essential for disentangling AGN- from non-AGN-dominated SEDs at depths where spectroscopy becomes impractical, or for AGN selection in case a JWST deep survey is pursued (Sect. 7).

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Appendix A: Biases affecting type-1/type-2 demography

Besides a possible natural luminosity–obscuration relation and its evolution with redshift (Sects. 4.1 and 4.2), assessing the obscuration level of an AGN is dependent on the technique used for that purpose, thus influencing the final conclusions. Here, we explicitly show the differences.

X-ray obscuration can be assessed via spectroscopic or photometric analysis. While the former is the most reliable, it also limits the analysis to the brightest objects. As a result, the hardness-ratio (HR) or the column density (N_H) are estimated photometrically and commonly adopted. The HR is based on photon count ratio (i.e., $(H - S)/(H + S)$, where H and S are the hard- and soft-band counts), which depends on the telescope’s soft-to-hard band relative sensitivity, and is biased towards type-1 objects with increasing redshift ([Eckart et al. 2006](#); [Messias et al. 2010](#)). As described in Paper I, N_H is estimated based on the soft-to-total flux ratio and redshift, but it requires a correction due to a bias toward type-2 objects with increasing redshift and flux error (Paper I, but see also [Akylas et al. 2006](#); [Donley et al. 2012](#)).

In Table A.1, the different approaches are compared while considering the CDFS and COSMOS separately and together. The first row shows the type-2 fractions when HR and the obscured luminosity are adopted. This is the method that retrieves the lowest values. When adopting N_H (second row), the increase is significant, especially in COSMOS where it doubles. If one then corrects the observed luminosity to an intrinsic luminosity (third row), the slight differences compared to the second row result from changes in the low-luminosity population (mostly due to the different luminosity lower-limit in the type-1 and type-2 X-ray classification). The bottom row shows the result of correcting the N_H estimate, which yields no significant difference in COSMOS, but a significant decrease in CDFS compared to the third row. This is mostly due to the higher fraction of high-redshift sources in the CDFS sample (Fig. 2) for which the N_H correction is most relevant.

The left column in Fig. A.1 shows how the values reported in Table A.1 depend on luminosity. In CDFS the differences come mostly from discrepancies at $\log(L_{XR}[\text{erg s}^{-1}]) \gtrsim 43.6$, while in COSMOS it is an overall shift at $\log(L_{XR}[\text{erg s}^{-1}]) \gtrsim 42$. The middle column shows the same, but only for AGN at $z < 1$. While the CDFS sample does not show significant differences

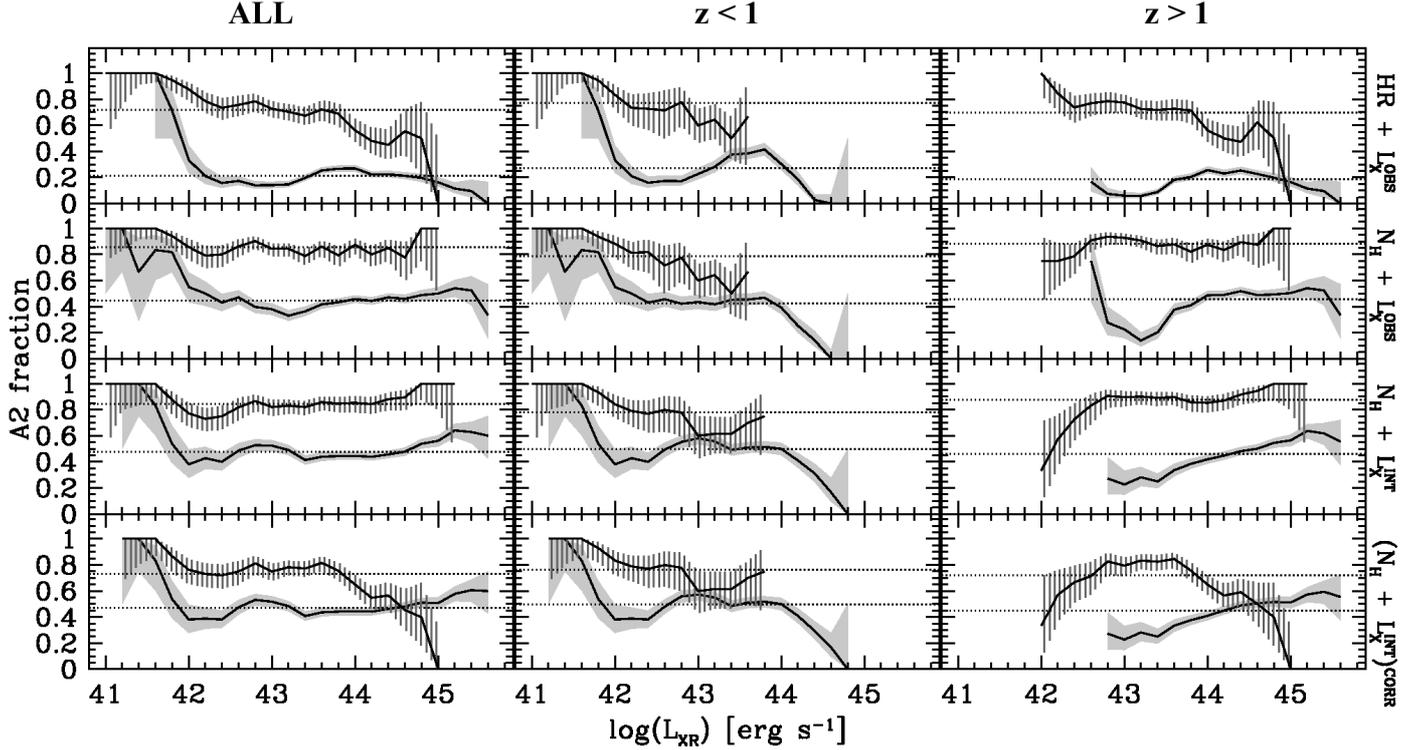


Fig. A.1. Variation in the A_2 fraction with source X-ray luminosity in CDFS (solid line with hatched uncertainty region) and COSMOS (solid line with shaded uncertainty region). The ratio is $A_2/(A_1 + A_2)$, where A_1 and A_2 are the numbers of type-1 and type-2 sources, respectively. Here, the two samples are considered individually and at two different redshift ranges (*middle and right columns*). The different panels show the effect of different assumptions while assessing the luminosity and obscuration classes. The *upper two panels* show the difference between considering HR or N_H to separate the population into type-1 (unobscured) and type-2 (obscured) sources. The *middle lower panel* shows the effect of adopting the intrinsic luminosity (L_X^{INT}) instead of the observed luminosity (L_X^{OBS} , *upper panels*). Finally, the *bottom panel* shows the effect of correcting for the N_H bias when derived via flux-ratios (Paper I). A moving bin is used as described in Fig. 1. The horizontal dotted line shows the overall A_2 fraction in each case, $A_2^{\text{TOT}}/(A_1^{\text{TOT}} + A_2^{\text{TOT}})$.

Table A.1. Assessing the obscured fraction through different methods.

Parameters	CDFS	COSMOS	Overall
$L_X^{\text{OBS}} + \text{HR}$	$0.72_{0.04}^{0.03}$	$0.21_{0.01}^{0.01}$	$0.28_{0.01}^{0.01}$
$L_X^{\text{OBS}} + N_H$	$0.85_{0.03}^{0.03}$	$0.44_{0.02}^{0.02}$	$0.51_{0.02}^{0.02}$
$L_X^{\text{INT}} + N_H$	$0.84_{0.03}^{0.03}$	$0.48_{0.02}^{0.02}$	$0.53_{0.01}^{0.01}$
$(L_X^{\text{INT}} + N_H)^a$	$0.73_{0.04}^{0.03}$	$0.47_{0.02}^{0.02}$	$0.51_{0.01}^{0.01}$

Notes. The listed values are the type-2 AGN fraction, $A_2/(A_1 + A_2)$, where A_1 and A_2 are the numbers of type-1 and type-2 sources, respectively. L_X^{OBS} and L_X^{INT} are, respectively, the observed and intrinsic (obscuration-corrected) luminosities, while HR and N_H are, respectively, the hardness-ratio and column density (two different alternatives to assess the AGN type-1 and type-2 populations). ^(a) Values have been statistically corrected for the bias affecting the N_H estimate via flux ratios (see Paper I).

between methods, the COSMOS samples does show a clear difference between adopting the HR or N_H (see the top two panels) at $\log(L_{\text{XR}}[\text{erg s}^{-1}]) \lesssim 43.4$ (range where COSMOS is likely incomplete, Fig. 4). This can be explained either by the COSMOS survey depth affecting the HR analysis more than the N_H analysis of the less luminous objects, or this behaviour is being missed in CDFS given the sample's higher statistical uncertainty and/or small volume. At the highest redshifts (right column), the

COSMOS sample again shows an overall shift to higher type-2 fractions when adopting N_H instead of HR, while the CDFS sample shows major differences at $\log(L_{\text{XR}}[\text{erg s}^{-1}]) \gtrsim 43.6$ when statistically correcting the N_H method. One possible reason for why one does not observe the same behaviour at these luminosities in the COSMOS sample is that, although both samples are limited to $z > 1$, the COSMOS statistics are still dominated by the $z \sim 1-2$ objects (62% of the $z > 1$ COSMOS sample with $\log(L_{\text{XR}}[\text{erg s}^{-1}]) > 43.6$), distances at which the correction is still not considerable. However, the $z > 1$ CDFS sample has a higher fraction of $z > 2$ (65% of the $z > 1$ CDFS sample with $\log(L_{\text{XR}}[\text{erg s}^{-1}]) > 43.6$) where the correction starts to be necessary.

Nevertheless, based on the method we have adopted (results shown in the bottom panels), it is interesting to see that within the uncertainties the CDFS trends tend to the values of the COSMOS sample at high luminosities, where the COSMOS survey is expected to be complete.

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